#### Lecture 3 - States and Searching

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Readings: Poole & Mackworth Chapt. 3 (all)

- Often we are not given an algorithm to solve a problem, but only a specification of what is a solution — we have to search for a solution.
- A typical problem is when the agent is in one state, it has a set of deterministic actions it can carry out, and wants to get to a goal state.
- Many AI problems can be abstracted into the problem of finding a path in a directed graph.
- Often there is more than one way to represent a problem as a graph.

- A graph consists of a set *N* of nodes and a set *A* of ordered pairs of nodes, called arcs.
- Node  $n_2$  is a neighbor of  $n_1$  if there is an arc from  $n_1$  to  $n_2$ . That is, if  $\langle n_1, n_2 \rangle \in A$ .
- A path is a sequence of nodes  $\langle n_0, n_1, \ldots, n_k \rangle$  such that  $\langle n_{i-1}, n_i \rangle \in A$ .
- Given a set of start nodes and goal nodes, a solution is a path from a start node to a goal node.
- Often there is a **cost** associated with arcs and the cost of a path is the sum of the costs of the arcs in the path.

#### Example Problem for Delivery Robot

The robot wants to get from outside room 103 to the inside of room 123.



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## Graph for the Delivery Robot



 $\mathsf{cost} = \mathsf{distance} \ \mathsf{travelled}$ 

Partial Search Space for a Video Game Grid game: collect coins  $C_1$ ,  $C_2$ ,  $C_3$ ,  $C_4$ , don't run out of fuel, and end up at location (1, 1):



Partial Search Space for a Video Game Grid game: collect coins  $C_1$ ,  $C_2$ ,  $C_3$ ,  $C_4$ , don't run out of fuel, and end up at location (1, 1):



- Generic search algorithm: given a graph, start nodes, and goal nodes, incrementally explore paths from the start nodes.
- Maintain a frontier of paths from the start node that have been explored.
- As search proceeds, the frontier expands into the unexplored nodes until a goal node is encountered.
- The way in which the frontier is expanded defines the search strategy.

## Problem Solving by Graph Searching



**Input:** a graph, a set of start nodes. Boolean procedure goal(n) that tests if n is a goal node. frontier := { $\langle s \rangle$  : s is a start node}; while *frontier* is not empty: select and remove path  $\langle n_0, \ldots, n_k \rangle$  from frontier; if  $goal(n_k)$ return  $\langle n_0, \ldots, n_k \rangle$ ; for every neighbor *n* of  $n_k$ add  $\langle n_0, \ldots, n_k, n \rangle$  to frontier; end while

- We assume that after the search algorithm returns an answer, it can be asked for more answers and the procedure continues.
- The neighbors define the graph.
- Which value is <u>selected</u> from the frontier (and how the new values are <u>added</u> to the frontier) at each stage defines the search strategy.
- goal defines what is a solution.

- Uninformed (blind)
- Heuristic
- More sophisticated "hacks"

- Depth-first search treats the frontier as a stack
- It always selects the last element added to the frontier.
- If the list of paths on the frontier is  $[p_1, p_2, \ldots]$ 
  - p<sub>1</sub> is selected. Paths that extend p<sub>1</sub> are added to the front of the stack (in front of p<sub>2</sub>).
  - $\triangleright$   $p_2$  is only selected when all paths from  $p_1$  have been explored.

## Illustrative Graph — Depth-first Search



- Depth-first search not guaranteed to halt on infinite graphs or on graphs with cycles.
- The space complexity is linear in the size of the path being explored.
- Search is <u>unconstrained</u> by the goal until it happens to stumble on the goal (uninformed or blind)
- What is the worst-case time complexity of depth-first search?

# Cycle Checking



- A searcher can prune a path that ends in a node already on the path,
- Using depth-first methods, with the graph explicitly stored, this can be done in constant time (add a flag to each node)
- For other methods, the cost is **linear** in path length, since we only have to check for cycles in the current path.

