

# **Introduction to Quantum Information Processing**

**CS 667 / PH 767 / CO 681 / AM 871**

## **Lecture 18 (2009)**

**Richard Cleve**

DC 2117

[cleve@cs.uwaterloo.ca](mailto:cleve@cs.uwaterloo.ca)

# Grover's quantum search algorithm

# Quantum search problem

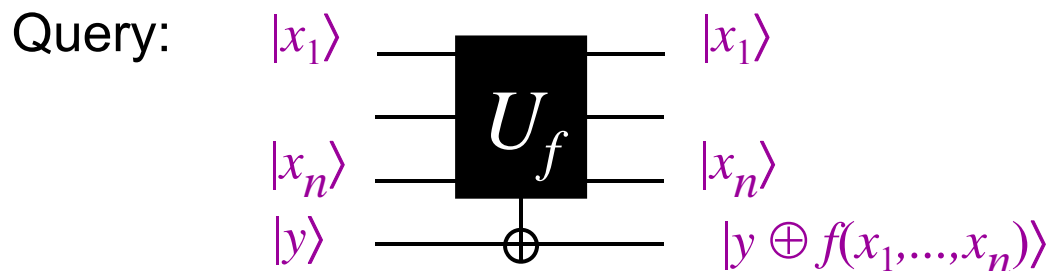
**Given:** a black box computing  $f: \{0,1\}^n \rightarrow \{0,1\}$

**Goal:** determine if  $f$  is **satisfiable** (if  $\exists x \in \{0,1\}^n$  s.t.  $f(x) = 1$ )

In positive instances, it makes sense to also **find** such a satisfying assignment  $x$

**Classically**, using probabilistic procedures, order  $2^n$  queries are necessary to succeed—even with probability  $3/4$  (say)

Grover's **quantum** algorithm that makes only  $O(\sqrt{2^n})$  queries



[Grover '96]

