# Lecture 3 - States and Searching 

Jesse Hoey<br>School of Computer Science<br>University of Waterloo

May 10, 2022

Readings: Poole \& Mackworth Chapt. 3 (all)

## Searching

- Often we are not given an algorithm to solve a problem, but only a specification of what is a solution - we have to search for a solution.
- A typical problem is when the agent is in one state, it has a set of deterministic actions it can carry out, and wants to get to a goal state.
- Many AI problems can be abstracted into the problem of finding a path in a directed graph.
- Often there is more than one way to represent a problem as a graph.


## Directed Graphs

- A graph consists of a set $N$ of nodes and a set $A$ of ordered pairs of nodes, called arcs.
- Node $n_{2}$ is a neighbor of $n_{1}$ if there is an arc from $n_{1}$ to $n_{2}$. That is, if $\left\langle n_{1}, n_{2}\right\rangle \in A$.
- A path is a sequence of nodes $\left\langle n_{0}, n_{1}, \ldots, n_{k}\right\rangle$ such that $\left\langle n_{i-1}, n_{i}\right\rangle \in A$.
- Given a set of start nodes and goal nodes, a solution is a path from a start node to a goal node.
- Often there is a cost associated with arcs and the cost of a path is the sum of the costs of the arcs in the path.


## Example Problem for Delivery Robot

The robot wants to get from outside room 103 to the inside of room 123.


## Graph for the Delivery Robot


cost $=$ distance travelled

## Problem space search

## Partial Search Space for a Video Game

Grid game: collect coins $C_{1}, C_{2}, C_{3}, C_{4}$, don't run out of fuel, and end up at location $(1,1)$ :


## Problem space search

Partial Search Space for a Video Game
Grid game: collect coins $C_{1}, C_{2}, C_{3}, C_{4}$, don't run out of fuel, and end up at location ( 1,1 ):


## Graph Searching

- Generic search algorithm: given a graph, start nodes, and goal nodes, incrementally explore paths from the start nodes.
- Maintain a frontier of paths from the start node that have been explored.
- As search proceeds, the frontier expands into the unexplored nodes until a goal node is encountered.
- The way in which the frontier is expanded defines the search strategy.


## Problem Solving by Graph Searching



## Graph Search Algorithm

Input: a graph,
a set of start nodes,
Boolean procedure goal $(n)$ that tests if $n$ is a goal node.
frontier $:=\{\langle s\rangle: s$ is a start node $\}$;
while frontier is not empty:
select and remove path $\left\langle n_{0}, \ldots, n_{k}\right\rangle$ from frontier;
if goal $\left(n_{k}\right)$
return $\left\langle n_{0}, \ldots, n_{k}\right\rangle$;
for every neighbor $n$ of $n_{k}$ add $\left\langle n_{0}, \ldots, n_{k}, n\right\rangle$ to frontier;
end while

## Graph Search Algorithm

- We assume that after the search algorithm returns an answer, it can be asked for more answers and the procedure continues.
- The neighbors define the graph.
- Which value is selected from the frontier (and how the new values are added to the frontier) at each stage defines the search strategy.
- goal defines what is a solution.


## Types of Search

- Uninformed (blind)
- Heuristic
- More sophisticated "hacks"


## Depth-first Search

- Depth-first search treats the frontier as a stack
- It always selects the last element added to the frontier.
- If the list of paths on the frontier is [ $\left.p_{1}, p_{2}, \ldots\right]$
- $p_{1}$ is selected. Paths that extend $p_{1}$ are added to the front of the stack (in front of $p_{2}$ ).
- $p_{2}$ is only selected when all paths from $p_{1}$ have been explored.

Illustrative Graph — Depth-first Search


## Complexity of Depth-first Search

- Depth-first search not guaranteed to halt on infinite graphs or on graphs with cycles.
- The space complexity is linear in the size of the path being explored.
- Search is unconstrained by the goal until it happens to stumble on the goal (uninformed or blind)
- What is the worst-case time complexity of depth-first search?


## Cycle Checking



- A searcher can prune a path that ends in a node already on the path,
- Using depth-first methods, with the graph explicitly stored, this can be done in constant time (add a flag to each node)
- For other methods, the cost is linear in path length, since we only have to check for cycles in the current path.