## Graph Search Algorithm - with Cycle Check



- Use Depth First Search to get from s to g
- Number the nodes as they are removed
- add neighbours CCW from top L,D,R,U
- Use a cycle check

Input: a graph,

a set of start nodes,

Boolean procedure goal(n) that tests if n is a goal node. frontier := { $\langle s \rangle$  : s is a start node};

while *frontier* is not empty:

```
select and remove path \langle n_0, \ldots, n_k \rangle from frontier;

if goal(n_k)

return \langle n_0, \ldots, n_k \rangle;

for every neighbor n of n_k

if n \notin \langle n_0, \ldots, n_k \rangle

add \langle n_0, \ldots, n_k, n \rangle to frontier;
```

end while

- Breadth-first search treats the frontier as a queue.
- It always selects hte earliest element added to the frontier.
- If the list of paths on the frontier is  $[p_1, p_2, \ldots, p_r]$ :
  - p<sub>1</sub> is selected. Its neighbors are added to the end of the queue, after p<sub>r</sub>.
  - *p*<sub>2</sub> is selected next.

## Illustrative Graph — Breadth-first Search



## Complexity of Breadth-first Search

- The branching factor of a node is the number of its neighbors.
- If the branching factor for all nodes is finite, breadth-first search is guaranteed to find a solution if one exists.
   It is guaranteed to find the path with fewest arcs.
- Time complexity is exponential in the path length:  $b^n$ , where b is branching factor, n is path length.
- Space complexity is exponential in path length:  $b^n$ .
- Search is unconstrained by the goal.
- Not affected by cycles (remains exponential).

# Multiple-Path Pruning



- Multiple path pruning: prune a path to node n that any path has been found to .
- Multiple-path pruning subsumes a cycle check (because the current path is a path to the node).
- This entails storing all nodes it has found paths to.
- Want to guarantee that an optimal solution can still be found. (See slide 40)

# Graph Search Algorithm - with Multiple Path Pruning



- Use Breadth First Search to get from **s** to **g**
- Number the nodes as they are removed
- add neighbours CW from top U,R,D,L
- Use multiple path pruning

Input: a graph,

a set of start nodes,

```
Boolean procedure goal(n) that tests if n is a goal node.
```

```
frontier := {\langle s \rangle : s is a start node};
```

```
has\_path := \{\};
```

while frontier is not empty:

**select** and **remove** path  $\langle n_0, \ldots, n_k \rangle$  from *frontier*;

**if**  $n_k \notin has\_path$ :

```
add n_k to has_path;
```

if goal $(n_k)$ 

return  $\langle n_0, \ldots, n_k \rangle$ ;

for every neighbor *n* of  $n_k$ 

```
add \langle n_0, \ldots, n_k, n \rangle to frontier;
```

end while

#### Lowest-cost-first Search

• Sometimes there are costs associated with arcs. The cost of a path is the sum of the costs of its arcs.

$$cost(\langle n_0,\ldots,n_k\rangle) = \sum_{i=1}^k |\langle n_{i-1},n_i\rangle|$$

- At each stage, lowest-cost-first search selects a path on the frontier with lowest cost.
- The frontier is a priority queue ordered by path cost.
- It finds a least-cost path to a goal node
- When arc costs are  $\frac{\text{equal}}{\text{equal}} \implies \text{breadth-first search}$ .
- Uniformed/Blind search (in that it does not take the goal into account)
- Complexity: exponential

- Idea: don't ignore the goal when selecting paths.
- Often there is extra knowledge that can be used to guide the search: heuristics.
- h(n) is an estimate of the cost of the shortest path from node n to a goal node.
- h(n) uses only readily obtainable information (that is easy to compute) about a node.
- computing the heuristic must be much easier than solving the problem
- *h* can be extended to paths:  $h(\langle n_0, \ldots, n_k \rangle) = h(n_k)$ .
- h(n) is an underestimate if there is no path from n to a goal that has path length less than h(n).

- If the nodes are points on a Euclidean plane and the cost is the distance, we can use the straight-line distance from n to the closest goal as the value of h(n).
- If the nodes are locations and cost is time, we can use the distance to a goal divided by the maximum speed.
- If nodes are locations on a grid and cost is distance, we can use the Manhattan Distance: distance by taking horizontal and vertical moves only.
- Think of heuristics for your favorite games: chess? go? starcraft?