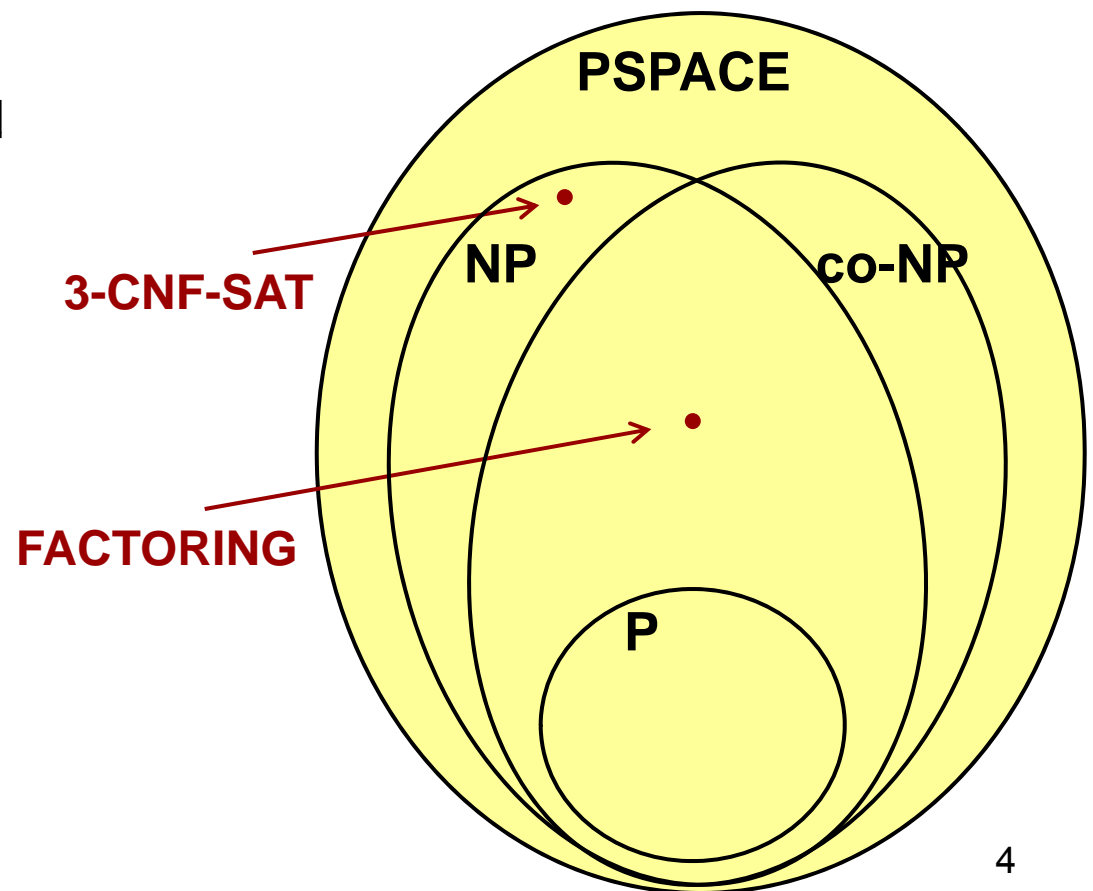
# Applications of quantum search

The function  $f$  could be realized as a **3-CNF** formula:

$$f(x_1, \dots, x_n) = (x_1 \vee \bar{x}_3 \vee x_4) \wedge (\bar{x}_2 \vee x_3 \vee \bar{x}_5) \wedge \dots \wedge (\bar{x}_1 \vee x_5 \vee \bar{x}_n)$$

Alternatively, the search could be for a certificate for any problem in **NP**

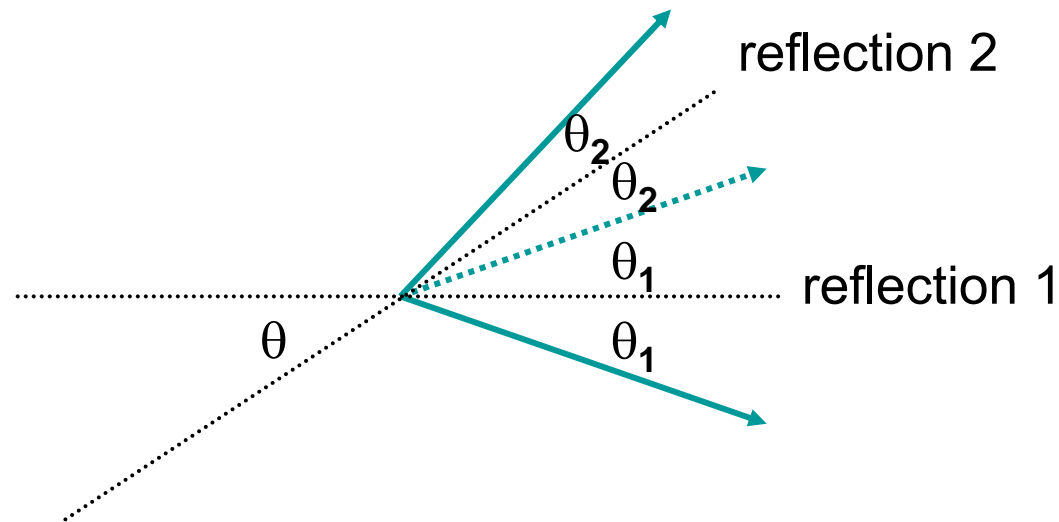
The resulting quantum algorithms appear to be ***quadratically*** more efficient than the best classical algorithms known