## Graph Search Algorithm - with Cycle Check



- Use Depth First Search to get from $\mathbf{s}$ to $\mathbf{g}$
- Number the nodes as they are removed
- add neighbours CCW from top L,D,R,U
- Use a cycle check

Input: a graph,
a set of start nodes,
Boolean procedure $\operatorname{goal}(n)$ that tests if $n$ is a goal node.
frontier $:=\{\langle s\rangle: s$ is a start node $\}$;
while frontier is not empty:
select and remove path $\left\langle n_{0}, \ldots, n_{k}\right\rangle$ from frontier;
if $\operatorname{goal}\left(n_{k}\right)$
return $\left\langle n_{0}, \ldots, n_{k}\right\rangle$;
for every neighbor $n$ of $n_{k}$

$$
\text { if } n \notin\left\langle n_{0}, \ldots, n_{k}\right\rangle
$$

$$
\text { add }\left\langle n_{0}, \ldots, n_{k}, n\right\rangle \text { to frontier }
$$

end while

## Breadth-first Search

- Breadth-first search treats the frontier as a queue.
- It always selects hte earliest element added to the frontier.
- If the list of paths on the frontier is $\left[p_{1}, p_{2}, \ldots, p_{r}\right]$ :
- $p_{1}$ is selected. Its neighbors are added to the end of the queue, after $p_{r}$.
- $p_{2}$ is selected next.

Illustrative Graph — Breadth-first Search


## Complexity of Breadth-first Search

- The branching factor of a node is the number of its neighbors.
- If the branching factor for all nodes is finite, breadth-first search is guaranteed to find a solution if one exists. It is guaranteed to find the path with fewest arcs.
- Time complexity is exponential in the path length: $b^{n}$, where $b$ is branching factor, $n$ is path length.
- Space complexity is exponential in path length: $b^{n}$.
- Search is unconstrained by the goal.
- Not affected by cycles (remains exponential).


## Multiple-Path Pruning



- Multiple path pruning: prune a path to node $n$ that any path has been found to.
- Multiple-path pruning subsumes a cycle check (because the current path is a path to the node).
- This entails storing all nodes it has found paths to.
- Want to guarantee that an optimal solution can still be found. (See slide 40)


## Graph Search Algorithm - with Multiple Path Pruning



- Use Breadth First Search to get from $\mathbf{s}$ to $\mathbf{g}$
- Number the nodes as they are removed
- add neighbours CW from top U,R,D,L
- Use multiple path pruning

Input: a graph,
a set of start nodes,
Boolean procedure goal $(n)$ that tests if $n$ is a goal node.
frontier : $=\{\langle s\rangle: s$ is a start node $\}$;

$$
\text { has_path }:=\{ \}
$$

while frontier is not empty:
select and remove path $\left\langle n_{0}, \ldots, n_{k}\right\rangle$ from frontier;

$$
\begin{aligned}
& \text { if } n_{k} \notin \text { has_path: } \\
& \text { add } n_{k} \text { to has_path ; } \\
& \text { if } \operatorname{goal}\left(n_{k}\right) \\
& \quad \text { return }\left\langle n_{0}, \ldots, n_{k}\right\rangle ;
\end{aligned}
$$

for every neighbor $n$ of $n_{k}$ add $\left\langle n_{0}, \ldots, n_{k}, n\right\rangle$ to frontier;
end while

## Lowest-cost-first Search

- Sometimes there are costs associated with arcs. The cost of a path is the sum of the costs of its arcs.

$$
\operatorname{cost}\left(\left\langle n_{0}, \ldots, n_{k}\right\rangle\right)=\sum_{i=1}^{k}\left|\left\langle n_{i-1}, n_{i}\right\rangle\right|
$$

- At each stage, lowest-cost-first search selects a path on the frontier with lowest cost.
- The frontier is a priority queue ordered by path cost.
- It finds a least-cost path to a goal node
- When arc costs are equal $\Longrightarrow$ breadth-first search.
- Uniformed/Blind search (in that it does not take the goal into account)
- Complexity: exponential