- Idea: select the path whose end is closest to a goal according to the heuristic function.
- Best-first search selects a path on the frontier with minimal *h*-value.
- It treats the frontier as a priority queue ordered by h.

#### Illustrative Example — Best First Search



best first: S-A-C-G (not optimal)

# Graph Search Algorithm - with Multiple Path Pruning



- Use Best First Search to get from **s** to **g**
- Number the nodes as they are removed
- Use multiple path pruning
- break ties arbitrarily
- Use Manhattan Distance as heuristic

**Input:** a graph + start nodes,

```
Boolean procedure goal(n) that tests if n is a goal node.
```

```
frontier := {\langle s \rangle : s is a start node};
```

```
has_path := \{\};
```

while frontier is not empty:

select and remove path  $\langle n_0, \ldots, n_k \rangle$  from *frontier*;

**if**  $n_k \notin has_path$ :

```
\begin{array}{c} \operatorname{add} n_k \text{ to } has\_path \\ \operatorname{if} goal(n_k) \\ \operatorname{return} \langle n_0, \dots, n_k \rangle; \end{array}
```

for every neighbor *n* of  $n_k$ add  $\langle n_0, \ldots, n_k, n \rangle$  to frontier;

end while

- Idea: Do a depth-first seach, but add paths to the stack ordered according to h
- Locally does a best-first search, but agressively pursues the best looking path (even if it ends up being worse than one higher up).
- Suffers from the same problems as depth-first search
- Is often used in practice

#### Illustrative Graph — Heuristic Search



cost of an arc is its length heuristic: euclidean distance red nodes all look better than green nodes a challenge for heuristic depth first search

# Graph Search Algorithm - with Multiple Path Pruning



- Use Heuristic Depth-First Search
- Number the nodes as they are removed
- Use multiple path pruning
- break ties arbitrarily
- Use Manhattan Distance as heuristic

Input: a graph + start nodes

```
Boolean procedure goal(n) that tests if n is a goal node.
```

```
frontier := {\langle s \rangle : s is a start node};
```

```
has\_path := \{\};
```

while frontier is not empty:

select and remove path  $\langle n_0, \ldots, n_k \rangle$  from *frontier*;

**if**  $n_k \notin has\_path$ :

```
add n_k to has_path;
```

```
if goal(n<sub>k</sub>)
```

return  $\langle n_0, \ldots, n_k \rangle$ ;

for every neighbor n of  $n_k$ 

```
add \langle n_0, \ldots, n_k, n \rangle to frontier;
```

end while

- A\* search uses both path cost and heuristic values
- cost(p) is the cost of path p.
- h(p) estimates the cost from the end of p to a goal.
- Let f(p) = cost(p) + h(p). f(p) estimates the total path cost of going from a start node to a goal via p.

$$\underbrace{\underbrace{start \xrightarrow{path p} n \xrightarrow{estimate} goal}_{cost(p)} h(p)}_{f(p)}$$

- $A^*$  is a mix of lowest-cost-first and best-first search.
- It treats the frontier as a priority queue ordered by f(p).
- It always selects the node on the frontier with the lowest estimated distance from the start to a goal node constrained to go via that node.

#### Illustrative Example — $A^*$ sxearch



recall best first: S-A-C-G (not optimal) A\* : S-A-B-C-G (optimal) If there is a solution, *A*\* always finds an optimal solution —the first path to a goal selected— if

- the branching factor is finite
- arc costs are bounded above zero (there is some ε > 0 such that all of the arc costs are greater than ε), and
- h(n) is a lower bound on the length (cost) of the shortest path from *n* to a goal node.

Admissible heuristics never overestimate the cost to the goal.

## Why is $A^*$ with admissible h optimal?



- assume:  $paths \rightarrow p \rightarrow g$  is the optimal
- f(p) = cost(s, p) + h(p) < cost(s, g) due to h being a lower bound
- cost(s,g) < cost(s,p') + cost(p',g) due to optimality of *path*
- therefore cost(s, p) + h(p) = f(p) < cost(s, p') + cost(p', g)
- therefore, we will never choose *path'* while *path* is unexplored.
- A\* halts, as the costs of the paths on the frontier keeps increasing, and will eventually exceed any finite number.

