

# **Introduction to Quantum Information Processing**

**CS 667 / PH 767 / CO 681 / AM 871**

## **Lecture 22 (2009)**

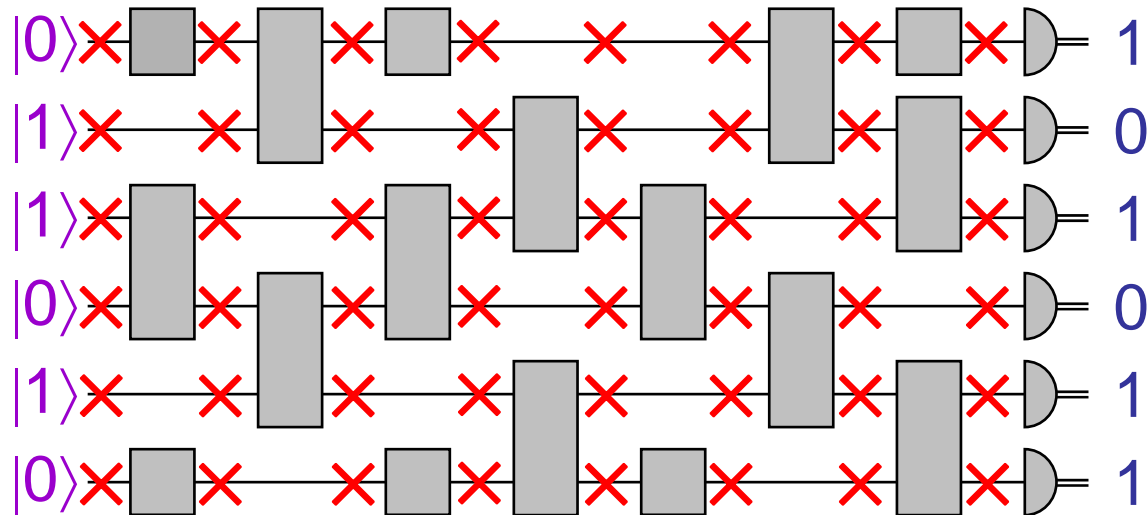
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# Brief remarks about fault-tolerant computing

# A simple error model



At each qubit there is an **X** error per unit of time, that denotes the following noise:

$$\left\{ \begin{array}{ll} I & \text{with probability } 1-\varepsilon \\ X & \text{with probability } \varepsilon/3 \\ Y & \text{with probability } \varepsilon/3 \\ Z & \text{with probability } \varepsilon/3 \end{array} \right.$$

# Threshold theorem

If  $\varepsilon$  is very small then this is okay—a computation of size\* less than  $1/(10\varepsilon)$  will still succeed most of the time

But, for every constant value of  $\varepsilon$ , the size of the maximum computation possible is constant

**Threshold theorem:** There is a fixed constant  $\varepsilon_0 > 0$  such that any computation of size  $T$  can be translated into one of size  $O(T \log^c(T))$  that is robust against the error model with parameter  $\varepsilon_0$

(The proof is omitted here)