## Heuristic Search

- Idea: don't ignore the goal when selecting paths.
- Often there is extra knowledge that can be used to guide the search: heuristics.
- $h(n)$ is an estimate of the cost of the shortest path from node $n$ to a goal node.
- $h(n)$ uses only readily obtainable information (that is easy to compute) about a node.
- computing the heuristic must be much easier than solving the problem
- $h$ can be extended to paths: $h\left(\left\langle n_{0}, \ldots, n_{k}\right\rangle\right)=h\left(n_{k}\right)$.
- $h(n)$ is an underestimate if there is no path from $n$ to a goal that has path length less than $h(n)$.


## Example Heuristic Functions

- If the nodes are points on a Euclidean plane and the cost is the distance, we can use the straight-line distance from $n$ to the closest goal as the value of $h(n)$.
- If the nodes are locations and cost is time, we can use the distance to a goal divided by the maximum speed.
- If nodes are locations on a grid and cost is distance, we can use the Manhattan Distance : distance by taking horizontal and vertical moves only.
- Think of heuristics for your favorite games: chess? go? starcraft?


## Greedy Best-first Search

- Idea: select the path whose end is closest to a goal according to the heuristic function.
- Best-first search selects a path on the frontier with minimal $h$-value.
- It treats the frontier as a priority queue ordered by $h$.


## Illustrative Example - Best First Search


best first: S-A-C-G (not optimal)

## Graph Search Algorithm - with Multiple Path Pruning



- Use Best First Search to get from $\mathbf{s}$ to $\mathbf{g}$
- Number the nodes as they are removed
- Use multiple path pruning
- break ties arbitrarily
- Use Manhattan Distance as heuristic

Input: a graph + start nodes,
Boolean procedure $\operatorname{goal}(n)$ that tests if $n$ is a goal node.
frontier $:=\{\langle s\rangle: s$ is a start node $\}$;
has_path $:=\{ \}$;
while frontier is not empty:
select and remove path $\left\langle n_{0}, \ldots, n_{k}\right\rangle$ from frontier;

$$
\begin{aligned}
& \text { if } n_{k} \notin \text { has_path: } \\
& \text { add } n_{k} \text { to has_path ; } \\
& \text { if } \operatorname{goal}\left(n_{k}\right) \\
& \quad \text { return }\left\langle n_{0}, \ldots, n_{k}\right\rangle ;
\end{aligned}
$$

$$
\text { for every neighbor } n \text { of } n_{k}
$$

$$
\text { add }\left\langle n_{0}, \ldots, n_{k}, n\right\rangle \text { to frontier }
$$

end while

## Heuristic Depth-first Search

- Idea: Do a depth-first seach, but add paths to the stack ordered according to $h$
- Locally does a best-first search, but agressively pursues the best looking path (even if it ends up being worse than one higher up).
- Suffers from the same problems as depth-first search
- Is often used in practice


## Illustrative Graph — Heuristic Search


cost of an arc is its length heuristic: euclidean distance red nodes all look better than green nodes a challenge for heuristic depth first search

## Graph Search Algorithm - with Multiple Path Pruning



- Use Heuristic Depth-First Search
- Number the nodes as they are removed
- Use multiple path pruning
- break ties arbitrarily
- Use Manhattan Distance as heuristic

Input: a graph + start nodes
Boolean procedure goal $(n)$ that tests if $n$ is a goal node.
frontier $:=\{\langle s\rangle: s$ is a start node $\}$;
has_path $:=\{ \}$;
while frontier is not empty:
select and remove path $\left\langle n_{0}, \ldots, n_{k}\right\rangle$ from frontier;

$$
\begin{aligned}
& \text { if } n_{k} \notin \text { has_path: } \\
& \text { add } n_{k} \text { to has_path; } \\
& \text { if } \operatorname{goal}\left(n_{k}\right) \\
& \text { return }\left\langle n_{0}, \ldots, n_{k}\right\rangle ; \\
& \text { for every neighbor } n \text { of } n_{k} \\
& \text { add }\left\langle n_{0}, \ldots, n_{k}, n\right\rangle \text { to frontier; }
\end{aligned}
$$

end while

## $A^{*}$ Search

- $A^{*}$ search uses both path cost and heuristic values
- $\operatorname{cost}(p)$ is the cost of path $p$.
- $h(p)$ estimates the cost from the end of $p$ to a goal.
- Let $f(p)=\operatorname{cost}(p)+h(p) . f(p)$ estimates the total path cost of going from a start node to a goal via $p$.