# Graph Search Algorithm - with Multiple Path Pruning



- Use A\* search
- Number the nodes as they are removed
- Use multiple path pruning
- break ties arbitrarily
- Use Manhattan Distance as heuristic

Input: a graph and set of start nodes,

Boolean procedure goal(n) that tests if n is a goal node.

```
frontier := {\langle s \rangle : s is a start node};
```

```
has\_path := \{\};
```

while frontier is not empty:

select and remove path  $\langle n_0, \ldots, n_k \rangle$  from *frontier*;

if  $n_k \notin has\_path$ :

```
add nk to has_path;
```

```
if goal(n<sub>k</sub>)
```

return  $\langle n_0, \ldots, n_k \rangle$ ;

for every neighbor n of  $n_k$ 

```
add \langle n_0, \ldots, n_k, n \rangle to frontier;
```

end while

#### How do we construct a heuristic?

"magic square" tiles can move into adjacent empty slot only

7	2	4
5		6
8	3	1

Start State





Relax the game (make it simpler, easier)

- 1. Can move tile from position A to position B if A is next to B (ignore whether or not position is blank)
- 2. Can move tile from position A to position B if B is blank (ignore adjacency)
- 3. Can move tile from position A to position B

"magic square" tiles can move into adjacent empty slot only

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

Relax the game (make it simpler, easier)

- 1. Can move tile from position A to position B if A is next to B (ignore whether or not position is blank)
  - leads to manhattan distance heuristic
  - To solve the puzzle need to slide each tile into its final position
  - Admissible

#### How do we construct a heuristic?

"magic square" tiles can move into adjacent empty slot only

7	2	4
5		6
8	3	1

Start State



Goal State

Relax the game (make it simpler, easier)

- 3. Can move tile from position A to position B
  - leads to misplaced tile heuristic
  - To solve this problem need to move each tile into its final position
  - Number of moves = number of misplaced tiles
  - Admissible

Strategy	Frontier Selection Halts?		Space	Time
Depth-first	Last node added	No	Linear	Exp
Breadth-first	First node added	Yes	Exp	Exp
Heuristic depth-first	Local <sup>1</sup> min <i>h</i> ( <i>n</i> )	No	Linear	Exp
Best-first	Global <sup>2</sup> min $h(n)$	No	Exp	Exp
Lowest-cost-first	Minimal <i>cost(n</i> )	Yes	Exp	Exp
A*	Minimal $f(n)$	Yes	Exp	Exp

<sup>&</sup>lt;sup>1</sup>Locally in some region of the frontier <sup>2</sup>Globally for all nodes on the frontier

Problem: what if a subsequent path to *n* is shorter than the first path to *n*?

- remove all paths from the frontier that use the longer path.
- change the initial segment of the paths on the frontier to use the shorter path.
- ensure this doesn't happen. Make sure that the shortest path to a node is found first (lowest-cost-first search)

- Suppose path p to n was selected, but there is a shorter path to n. Suppose this shorter path is via path p' on the frontier.
- Suppose path p' ends at node n'.
- cost(p) + h(n) ≤ cost(p') + h(n') because p was selected before p'.
- cost(p') + cost(n', n) < cost(p) because the path to n via p' is shorter (by assumption).</li>

$$cost(n', n) < cost(p) - cost(p') \le h(n') - h(n).$$

You can ensure this doesn't occur if  $h(n') - h(n) \le cost(n', n)$ .

- Heuristic function h satisfies the monotone restriction if  $h(m) h(n) \le cost(m, n)$  for every arc  $\langle m, n \rangle$ .
- h(m) h(n) is the heuristic estimate of the path cost from m to n
- The heurstic estimate of the path cost is always less than the actual cost.
- If *h* satisfies the monotone restriction, *A*<sup>\*</sup> with multiple path pruning always finds the shortest path to a goal.