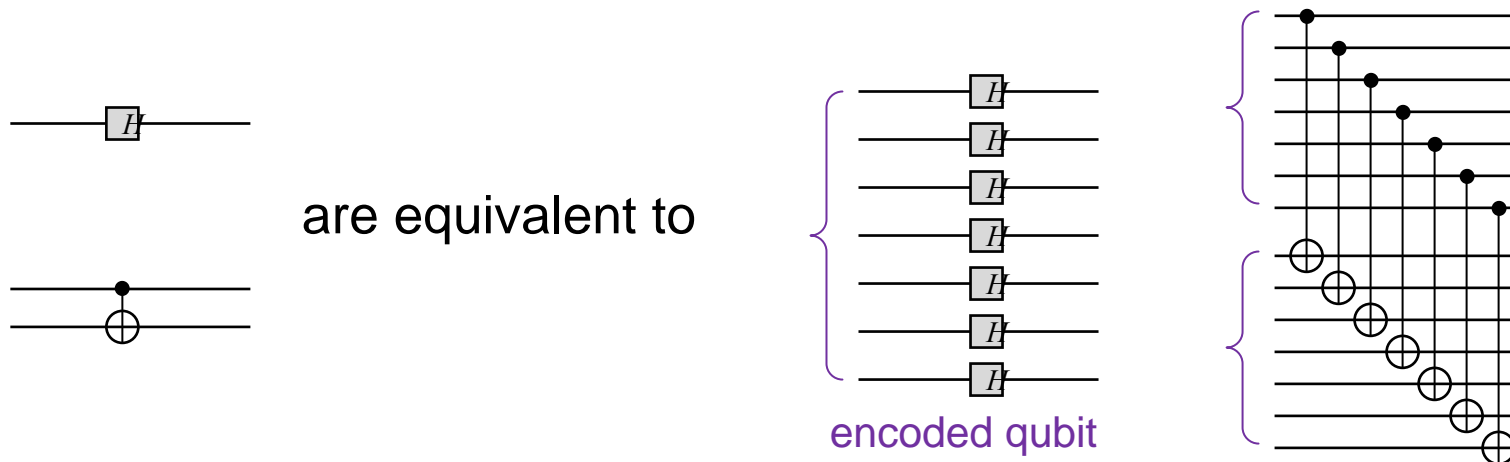
\* where size = (# qubits)x(# time steps)

# Comments about the threshold theorem

Idea is to use a quantum error-correcting code at the start and then perform all the gates ***on the encoded data***

At regular intervals, an error-correction procedure is performed, very carefully, since these operations are also subject to errors!

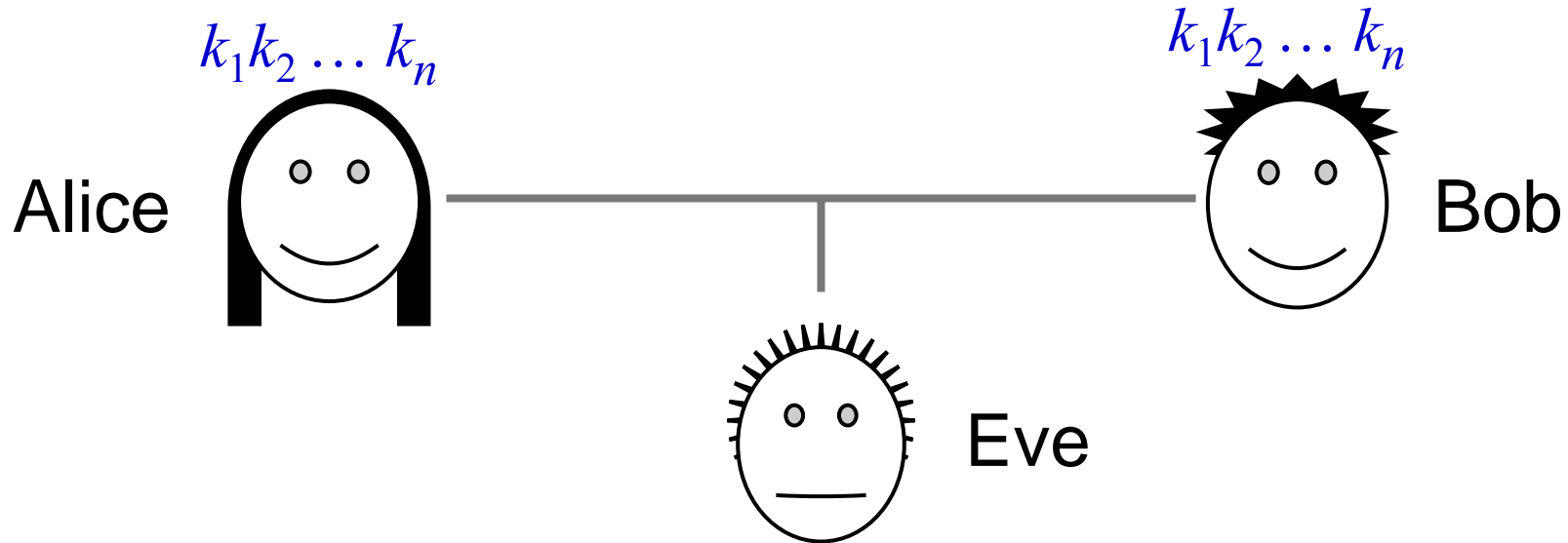
The 7-qubit CSS code has some nice properties that enable some (not all) gates to be directly performed on the encoded data:  $H$  and  $CNOT$  gates act “transversally” in the sense that:



Also, codes applied recursively become stronger

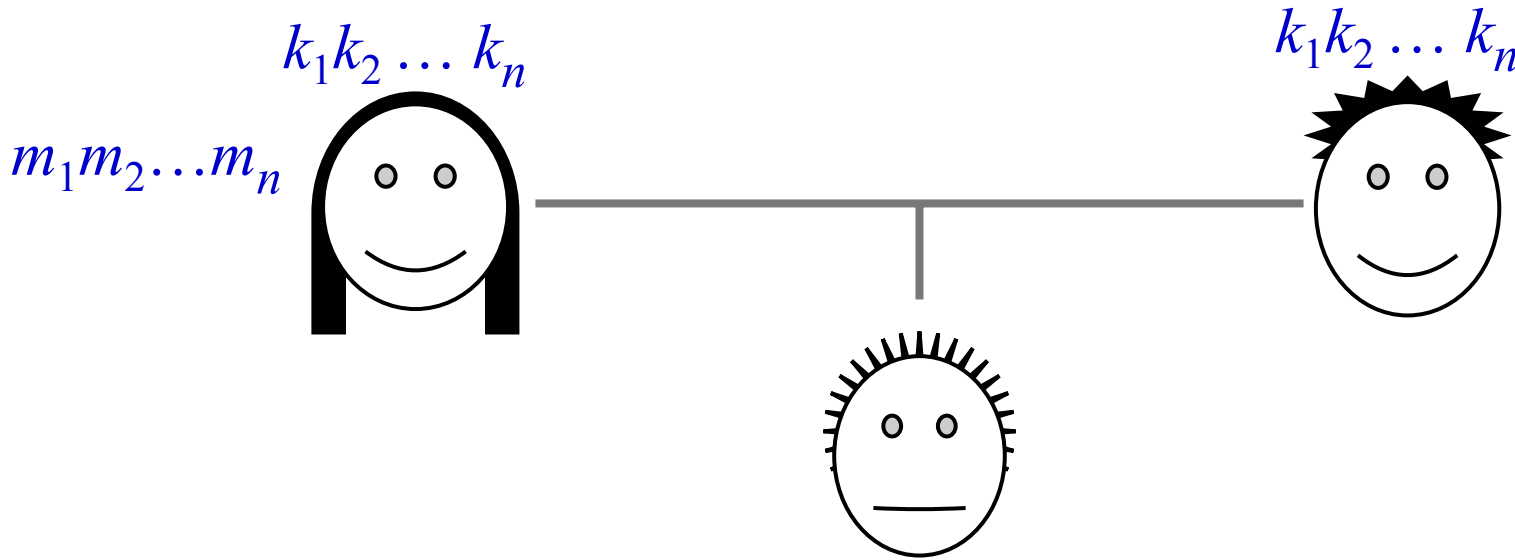
# Quantum key distribution

# Private communication



- Suppose Alice and Bob would like to communicate privately in the presence of an eavesdropper Eve
- A provably secure (classical) scheme exists for this, called the **one-time pad**
- The one-time pad requires Alice & Bob to share a **secret key**:  $k \in \{0,1\}^n$ , uniformly distributed (secret from Eve)

# Private communication



## One-time pad protocol:

- Alice sends  $c = m \oplus k$  to Bob
- Bob receives computes  $c \oplus k$ , which is  $(m \oplus k) \oplus k = m$

This is secure because, what Eve sees is  $c$ , and  $c$  is uniformly distributed, regardless of what  $m$  is



# Key distribution scenario

- For security, Alice and Bob must never reuse the key bits
  - E.g., if Alice encrypts both  $m$  and  $m'$  using the same key  $k$  then Eve can deduce  $m \oplus m' = c \oplus c'$
- Problem: how do they distribute the secret key bits in the first place?
  - Presumably, there is some trusted preprocessing stage where this is set up (say, where Alice and Bob get together, or where they use a trusted third party)
- **Key distribution problem:** set up a large number of secret key bits