## A* Search Algorithm

- $A^{*}$ is a mix of lowest-cost-first and best-first search.
- It treats the frontier as a priority queue ordered by $f(p)$.
- It always selects the node on the frontier with the lowest estimated distance from the start to a goal node constrained to go via that node.


## Illustrative Example - $A^{*}$ sxearch


recall best first: S-A-C-G (not optimal)
$A^{*}$ : S-A-B-C-G (optimal)

## Admissibility of $A^{*}$

If there is a solution, $A^{*}$ always finds an optimal solution -the first path to a goal selected- if

- the branching factor is finite
- arc costs are bounded above zero (there is some $\epsilon>0$ such that all of the arc costs are greater than $\epsilon$ ), and
- $h(n)$ is a lower bound on the length (cost) of the shortest path from $n$ to a goal node.

Admissible heuristics never overestimate the cost to the goal.

## Why is $A^{*}$ with admissible h optimal?



- assume: paths $\rightarrow p \rightarrow g$ is the optimal
- $f(p)=\operatorname{cost}(s, p)+h(p)<\operatorname{cost}(s, g)$ due to $h$ being a lower bound
- $\operatorname{cost}(s, g)<\operatorname{cost}\left(s, p^{\prime}\right)+\operatorname{cost}\left(p^{\prime}, g\right)$ due to optimality of path
- therefore $\operatorname{cost}(s, p)+h(p)=f(p)<\operatorname{cost}\left(s, p^{\prime}\right)+\operatorname{cost}\left(p^{\prime}, g\right)$
- therefore, we will never choose path while path is unexplored.
- $A^{*}$ halts, as the costs of the paths on the frontier keeps increasing, and will eventually exceed any finite number.


## Graph Search Algorithm - with Multiple Path Pruning



- Use A* search
- Number the nodes as they are removed
- Use multiple path pruning
- break ties arbitrarily
- Use Manhattan Distance as heuristic

Input: a graph and set of start nodes,
Boolean procedure $\operatorname{goal}(n)$ that tests if $n$ is a goal node.
frontier $:=\{\langle s\rangle: s$ is a start node $\}$;

```
has_path := {};
```

while frontier is not empty:
select and remove path $\left\langle n_{0}, \ldots, n_{k}\right\rangle$ from frontier;

## if $n_{k} \notin$ has_path:

add $n_{k}$ to has_path ;
if goal $\left(n_{k}\right)$
return $\left\langle n_{0}, \ldots, n_{k}\right\rangle$;
for every neighbor $n$ of $n_{k}$
add $\left\langle n_{0}, \ldots, n_{k}, n\right\rangle$ to frontier;
end while

## How do we construct a heuristic?

"magic square" tiles can move into adjacent empty slot only


Start State


Goal State

Relax the game (make it simpler, easier)

1. Can move tile from position $A$ to position $B$ if $A$ is next to $B$ (ignore whether or not position is blank)
2. Can move tile from position $A$ to position $B$ if $B$ is blank (ignore adjacency)
3. Can move tile from position $A$ to position $B$

## How do we construct a heuristic?

"magic square" tiles can move into adjacent empty slot only


Relax the game (make it simpler, easier)

1. Can move tile from position $A$ to position $B$ if $A$ is next to $B$ (ignore whether or not position is blank)

- leads to manhattan distance heuristic
- To solve the puzzle need to slide each tile into its final position
- Admissible


## How do we construct a heuristic?

"magic square" tiles can move into adjacent empty slot only


Start State


Goal State

Relax the game (make it simpler, easier)
3. Can move tile from position $A$ to position $B$

- leads to misplaced tile heuristic
- To solve this problem need to move each tile into its final position
- Number of moves $=$ number of misplaced tiles
- Admissible


