

Introduction to Quantum Information Processing

CS 467 / CS 667

Phys 667 / Phys 767

C&O 481 / C&O 681

Lecture 4 (2005)

Richard Cleve

DC 653

cleve@cs.uwaterloo.ca

Course web site at:

<http://www.cs.uwaterloo.ca/~cleve>

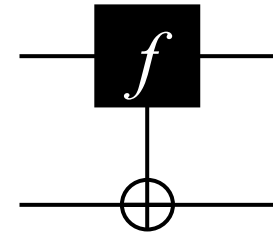
Contents

- Recap: query algorithms
- One-out-of-four search
- Constant vs. balanced
- $H \otimes H \otimes \dots \otimes H$
- Simon's problem

- Recap: query algorithms
- One-out-of-four search
- Constant vs. balanced
- $H \otimes H \otimes \dots \otimes H$
- Simon's problem

Query algorithms

Last time: quantum algorithm for computing $f(0) \oplus f(1)$ making just **1** query to f , whereas any classical algorithm requires **2** queries



This time: other, stronger quantum vs. classical separations

- Recap: query algorithms
- One-out-of-four search
- Constant vs. balanced
- $H \otimes H \otimes \dots \otimes H$
- Simon's problem

One-out-of-four search

Let $f: \{0,1\}^2 \rightarrow \{0,1\}$ have the property that there is exactly one $x \in \{0,1\}^2$ for which $f(x) = 1$

Four possibilities:

x	$f_{00}(x)$	x	$f_{01}(x)$	x	$f_{10}(x)$	x	$f_{11}(x)$
00	1	00	0	00	0	00	0
01	0	01	1	01	0	01	0
10	0	10	0	10	1	10	0
11	0	11	0	11	0	11	1

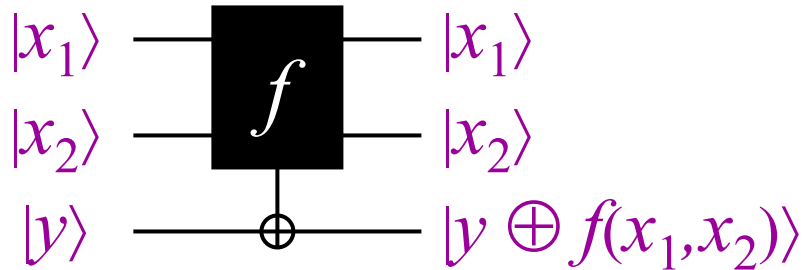
Goal: find $x \in \{0,1\}^2$ for which $f(x) = 1$

What is the minimum number of queries **classically**? _____

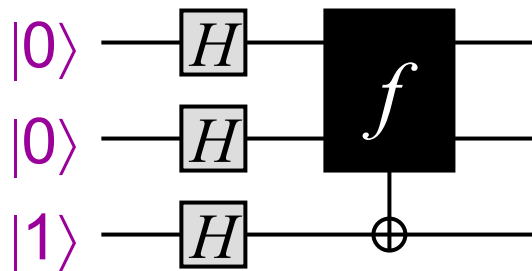
Quantumly? _____

Quantum algorithm (I)

Black box for 1-4 search:



Start by creating phases in superposition of all inputs to f :



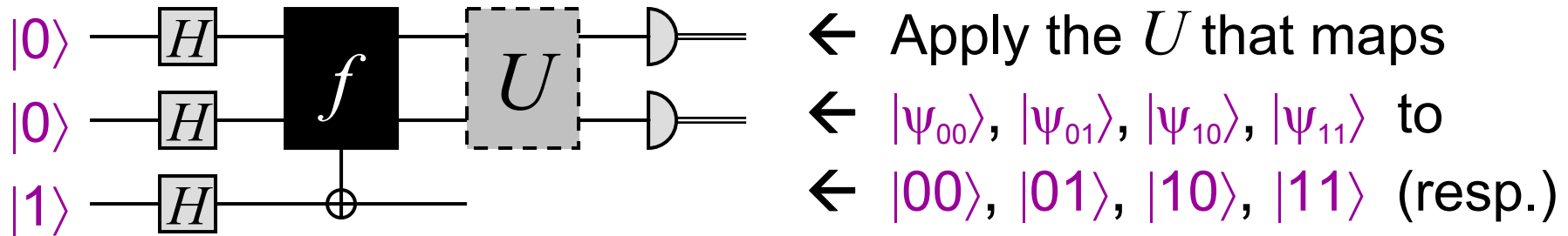
Input state to query?