# Key distribution based on computational hardness

- The **RSA** protocol can be used for key distribution:
  - Alice chooses a random key, encrypts it using Bob's ***public key***, and sends it to Bob
  - Bob decrypts Alice's message using his ***secret (private) key***
- The security of **RSA** is based on the presumed computational difficulty of factoring integers
- More abstractly, a key distribution protocol can be based on any ***trapdoor one-way function***
- Most such schemes are breakable by quantum computers

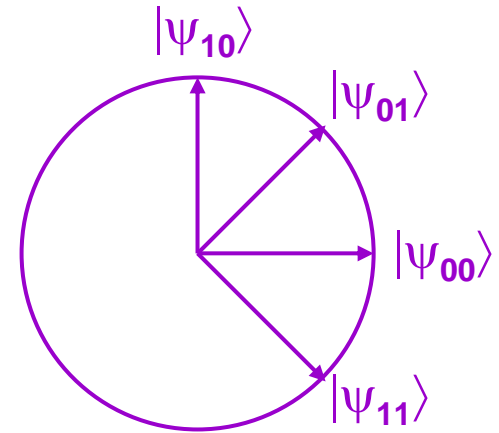
# Quantum key distribution (QKD)

- A protocol that enables Alice and Bob to set up a secure\* secret key, provided that they have:
  - A **quantum channel**, where Eve can read and modify messages
  - An **authenticated classical channel**, where Eve can read messages, but cannot tamper with them (the authenticated classical channel can be simulated by Alice and Bob having a **very short** classical secret key)
- There are several protocols for QKD, and the first one proposed is called “**BB84**” [Bennett & Brassard, 1984]:
  - BB84 is “easy to implement” physically, but “difficult” to prove secure
  - [Mayers, 1996]: first true security proof (quite complicated)
  - [Shor & Preskill, 2000]: “simple” proof of security

\* Information-theoretic security

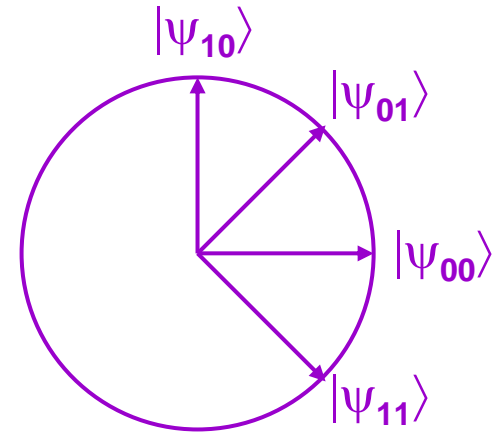
# BB84

- First, define:  
 $|\psi_{00}\rangle = |0\rangle$   
 $|\psi_{10}\rangle = |1\rangle$   
 $|\psi_{11}\rangle = |-\rangle = |0\rangle - |1\rangle$   
 $|\psi_{01}\rangle = |+\rangle = |0\rangle + |1\rangle$
- Alice begins with two random  $n$ -bit strings  $a, b \in \{0,1\}^n$
- Alice sends the state  $|\psi\rangle = |\psi_{a_1b_1}\rangle |\psi_{a_2b_2}\rangle \cdots |\psi_{a_nb_n}\rangle$  to Bob
- Note:** Eve may see these qubits (and tamper with them)
- After receiving  $|\psi\rangle$ , Bob randomly chooses  $b' \in \{0,1\}^n$  and measures each qubit as follows:
  - If  $b'_i = 0$  then measure qubit in basis  $\{|0\rangle, |1\rangle\}$ , yielding outcome  $a'_i$
  - If  $b'_i = 1$  then measure qubit in basis  $\{|+\rangle, |-\rangle\}$ , yielding outcome  $a'_i$