# Prelude to Grover's algorithm:

**two reflections = a rotation**

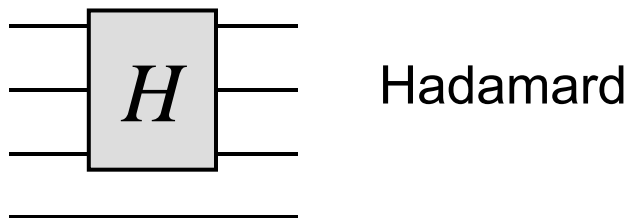
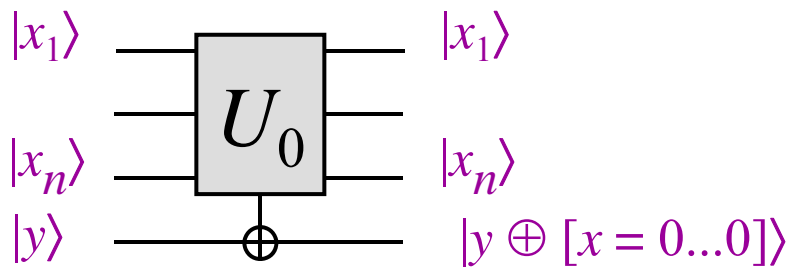
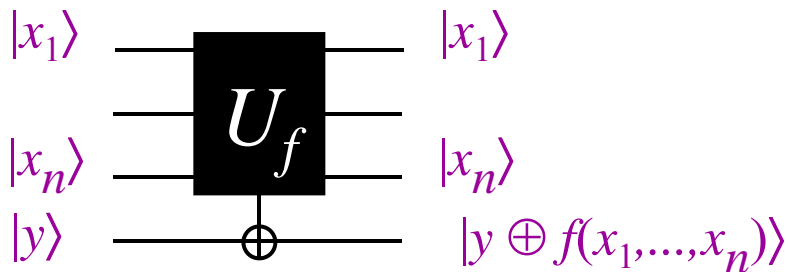
Consider two lines with intersection angle  $\theta$ :



Net effect: rotation by angle  $2\theta$ , *regardless of starting vector*

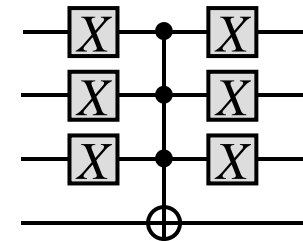
# Grover's algorithm: description I

Basic operations used:

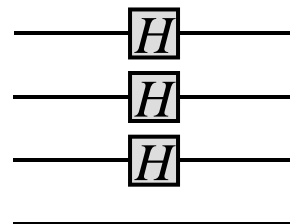


$$U_f |x\rangle|-\rangle = (-1)^{f(x)} |x\rangle|-\rangle$$

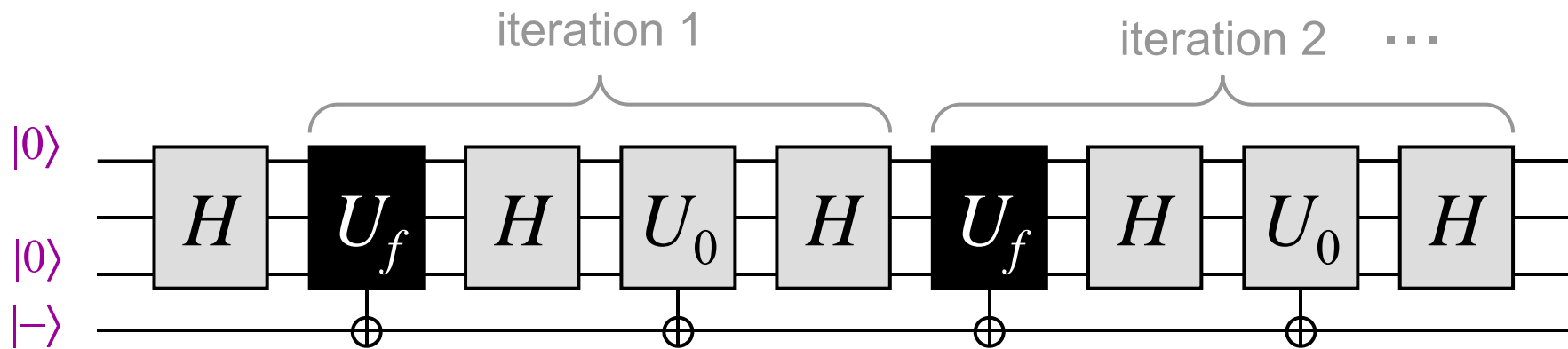
Implementation?



$$U_0 |x\rangle|-\rangle = (-1)^{[x = 0 \dots 0]} |x\rangle|-\rangle$$



# Grover's algorithm: description II



1. construct state  $H|0\dots 0\rangle|-\rangle$
  2. repeat  $k$  times:  
     apply  $-HU_0HU_f$  to state
  3. measure state, to get  $x \in \{0,1\}^n$ , and check if  $f(x)=1$
- (The setting of  $k$  will be determined later)