$$(|00\rangle + |01\rangle + |10\rangle + |11\rangle)(|0\rangle - |1\rangle)$$

Output state of query?

$$((-1)^{f(00)}|00\rangle + (-1)^{f(01)}|01\rangle + (-1)^{f(10)}|10\rangle + (-1)^{f(11)}|11\rangle)(|0\rangle - |1\rangle)$$

Quantum algorithm (II)



Output state of the first two qubits in the four cases:

Case of f_{00} ? $|\psi_{00}\rangle = -|00\rangle + |01\rangle + |10\rangle + |11\rangle$

Case of f_{01} ? $|\psi_{01}\rangle = +|00\rangle - |01\rangle + |10\rangle + |11\rangle$

Case of f_{10} ? $|\psi_{10}\rangle = +|00\rangle + |01\rangle - |10\rangle + |11\rangle$

Case of f_{11} ? $|\psi_{11}\rangle = +|00\rangle + |01\rangle + |10\rangle - |11\rangle$

What noteworthy property do these states have? **Orthogonal!**

Challenge Exercise: simulate the above U in terms of H , Toffoli, and NOT gates

one-out-of- N search?

Natural question: what about search problems in spaces larger than *four* (and without uniqueness conditions)?

For spaces of size *eight* (say), the previous method breaks down—the state vectors will not be orthogonal

Later on, we'll see how to search a space of size N with $O(\sqrt{N})$ queries ...

- Recap: query algorithms
- One-out-of-four search
- **Constant vs. balanced**
- $H \otimes H \otimes \dots \otimes H$
- Simon's problem

Constant vs. balanced

Let $f: \{0,1\}^n \rightarrow \{0,1\}$ be either constant or balanced, where

- **constant** means $f(x) = 0$ for all x , or $f(x) = 1$ for all x
- **balanced** means $\sum_x f(x) = 2^{n-1}$

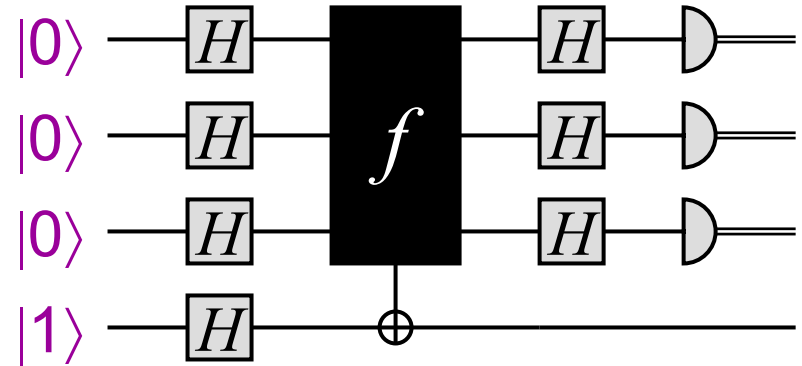
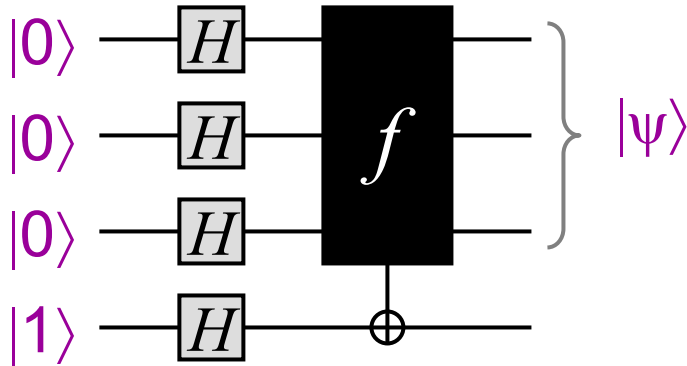
Goal: determine whether f is constant or balanced

How many queries are there needed **classically**? _____

Example: if $f(0000) = f(0001) = f(0010) = \dots = f(0111) = 0$
then it still could be either

Quantumly? _____

Quantum algorithm



Constant case: $|\psi\rangle = \pm \sum_x |x\rangle$ **Why?**

Balanced case: $|\psi\rangle$ is **orthogonal** to $\pm \sum_x |x\rangle$ **Why?**

How to distinguish between the cases? What is $H^{\otimes n}|\psi\rangle$?