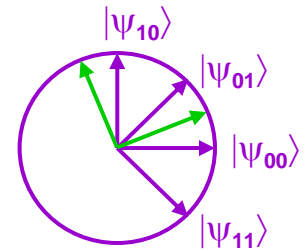


# BB84

- **Note:**
  - If  $b'_i = b_i$  then  $a'_i = a_i$
  - If  $b'_i \neq b_i$  then  $\Pr[a'_i = a_i] = \frac{1}{2}$
- Bob informs Alice when he has performed his measurements (using the public channel)
- Next, Alice reveals  $b$  and Bob reveals  $b'$  over the public channel
- They discard the cases where  $b'_i \neq b_i$  and they will use the **remaining bits** of  $a$  and  $a'$  to produce the key
- **Note:**
  - If Eve did not disturb the qubits then the key can be just  $a$  ( $= a'$ )
  - The **interesting** case is where Eve may tamper with  $|\psi\rangle$  while it is sent from Alice to Bob



# BB84



- **Intuition:**
  - Eve cannot acquire information about  $|\psi\rangle$  without disturbing it, which will cause **some** of the bits of  $a$  and  $a'$  to disagree
  - It can be proven\* that: **the more information Eve acquires about  $a$ , the more bit positions of  $a$  and  $a'$  will be different**
- From Alice and Bob's remaining bits,  $a$  and  $a'$  (where the positions where  $b'_i \neq b_i$  have already been discarded):
  - They take a random subset and reveal them in order to estimate the fraction of bits where  $a$  and  $a'$  disagree
  - If this fraction is not too high then they proceed to distill a key from the bits of  $a$  and  $a'$  that are left over (around  $n/4$  bits)

\* To prove this rigorously is nontrivial

# BB84

- If the error rate between  $a$  and  $a'$  is below some threshold (around 11%) then Alice and Bob can produce a good key using techniques from classical cryptography:
  - **Information reconciliation** (“distributed error correction”): to produce shorter  $a$  and  $a'$  such that (i)  $a = a'$ , and (ii) Eve doesn’t acquire much information about  $a$  and  $a'$  in the process
  - **Privacy amplification**: to produce shorter  $a$  and  $a'$  such that Eve’s information about  $a$  and  $a'$  is very small
- There are already commercially available implementations of BB84, though assessing their true security is a subtle matter (since their physical mechanisms are not ideal)

# Schmidt decomposition



# Schmidt decomposition

## Theorem:

Let  $|\psi\rangle$  be **any** bipartite quantum state:

$$|\psi\rangle = \sum_{a=1}^m \sum_{b=1}^n \alpha_{a,b} |a\rangle \otimes |b\rangle \quad (\text{where we can assume } n \leq m)$$

Then there exist orthonormal states

$|\mu_1\rangle, |\mu_2\rangle, \dots, |\mu_n\rangle$  and  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_n\rangle$  such that

- $|\psi\rangle = \sum_{c=1}^n \sqrt{p_c} |\mu_c\rangle \otimes |\varphi_c\rangle$
- $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_n\rangle$  are the eigenvectors of  $\text{Tr}_1 |\psi\rangle\langle\psi|$

# Schmidt decomposition: proof (I)

The density matrix for state  $|\psi\rangle$  is given by  $|\psi\rangle\langle\psi|$

Tracing out the first system, we obtain the density matrix of the second system,  $\rho = \text{Tr}_1 |\psi\rangle\langle\psi|$

Since  $\rho$  is a density matrix, we can express  $\rho = \sum_{c=1}^n p_c |\varphi_c\rangle\langle\varphi_c|$ , where  $|\varphi_1\rangle, |\varphi_2\rangle, \dots, |\varphi_n\rangle$  are orthonormal eigenvectors of  $\rho$

Now, returning to  $|\psi\rangle$ , we can express  $|\psi\rangle = \sum_{c=1}^n |v_c\rangle \otimes |\varphi_c\rangle$ , where  $|v_1\rangle, |v_2\rangle, \dots, |v_n\rangle$  are **just some arbitrary vectors** (not necessarily valid quantum states; for example, they might not have unit length, and we cannot presume they're orthogonal)

# Schmidt decomposition: proof (II)

**Claim:**  $\langle \mathbf{v}_c | \mathbf{v}_{c'} \rangle = \begin{cases} p_c & \text{if } c = c' \\ 0 & \text{if } c \neq c' \end{cases}$