# Grover's algorithm: analysis I

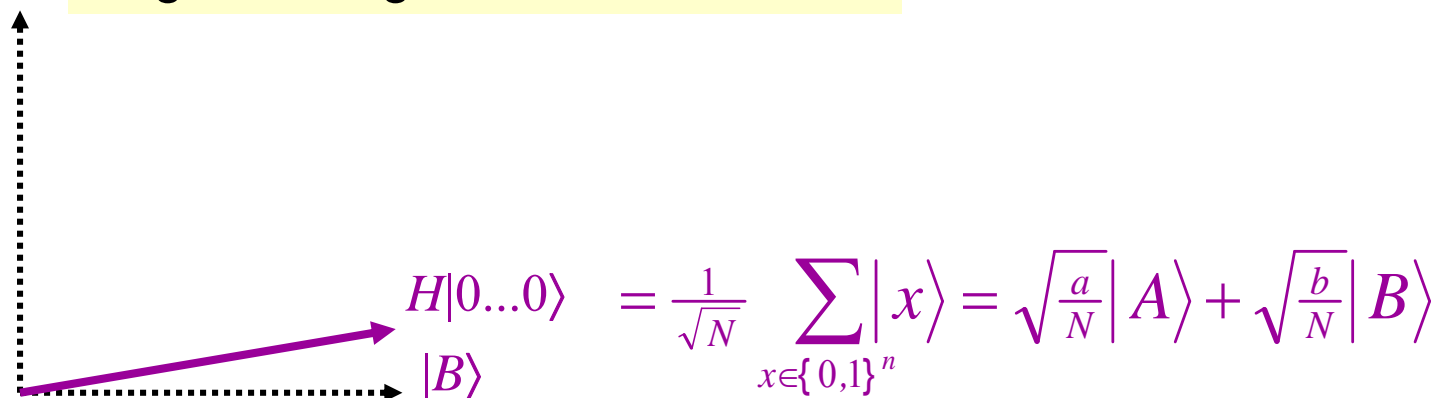
Let  $A = \{x \in \{0,1\}^n : f(x) = 1\}$  and  $B = \{x \in \{0,1\}^n : f(x) = 0\}$

and  $N = 2^n$  and  $a = |A|$  and  $b = |B|$

Let  $|A\rangle = \frac{1}{\sqrt{a}} \sum_{x \in A} |x\rangle$  and  $|B\rangle = \frac{1}{\sqrt{b}} \sum_{x \in B} |x\rangle$

Consider the space spanned by  $|A\rangle$  and  $|B\rangle$

$|A\rangle \leftarrow$  goal is to get close to this state

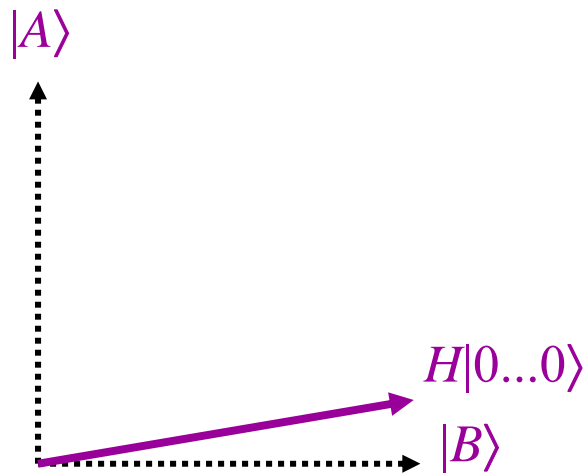


$$H|0\dots 0\rangle = \frac{1}{\sqrt{N}} \sum_{x \in \{0,1\}^n} |x\rangle = \sqrt{\frac{a}{N}} |A\rangle + \sqrt{\frac{b}{N}} |B\rangle$$