Constant case: $H^{\otimes n}|\psi\rangle = \pm |00\dots 0\rangle$

Balanced case: $H^{\otimes n}|\psi\rangle$ is orthogonal to $|0\dots 00\rangle$

Last step of the algorithm: if the measured result is **000** then output “constant”, otherwise output “balanced”

Probabilistic *classical* algorithm solving constant vs balanced

But here's a classical procedure that makes only **2** queries and performs fairly well probabilistically:

1. pick $x_1, x_2 \in \{0,1\}^n$ randomly
2. if $f(x_1) \neq f(x_2)$ then output balanced else output constant

What happens if f is constant? The algorithm always succeeds

What happens if f is balanced? Succeeds with probability $\frac{1}{2}$

By repeating the above procedure k times:

$2k$ queries and one-sided error probability $(\frac{1}{2})^k$

Therefore, for large n , $\ll 2^n$ queries are likely sufficient

- Recap: query algorithms
- One-out-of-four search
- Constant vs. balanced
- $H \otimes H \otimes \dots \otimes H$
- Simon's problem

About $H \otimes H \otimes \dots \otimes H = H^{\otimes n}$

Theorem: for $x \in \{0,1\}^n$, $H^{\otimes n}|x\rangle = \frac{1}{2^{n/2}} \sum_{y \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle$
where $x \cdot y = x_1 y_1 \oplus \dots \oplus x_n y_n$

Example: $H \otimes H = \frac{1}{2} \begin{bmatrix} +1 & +1 & +1 & +1 \\ +1 & -1 & +1 & -1 \\ +1 & +1 & -1 & -1 \\ +1 & -1 & -1 & +1 \end{bmatrix}$

Pf: For all $x \in \{0,1\}^n$, $H|x\rangle = |0\rangle + (-1)^x |1\rangle = \sum_y (-1)^{xy} |y\rangle$

Thus, $H^{\otimes n}|x_1 \dots x_n\rangle = \left(\sum_{y_1} (-1)^{x_1 y_1} |y_1\rangle \right) \dots \left(\sum_{y_n} (-1)^{x_n y_n} |y_n\rangle \right)$
 $= \sum_y (-1)^{x_1 y_1 \oplus \dots \oplus x_n y_n} |y_1 \dots y_n\rangle \blacksquare$

- Recap: query algorithms
- One-out-of-four search
- Constant vs. balanced
- $H \otimes H \otimes \dots \otimes H$
- Simon's problem

Quantum vs. classical separations

black-box problem	quantum	classical
constant vs. balanced	1 (query)	2 (queries)
1-out-of-4 search	1	3
constant vs. balanced	1	$\frac{1}{2} 2^n + 1$
Simon's problem		

(only for exact)

(probabilistic)

Simon's problem

Let $f: \{0,1\}^n \rightarrow \{0,1\}^n$ have the property that there exists an $r \in \{0,1\}^n$ such that $f(x) = f(y)$ iff $x \oplus y = r$ or $x = y$

Example:

x	$f(x)$
000	011
001	101
010	000
011	010
100	101
101	011
110	010
111	000

What is r in this case? _____

Answer: $r = 101$

A classical algorithm for Simon

Search for a **collision**, an $x \neq y$ such that $f(x) = f(y)$

1. Choose $x_1, x_2, \dots, x_k \in \{0,1\}^n$ randomly (independently)
2. For all $i \neq j$, if $f(x_i) = f(x_j)$ then output $x_i \oplus x_j$ and halt

A hard case is where r is chosen randomly from $\{\mathbf{0}, \mathbf{1}\}^n - \{\mathbf{0}^n\}$ and then the “table” for f is filled out randomly subject to the structure implied by r

How big does k have to be for the probability of a collision to be a constant, such as $\frac{3}{4}$?