**Proof of Claim:** Compute the partial trace  $\text{Tr}_1$  of  $|\psi\rangle\langle\psi|$  from

$$|\psi\rangle\langle\psi| = \left( \sum_{c=1}^n |\mathbf{v}_c\rangle \otimes |\varphi_c\rangle \right) \left( \sum_{c'=1}^n \langle \mathbf{v}_{c'}| \otimes \langle \varphi_{c'}| \right) = \sum_{c=1}^n \sum_{c'=1}^n |\mathbf{v}_c\rangle\langle \mathbf{v}_{c'}| \otimes |\varphi_c\rangle\langle \varphi_{c'}|$$

Note that:  $\text{Tr}_1(A \otimes B) = \text{Tr}(A) \cdot B$       Example:  $\text{Tr}_1(\rho \otimes \sigma) = \sigma$

$$\begin{aligned} \text{Tr}_1 \left( \sum_{c=1}^n \sum_{c'=1}^n |\mathbf{v}_c\rangle\langle \mathbf{v}_{c'}| \otimes |\varphi_c\rangle\langle \varphi_{c'}| \right) &= \sum_{c=1}^n \sum_{c'=1}^n \text{Tr}(|\mathbf{v}_c\rangle\langle \mathbf{v}_{c'}|) |\varphi_c\rangle\langle \varphi_{c'}| \quad (\text{linearity}) \\ &= \sum_{c=1}^n \sum_{c'=1}^n \langle \mathbf{v}_{c'} | \mathbf{v}_c \rangle |\varphi_c\rangle\langle \varphi_{c'}| \end{aligned}$$

Since  $\sum_{c=1}^n \sum_{c'=1}^n \langle \mathbf{v}_{c'} | \mathbf{v}_c \rangle \otimes |\varphi_c\rangle\langle \varphi_{c'}| = \sum_{c=1}^n p_c |\varphi_c\rangle\langle \varphi_c|$  the claim follows

# Schmidt decomposition: proof (III)

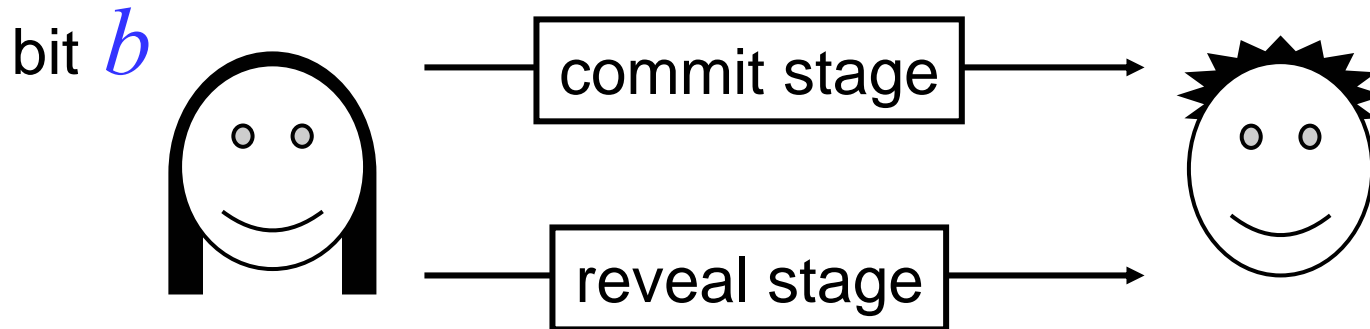
Normalize the  $|v_c\rangle$  by setting  $|\mu_c\rangle = \frac{1}{\sqrt{p_c}}|v_c\rangle$

Then  $\langle \mu_c | \mu_{c'} \rangle = \begin{cases} 1 & \text{if } c = c' \\ 0 & \text{if } c \neq c' \end{cases}$

and  $|\psi\rangle = \sum_{c=1}^n \sqrt{p_c} |\mu_c\rangle \otimes |\varphi_c\rangle$

# The story of bit commitment

# Bit-commitment



- Alice has a bit  $b$  that she wants to **commit** to Bob:
- After the **commit** stage, Bob should know nothing about  $b$ , but Alice should not be able to change her mind
- After the **reveal** stage, either:
  - Bob should learn  $b$  and accept its value, or
  - Bob should reject Alice's reveal message, if she deviates from the protocol