Interesting case:  $a \ll N$



# Grover's algorithm: analysis II



Algorithm:  $(-HU_0HU_f)^k H|0\dots 0\rangle$

## Observation:

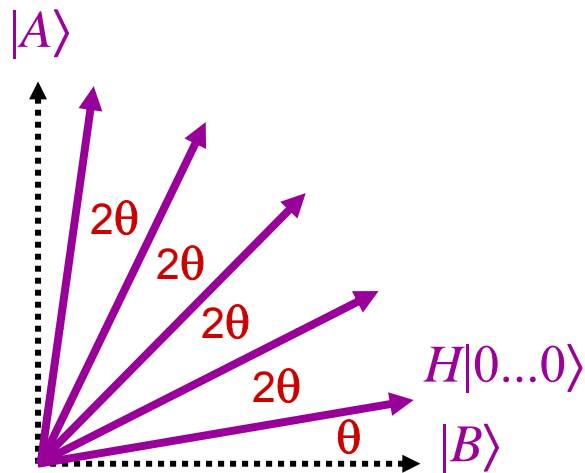
$U_f$  is a reflection about  $|B\rangle$ :  $U_f|A\rangle = -|A\rangle$  and  $U_f|B\rangle = |B\rangle$

**Question:** what is  $-HU_0H$ ?  $U_0$  is a reflection about  $H|0\dots 0\rangle$

## Partial proof:

$$-HU_0HH|0\dots 0\rangle = -HU_0|0\dots 0\rangle = -H(-|0\dots 0\rangle) = H|0\dots 0\rangle$$

# Grover's algorithm: analysis III



Algorithm:  $(-HU_0HU_f)^k H|0\dots 0\rangle$

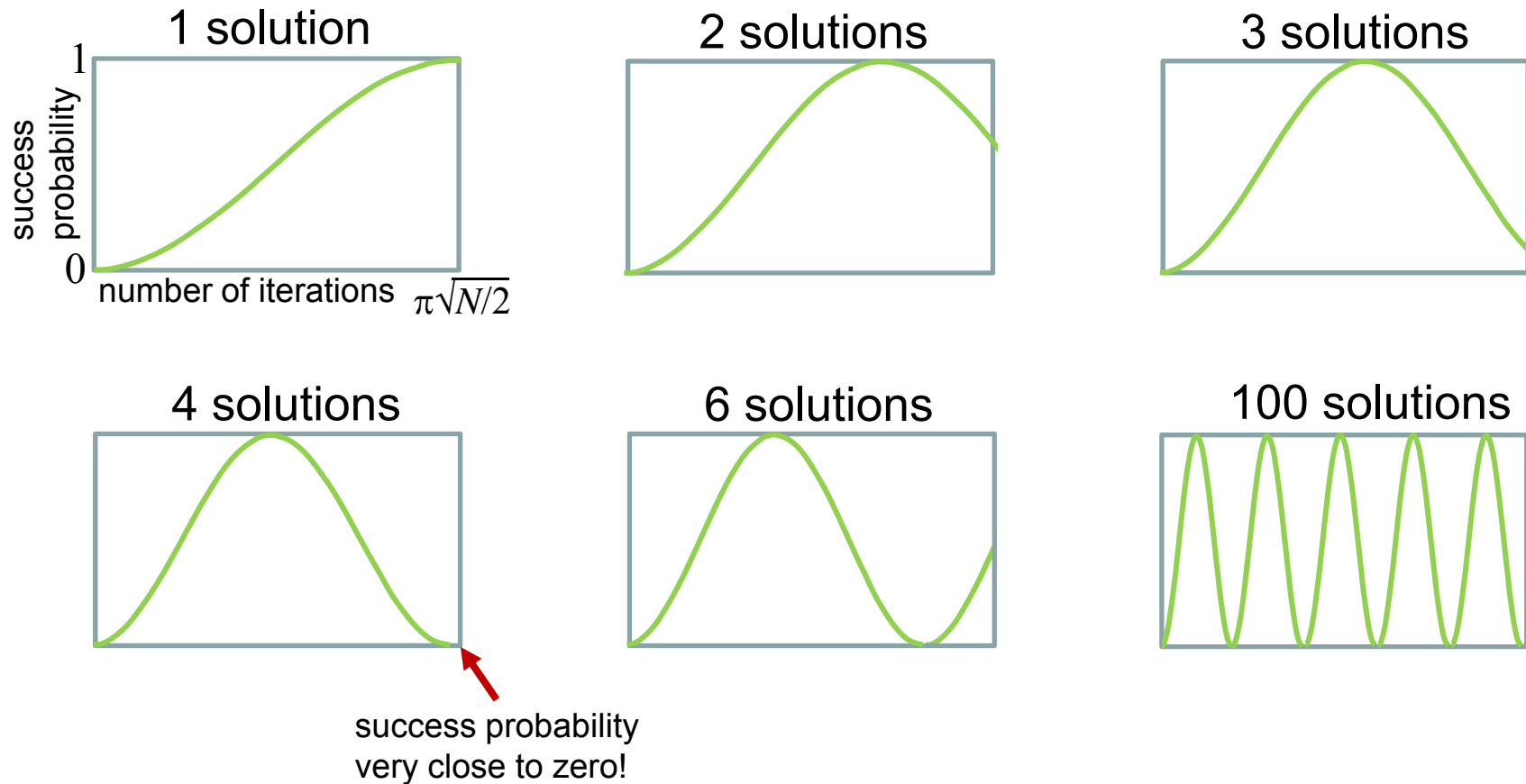
Since  $-HU_0HU_f$  is a composition of two reflections, it is a rotation by  $2\theta$ , where  $\sin(\theta) = \sqrt{a/N} \approx \sqrt{a/N}$