Answer: order $2^{n/2}$ (each (x_i, x_j) collides with prob. $O(2^{-n})$)

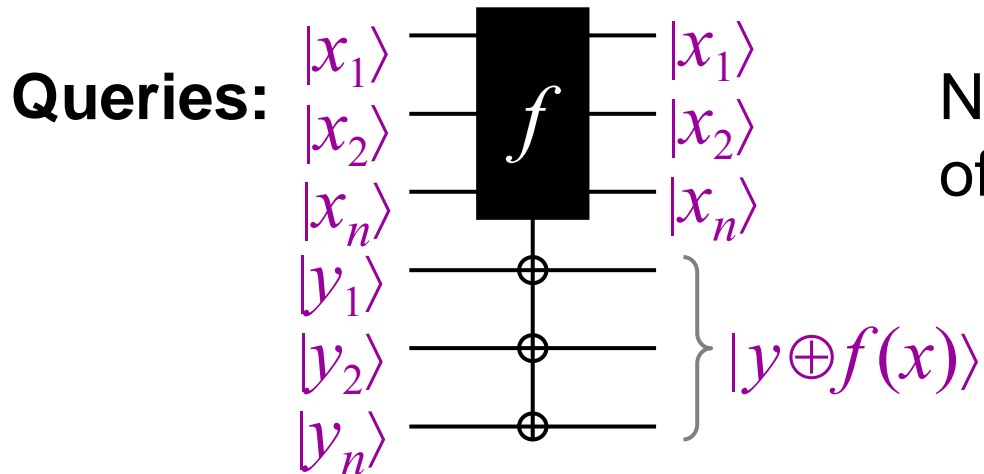
Classical lower bound

Theorem: *any* classical algorithm solving Simon's problem must make $\Omega(2^{n/2})$ queries

Proof is omitted here—note that the performance analysis of the previous algorithm does **not** imply the theorem

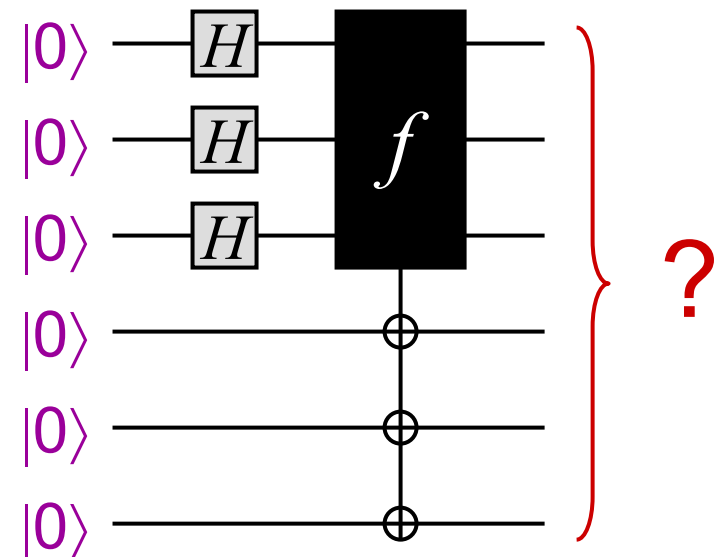
... how can we know that there isn't a **different** algorithm that performs better?

A *quantum* algorithm for Simon I



Not clear what *eigenvector* of target registers is ...

Proposed start of quantum algorithm: query all values of f in superposition



What is the output state of this circuit?

THE END

The text "THE END" is rendered in a bold, italicized, sans-serif font. The letters are a dark grey color. Below the text, there are several parallel, slightly offset lines in a gold or brownish-yellow color, creating a 3D shadow effect that makes the text appear to be floating above a surface.

A quantum algorithm for Simon II

Answer: the output state is $\sum_{x \in \{0,1\}^n} |x\rangle |f(x)\rangle$

Let $T \subseteq \{0,1\}^n$ be such that **one** element from each matched pair is in T (assume $r \neq 00\dots 0$)

Example: could take $T = \{000, 001, 111, 110\}$

Then the output state can be written as:

$$\sum_{x \in T} |x\rangle |f(x)\rangle + |x \oplus r\rangle |f(x \oplus r)\rangle$$

$$= \sum_{x \in T} (|x\rangle + |x \oplus r\rangle) |f(x)\rangle$$

x	$f(x)$
000	011
001	101
010	000
011	010
100	101
101	011
110	010
111	000

A quantum algorithm for Simon III

Measuring the second register yields $|x\rangle + |x \oplus r\rangle$ in the first register, for a random $x \in T$

How can we use this to obtain **some** information about r ?