# Simple physical implementation

- **Commit:** Alice writes  $b$  down on a piece of paper, locks it in a safe, sends the safe to Bob, but keeps the key
- **Reveal:** Alice sends the key to Bob, who then opens the safe
- Desirable properties:
  - **Binding:** Alice cannot change  $b$  after **commit**
  - **Concealing:** Bob learns nothing about  $b$  until **reveal**

**Question:** why should anyone care about bit-commitment?

**Answer:** it is a useful primitive operation for other protocols, such as coin-flipping, and “zero-knowledge proof systems”

# Complexity-theoretic implementation

Based on a **one-way function**\*  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  and a **hard-predicate**  $h: \{0,1\}^n \rightarrow \{0,1\}$  for  $f$

**Commit:** Alice picks a random  $x \in \{0,1\}^n$ , sets  $y = f(x)$  and  $c = b \oplus h(x)$  and then sends  $y$  and  $c$  to Bob

**Reveal:** Alice sends  $x$  to Bob, who verifies that  $y = f(x)$  and then sets  $b = c \oplus h(x)$

This is (i) perfectly binding and (ii) computationally concealing, based on the hardness of predicate  $h$

\* should be one-to-one



# Quantum implementation

- Inspired by the success of QKD, one can try to use the properties of quantum mechanical systems to design an information-theoretically secure bit-commitment scheme
- One simple idea:
  - To **commit** to **0**, Alice sends a random sequence from  $\{|0\rangle, |1\rangle\}$
  - To **commit** to **1**, Alice sends a random sequence from  $\{|+\rangle, |-\rangle\}$
  - Bob measures each qubit received in a random basis
  - To **reveal**, Alice tells Bob exactly which states she sent in the commitment stage (by sending its index 00, 01, 10, or 11), and Bob checks for consistency with his measurement results
- A paper appeared in 1993 proposing a quantum bit-commitment scheme and a proof of security

# Impossibility proof I

- Not only was the 1993 scheme shown to be insecure, but it was later shown that ***no such scheme can exist!***
- To understand the impossibility proof, recall the ***Schmidt decomposition:***

Let  $|\psi\rangle$  be any bipartite quantum state:

$$|\psi\rangle = \sum_{a=1}^n \sum_{b=1}^n \alpha_{a,b} |a\rangle |b\rangle$$

Then there exist orthonormal states

$|\mu_1\rangle, |\mu_2\rangle, \dots, |\mu_n\rangle$  and  $|\phi_1\rangle, |\phi_2\rangle, \dots, |\phi_n\rangle$  such that

$$|\psi\rangle = \sum_{c=1}^n \beta_c |\mu_c\rangle |\phi_c\rangle$$

**Eigenvectors of  $\text{Tr}_1 |\psi\rangle\langle\psi|$**

# Impossibility proof II

- **Corollary:** if  $|\psi_0\rangle, |\psi_1\rangle$  are two bipartite states such that  $\text{Tr}_1 |\psi_0\rangle\langle\psi_0| = \text{Tr}_1 |\psi_1\rangle\langle\psi_1|$  then there exists a unitary  $U$  (acting on the first register) such that  $(U \otimes I)|\psi_0\rangle = |\psi_1\rangle$

- **Proof:**

$$|\psi_0\rangle = \sum_{c=1}^n \beta_c |\mu_c\rangle |\phi_c\rangle \quad \text{and} \quad |\psi_1\rangle = \sum_{c=1}^n \beta_c |\mu'_c\rangle |\phi_c\rangle$$

We can define  $U$  so that  $U|\mu_c\rangle = |\mu'_c\rangle$  for  $c = 1, 2, \dots, n$  

- Protocol can be “purified” so that Alice’s commit states are  $|\psi_0\rangle$  &  $|\psi_1\rangle$  (where she sends the second register to Bob)
- By applying  $U$  to her register, **Alice can change her commitment** from  $b = 0$  to  $b = 1$  (by changing  $|\psi_0\rangle$  to  $|\psi_1\rangle$ )