When  $a = 1$ , we want  $(2k+1)(1/\sqrt{N}) \approx \pi/2$ , so  $k \approx (\pi/4)\sqrt{N}$

More generally, it suffices to set  $k \approx (\pi/4)\sqrt{N/a}$

**Question: what if  $a$  is not known in advance?**

# Unknown number of solutions



Choose a **random**  $k$  in the range to get success probability  $> 0.43$

# Optimality of Grover's algorithm

# Optimality of Grover's algorithm I

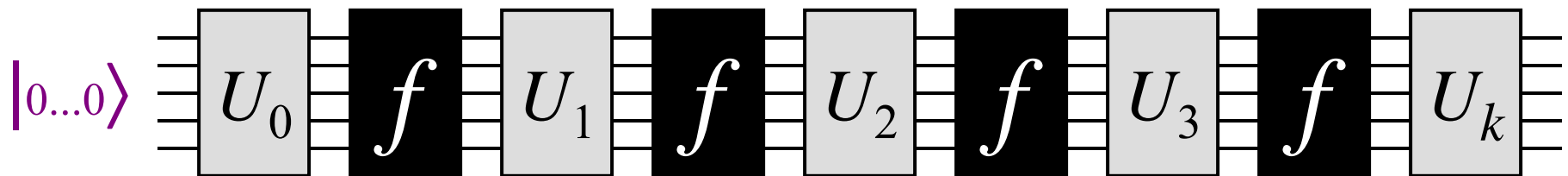
**Theorem:** any quantum search algorithm for  $f: \{0,1\}^n \rightarrow \{0,1\}$  must make  $\Omega(\sqrt{2^n})$  queries to  $f$  (if  $f$  is used as a black-box)