## Summary of Search Strategies

| Strategy | Frontier Selection | Halts? | Space | Time |
| :--- | :--- | :--- | :--- | :--- |
| Depth-first | Last node added | No | Linear | Exp |
| Breadth-first | First node added | Yes | Exp | Exp |
| Heuristic depth-first | Local ${ }^{1} \min h(n)$ | No | Linear | Exp |
| Best-first | Global $^{2} \min h(n)$ | No | Exp | $\operatorname{Exp}$ |
| Lowest-cost-first | Minimal $\operatorname{cost}(n)$ | Yes | $\operatorname{Exp}$ | $\operatorname{Exp}$ |
| $A^{*}$ | Minimal $f(n)$ | Yes | $\operatorname{Exp}$ | $\operatorname{Exp}$ |

${ }^{1}$ Locally in some region of the frontier
${ }^{2}$ Globally for all nodes on the frontier

## Multiple-Path Pruning \& Optimal Solutions

Problem: what if a subsequent path to $n$ is shorter than the first path to $n$ ?

- remove all paths from the frontier that use the longer path.
- change the initial segment of the paths on the frontier to use the shorter path.
- ensure this doesn't happen. Make sure that the shortest path to a node is found first (lowest-cost-first search)


## Multiple-Path Pruning \& $A^{*}$

- Suppose path $p$ to $n$ was selected, but there is a shorter path to $n$. Suppose this shorter path is via path $p^{\prime}$ on the frontier.
- Suppose path $p^{\prime}$ ends at node $n^{\prime}$.
- $\operatorname{cost}(p)+h(n) \leq \operatorname{cost}\left(p^{\prime}\right)+h\left(n^{\prime}\right)$ because $p$ was selected before $p^{\prime}$.
- $\operatorname{cost}\left(p^{\prime}\right)+\operatorname{cost}\left(n^{\prime}, n\right)<\operatorname{cost}(p)$ because the path to $n$ via $p^{\prime}$ is shorter (by assumption).

$$
\operatorname{cost}\left(n^{\prime}, n\right)<\operatorname{cost}(p)-\operatorname{cost}\left(p^{\prime}\right) \leq h\left(n^{\prime}\right)-h(n)
$$

You can ensure this doesn't occur if $h\left(n^{\prime}\right)-h(n) \leq \operatorname{cost}\left(n^{\prime}, n\right)$.

## Monotone Restriction

- Heuristic function $h$ satisfies the monotone restriction if $h(m)-h(n) \leq \operatorname{cost}(m, n)$ for every $\operatorname{arc}\langle m, n\rangle$.
- $h(m)-h(n)$ is the heuristic estimate of the path cost from $m$ to $n$
- The heurstic estimate of the path cost is always less than the actual cost.
- If $h$ satisfies the monotone restriction, $A^{*}$ with multiple path pruning always finds the shortest path to a goal.


## Monotonicity and Admissibility

- This is a strengthening of the admissibility criterion.
- if $n=g$ so $h(n)=0$ and $\operatorname{cost}\left(n^{\prime}, n\right)=\operatorname{cost}\left(n^{\prime}\right)$, then we can derive from

$$
h\left(n^{\prime}\right) \leq \operatorname{cost}\left(n^{\prime}, n\right)+h(n)
$$

that

$$
h\left(n^{\prime}\right) \leq \operatorname{cost}\left(n^{\prime}\right)
$$

which is admissibility

- So Monotonicity is like Admissibility but between any two nodes


## Iterative Deepening

- So far all search strategies that are guaranteed to halt use exponential space.
- Idea: let's recompute elements of the frontier rather than saving them.
- Look for paths of depth 0 , then 1 , then 2 , then 3 , etc.
- You need a depth-bounded depth-first searcher.
- If a path cannot be found at depth $B$, look for a path at depth $B+1$. Increase the depth-bound when the search fails unnaturally (depth-bound was reached).