Try applying $H^{\otimes n}$ to the state, yielding:

$$\begin{aligned} & \sum_{y \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle + \sum_{y \in \{0,1\}^n} (-1)^{(x \oplus r) \cdot y} |y\rangle \\ &= \sum_{y \in \{0,1\}^n} (-1)^{x \cdot y} \left(1 + (-1)^{r \cdot y} \right) |y\rangle \end{aligned}$$

Measuring this state yields y with prob. $\begin{cases} (1/2)^{n-1} & \text{if } r \cdot y = 0 \\ 0 & \text{if } r \cdot y \neq 0 \end{cases}$

A quantum algorithm for Simon IV

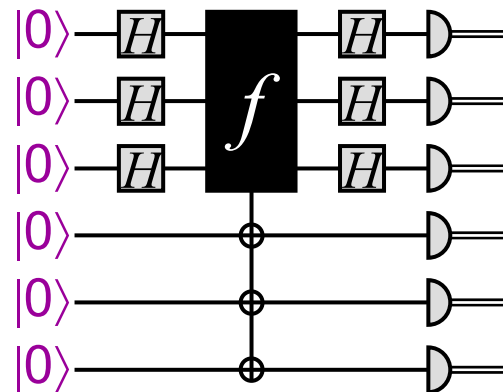
Executing this algorithm $k = O(n)$ times yields random $y_1, y_2, \dots, y_k \in \{0,1\}^n$ such that $r \cdot y_1 = r \cdot y_2 = \dots = r \cdot y_n = 0$

How does this help?

This is a system of k linear equations:

$$\begin{bmatrix} y_{11} & y_{12} & \cdots & y_{1n} \\ y_{21} & y_{22} & \cdots & y_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ y_{k1} & y_{k2} & \cdots & y_{kn} \end{bmatrix} \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_n \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

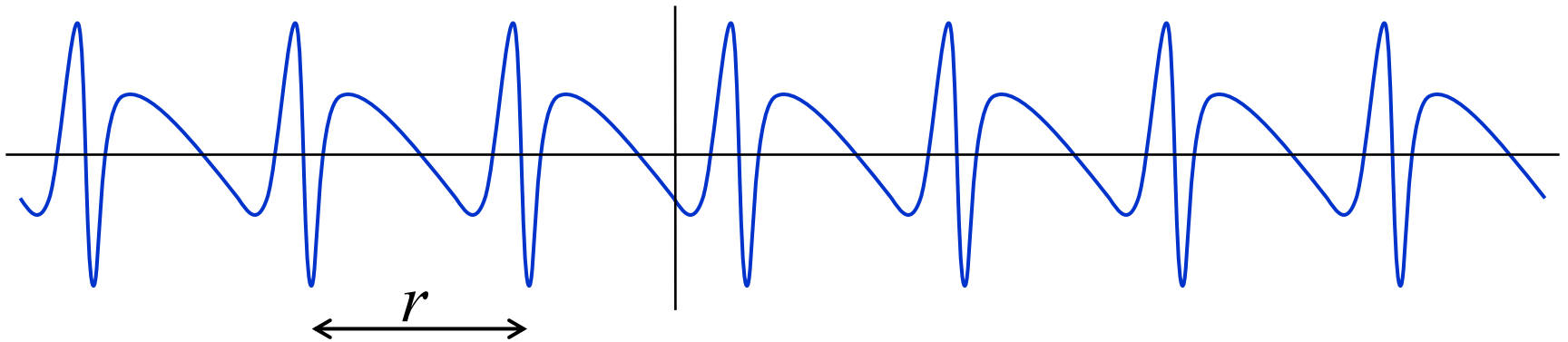
With high probability, there is a unique non-zero solution that is r (which can be efficiently found by linear algebra)



Preview of applications of black-box results

Period-finding

Given: $f: \mathbf{Z} \rightarrow \mathbf{Z}$ such that f is (strictly) r -periodic, in the sense that $f(x) = f(y)$ iff $x - y$ is a multiple of r (unknown)



Goal: find r

Classically, the number of queries required can be **“huge”** (essentially as hard as finding a collision)

There is a quantum algorithm that makes only a **constant** number of queries (which will be explained later on)

Simon's problem vs. period-finding

Period-finding problem: domain is \mathbf{Z} and property is $f(x) = f(y)$ iff $x - y$ is a multiple of r

This problem meaningfully generalizes to domain \mathbf{Z}^n

Deutsch's problem: domain is \mathbf{Z}_2 and property is $f(x) = f(y)$ iff $x \oplus y$ is a multiple of r ($r = 0$ means $f(0) = f(1)$ and $r = 1$ means $f(0) \neq f(1)$)

Simon's problem: domain is $(\mathbf{Z}_2)^n$ and property is $f(x) = f(y)$ iff $x \oplus y$ is a multiple of r

Application of period-finding algorithm

Order-finding problem: given a and m (positive integers such that $\gcd(a,m) = 1$), find the minimum positive r such that $a^r \bmod m = 1$

Note that this is ***not*** a black-box problem!