**Proof** (of a slightly simplified version):

Assume queries are of the form



and that a  $k$ -query algorithm is of the form

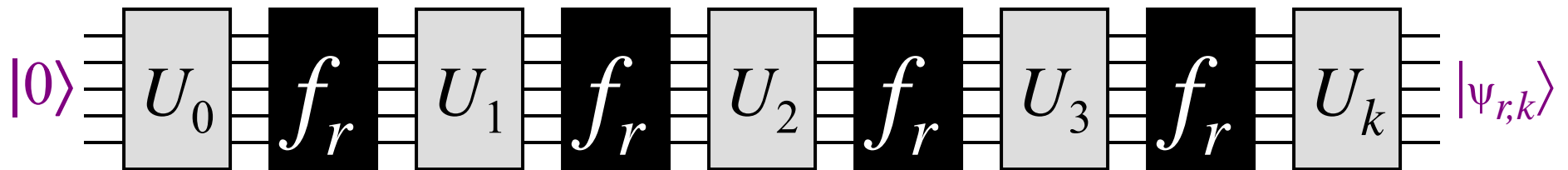


where  $U_0, U_1, U_2, \dots, U_k$ , are arbitrary unitary operations

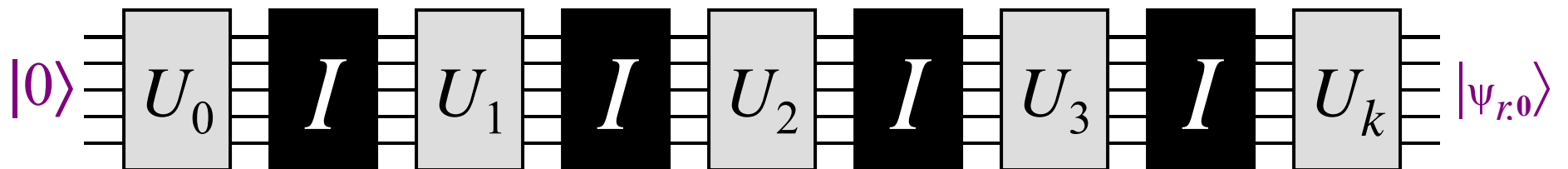
# Optimality of Grover's algorithm II

Define  $f_r : \{0,1\}^n \rightarrow \{0,1\}$  as  $f_r(x) = 1$  iff  $x = r$

Consider



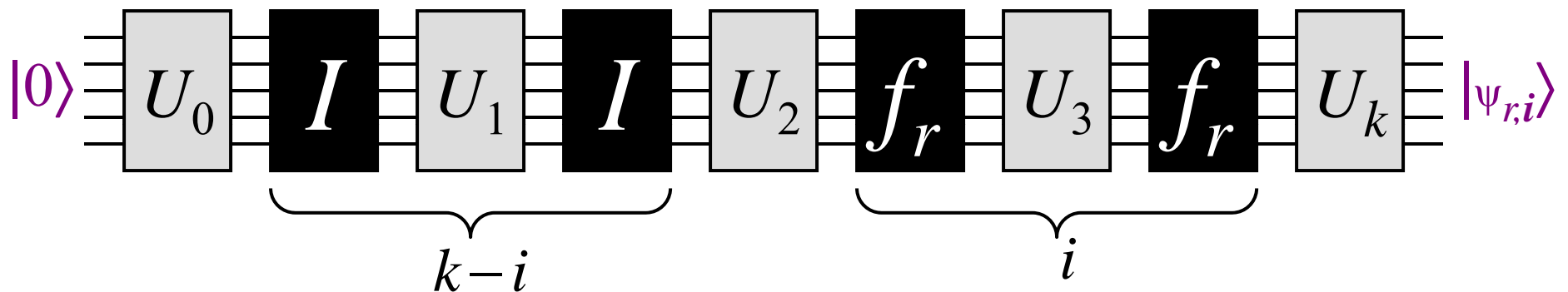
versus



We'll show that, averaging over all  $r \in \{0,1\}^n$ ,  $\| |\psi_{r,k}\rangle - |\psi_{r,0}\rangle \| \leq 2k/\sqrt{2^n}$