## Iterative Deepening Complexity

Complexity with solution at depth $k \&$ branching factor $b$ :

| level | \# times each node is expanded |  | \# nodes |
| :---: | :---: | :---: | :---: |
|  | breadth-first | iterative deepening |  |
| 1 | 1 | k | $b$ |
| 2 | 1 | $k-1$ | $b^{2}$ |
|  |  | $\ldots$ |  |
| k-1 | 1 | 2 | $b^{k-1}$ |
| $k$ | 1 | 1 | $b^{k}$ |
|  | $\geq b^{k}$ | $\leq b^{k}\left(\frac{b}{b-1}\right)^{2}$ |  |
| $b^{k}+2 b^{k-1}+3 b^{k-2}+\ldots=b^{k} \sum_{n=1}^{k} n\left(\frac{1}{b}\right)^{n-1} \quad$ rewrite |  |  |  |
| $<b^{k} \sum_{n=1}^{\infty} n\left(\frac{1}{b}\right)^{n-1} \quad$ extend to infinity |  |  |  |
| $=b^{k}\left(\frac{b}{1-b}\right)^{2} \quad$ derivative of the geometric series |  |  |  |

(3)

## Direction of Search

- The definition of searching is symmetric: find path from start nodes to goal node or from goal node to start nodes.
- Forward branching factor: number of arcs out of a node.
- Backward branching factor: number of arcs into a node.
- Search complexity is $b^{n}$. Should use forward search if forward branching factor is less than backward branching factor, and vice versa.
- Note: sometimes when graph is dynamically constructed, you may not be able to construct the backwards graph.


## Bidirectional Search

- You can search backward from the goal and forward from the start simultaneously.
- This wins as $2 b^{k / 2} \ll b^{k}$. This can result in an exponential saving in time and space.
- The main problem is making sure the frontiers meet.
- This is often used with one breadth-first method that builds a set of locations that can lead to the goal. In the other direction another method can be used to find a path to these interesting locations.


## Island Driven Search

- Idea: find a set of islands between $s$ and $g$.

$$
s \longrightarrow i_{1} \longrightarrow i_{2} \longrightarrow \ldots \longrightarrow i_{m-1} \longrightarrow g
$$

There are $m$ smaller problems rather than 1 big problem.

- This can win as $m b^{k / m} \ll b^{k}$.
- The problem is to identify the islands that the path must pass through. It is difficult to guarantee optimality .
- You can solve the subproblems using islands $\Longrightarrow$ hierarchy of abstractions.


## Dynamic Programming

- Start from goal and work backwards
- Compute the cost-to-goal at each node recursively
- e.g. Dijkstra's algorithm
- Cost from $n \rightarrow$ goal is Cost from $m \rightarrow$ goal + cost from $n$ to $m$
- $\operatorname{dist}(n)$ is cost-to-goal from node $n$, and $\operatorname{cost}(n, m)$ is cost to go from $n$ to $m$

$$
\operatorname{dist}(n)= \begin{cases}0 & \text { if } n \text { is goal } \\ \min _{m}(\operatorname{cost}(n, m)+\operatorname{dist}(m)) & \text { otherwise }\end{cases}
$$

- $\operatorname{dist}(n)$ is a value function over nodes
- policy( $n$ ) is best $m$ for each $n$, so best path is

$$
\operatorname{path}(n, \text { goal })=\arg \min _{m}(\operatorname{cost}(n, m)+\operatorname{dist}(m))
$$

- problem: space needed to store entire graph


## Discounted Dynamic Programming

- assume goal has a reward, $R(n)$
- arcs still have costs
- Rewards far in the future are less valuable
- "a bird in the hand is worth two in the bush"
- Discount factor $\beta<1$
- maximize rewards
- 

$$
\operatorname{dist}(n)= \begin{cases}R(n) & \text { if } n \text { is goal } \\ \max _{m}(\beta \operatorname{dist}(m)-\operatorname{cost}(n, m)) & \text { otherwise }\end{cases}
$$

## Minimax Search

- for competitive, two-person, zero-sum games (tic-tac-toe)
- try to find the best option for you ("O")
- assume competitor (" $X$ ") will take the worst option for you
- label each node here with expected reward $(-1,0$ or +1$)$



## Next:

- Constraints (Poole \& Mackworth chapter 4)