## Monotonicity and Admissibility

- This is a strengthening of the admissibility criterion.
- if n = g so h(n) = 0 and cost(n', n) = cost(n'), then we can derive from

$$h(n') \leq cost(n', n) + h(n)$$

that

 $h(n') \leq cost(n')$ 

which is admissibility

• So Monotonicity is like Admissibility but between any two nodes

- So far all search strategies that are guaranteed to halt use exponential space.
- Idea: let's recompute elements of the frontier rather than saving them.
- Look for paths of depth 0, then 1, then 2, then 3, etc.
- You need a depth-bounded depth-first searcher.
- If a path cannot be found at depth B, look for a path at depth B + 1. Increase the depth-bound when the search fails unnaturally (depth-bound was reached).

## Iterative Deepening Complexity

Complexity with solution at depth k & branching factor b:

	# times each node is expanded		
level	breadth-first	iterative deepening	# nodes
1	1	k	b
2	1	k-1	$b^2$
k-1	1	2	$b^{k-1}$
k	1	1	$b^k$
	$\geq b^k$	$\leq b^k \left(rac{b}{b-1} ight)^2$	

$$b^{k} + 2b^{k-1} + 3b^{k-2} + \ldots = b^{k} \sum_{n=1}^{k} n\left(\frac{1}{b}\right)^{n-1} \qquad \text{rewrite} \qquad (1)$$
$$< b^{k} \sum_{n=1}^{\infty} n\left(\frac{1}{b}\right)^{n-1} \qquad \text{extend to infinity} \qquad (2)$$
$$= b^{k} \left(\frac{b}{1-b}\right)^{2} \qquad \text{derivative of the geometric series} \qquad (3)$$

- The definition of searching is symmetric: find path from start nodes to goal node or from goal node to start nodes.
- Forward branching factor: number of arcs out of a node.
- Backward branching factor: number of arcs into a node.
- Search complexity is  $b^n$ . Should use forward search if forward branching factor is less than backward branching factor, and vice versa.
- Note: sometimes when graph is dynamically constructed, you may not be able to construct the backwards graph.

- You can search backward from the goal and forward from the start simultaneously.
- This wins as  $2b^{k/2} \ll b^k$ . This can result in an exponential saving in time and space.
- The main problem is making sure the frontiers meet.
- This is often used with one breadth-first method that builds a set of locations that can lead to the goal. In the other direction another method can be used to find a path to these interesting locations.

• Idea: find a set of islands between s and g.

$$s \longrightarrow i_1 \longrightarrow i_2 \longrightarrow \ldots \longrightarrow i_{m-1} \longrightarrow g$$

There are *m* smaller problems rather than 1 big problem.

- This can win as  $mb^{k/m} \ll b^k$ .
- The problem is to identify the islands that the path must pass through. It is difficult to guarantee optimality.
- You can solve the subproblems using islands ⇒ hierarchy of abstractions.

## Dynamic Programming

- Start from goal and work backwards
- Compute the cost-to-goal at each node recursively
- e.g. Dijkstra's algorithm
- Cost from  $n \rightarrow \text{goal}$  is Cost from  $m \rightarrow \text{goal} + \text{cost}$  from n to m
- dist(n) is cost-to-goal from node n, and cost(n, m) is cost to go from n to m

$$dist(n) = \left\{ egin{array}{cc} 0 & ext{if } n ext{ is goal} \ \min_m(cost(n,m)+dist(m)) & ext{otherwise} \end{array} 
ight.$$

- dist(n) is a value function over nodes
- policy(n) is best *m* for each *n*, so best path is

$$path(n, goal) = \arg\min_{m}(cost(n, m) + dist(m))$$

• problem: space needed to store entire graph

## Discounted Dynamic Programming

- assume goal has a reward, R(n)
- arcs still have costs
- Rewards far in the future are less valuable
- "a bird in the hand is worth two in the bush"
- Discount factor  $\beta < 1$
- maximize rewards

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$$dist(n) = \begin{cases} R(n) & \text{if } n \text{ is goal} \\ \max_m(\beta dist(m) - cost(n, m)) & \text{otherwise} \end{cases}$$

## Minimax Search

- for competitive, two-person, zero-sum games (tic-tac-toe)
- try to find the best option for you ("O")
- assume competitor ("X") will take the worst option for you
- label each node here with expected reward (-1,0 or +1)



#### • Constraints (Poole & Mackworth chapter 4)