# Optimality of Grover's algorithm III

Consider



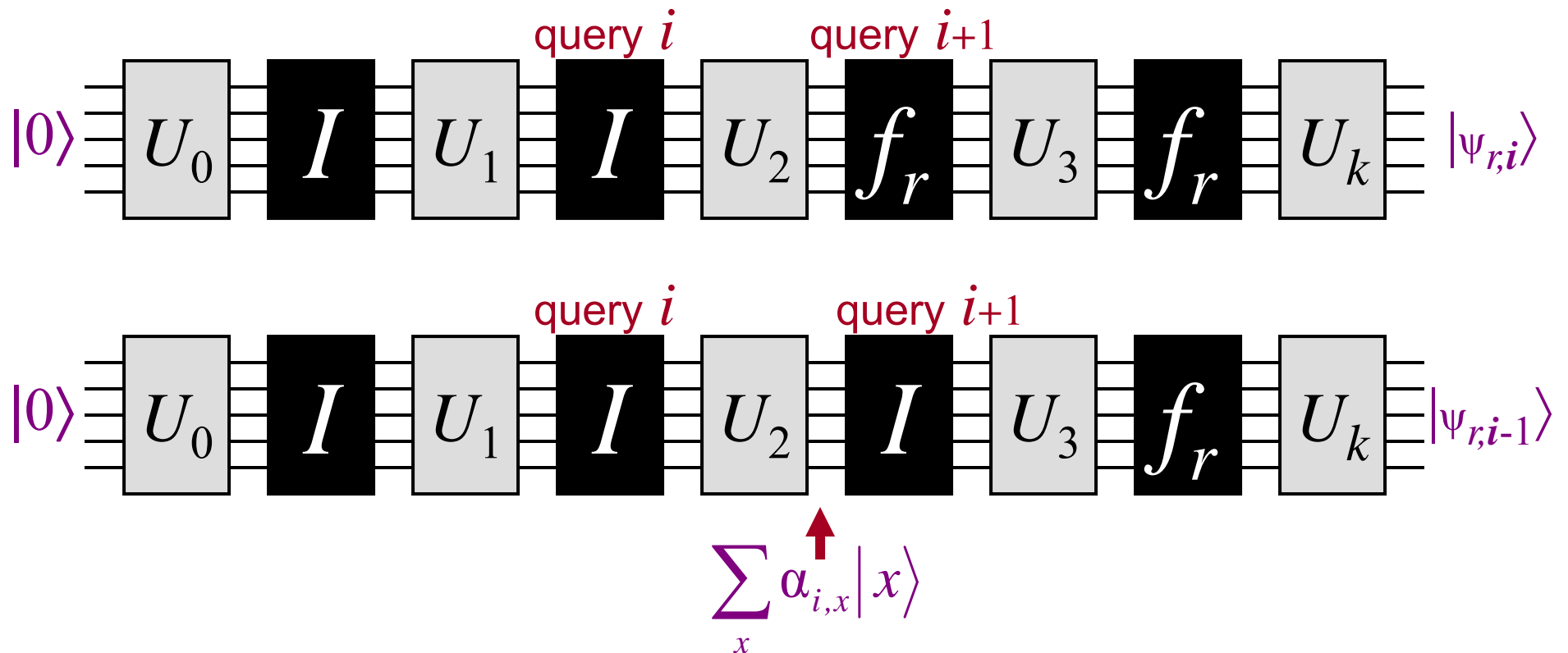
Note that

$$|\psi_{r,k}\rangle - |\psi_{r,0}\rangle = (|\psi_{r,k}\rangle - |\psi_{r,k-1}\rangle) + (|\psi_{r,k-1}\rangle - |\psi_{r,k-2}\rangle) + \dots + (|\psi_{r,1}\rangle - |\psi_{r,0}\rangle)$$

which implies

$$\| |\psi_{r,k}\rangle - |\psi_{r,0}\rangle \| \leq \| |\psi_{r,k}\rangle - |\psi_{r,k-1}\rangle \| + \dots + \| |\psi_{r,1}\rangle - |\psi_{r,0}\rangle \|$$

# Optimality of Grover's algorithm IV



$\| |\psi_{r,i}\rangle - |\psi_{r,i-1}\rangle \| = |2\alpha_{i,r}|$ , since query only negates  $|r\rangle$

Therefore,  $\| |\psi_{r,k}\rangle - |\psi_{r,0}\rangle \| \leq \sum_{i=0}^{k-1} 2|\alpha_{i,r}|$



# Optimality of Grover's algorithm V

Now, averaging over all  $r \in \{0,1\}^n$ ,

$$\begin{aligned} \frac{1}{2^n} \sum_r \left\| |\psi_{r,k}\rangle - |\psi_{r,0}\rangle \right\| &\leq \frac{1}{2^n} \sum_r \left( \sum_{i=0}^{k-1} 2|\alpha_{i,r}| \right) \\ &= \frac{1}{2^n} \sum_{i=0}^{k-1} 2 \left( \sum_r |\alpha_{i,r}| \right) \\ &\leq \frac{1}{2^n} \sum_{i=0}^{k-1} 2 \left( \sqrt{2^n} \right) \quad (\text{By Cauchy-Schwarz}) \\ &= \frac{2k}{\sqrt{2^n}} \end{aligned}$$

Therefore, for **some**  $r \in \{0,1\}^n$ , the number of queries  $k$  must be  $\Omega(\sqrt{2^n})$ , in order to distinguish  $f_r$  from the all-zero function

**This completes the proof**