No classical polynomial-time algorithm is known for this problem (in fact, the factoring problem reduces to it)

The problem reduces to finding the period of $f(x) = a^x \bmod m$, and the aforementioned period-finding algorithm in the black-box model can be used to solve it in polynomial-time

The function f is substituted into the black-box ...

On simulating black boxes

How *not* to simulate a black box

Given an explicit function, such as $f(x) = a^x \bmod m$, and a finite domain $\{0, 1, 2, \dots, 2^n - 1\}$, simulate f -queries over that domain

Easy to compute mapping $|x\rangle|y\rangle|00\dots0\rangle \rightarrow |x\rangle|y \oplus f(x)\rangle|g(x)\rangle$, where the third register is “work space” with accumulated “garbage” (e.g., two such bits arise when a Toffoli gate is used to simulate an AND gate)

This works fine as long as f is not queried in superposition

If f is queried in superposition then the resulting state can be $\sum_x \alpha_x |x\rangle|y \oplus f(x)\rangle|g(x)\rangle$ Can we just discard the third register?

No ... there could be entanglement ...

Overview of Lecture 4

- The one-out-of-four search problem
- The constant vs. balanced problem
- $H \otimes H \otimes \dots \otimes H$
- Fourier sampling
- Preview of where black-box results are headed:
period-finding
- Simulating black boxes

Overview of Lecture 8

- BV problem: **1** vs. **n** separation robust against probabilistic algorithms
- Preview of where black-box results are headed: period-finding
- Simulating black boxes
- Simon's problem: **1** vs. **$2^{n/2}$** separation robust against probabilistic algorithms

How to simulate a black box

Simulate the mapping $|x\rangle|y\rangle|00\dots 0\rangle \rightarrow |x\rangle|y\oplus f(x)\rangle|00\dots 0\rangle$,
(i.e., clean up the “garbage”)

To do this, use an additional register and:

1. compute $|x\rangle|y\rangle|00\dots 0\rangle|00\dots 0\rangle \rightarrow |x\rangle|y\rangle|f(x)\rangle|g(x)\rangle$
(ignoring the 2nd register in this step)
2. compute $|x\rangle|y\rangle|f(x)\rangle|g(x)\rangle \rightarrow |x\rangle|y\oplus f(x)\rangle|f(x)\rangle|g(x)\rangle$
(using CNOT gates between the 2nd and 3rd registers)
3. compute $|x\rangle|y\oplus f(x)\rangle|f(x)\rangle|g(x)\rangle \rightarrow |x\rangle|y\oplus f(x)\rangle|00\dots 0\rangle|00\dots 0\rangle$
(by reversing the procedure in step 1)

Total cost: around twice the cost of computing f , plus n auxiliary gates

Quantum vs. classical separations

black-box problem	quantum	classical
constant vs. balanced	1 (query)	2 (queries)
1-out-of-4 search	1	3
constant vs. balanced	1	$\frac{1}{2} 2^n + 1$ (only for exact)
BV problem	1	n (probabilistic)

BV problem

BV problem

[Bernstein & Vazirani, 1993]

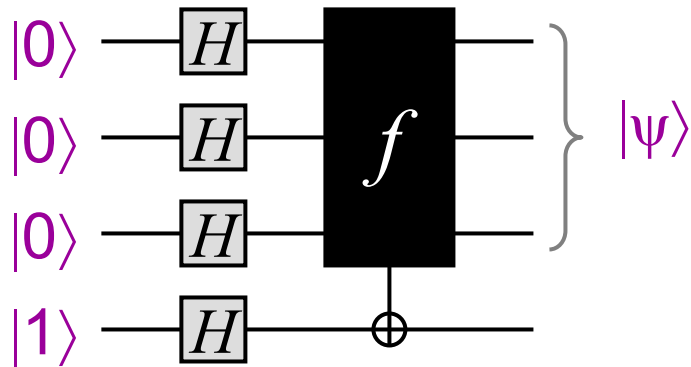
Let $f: \{0,1\}^n \rightarrow \{0,1\}$ be of the form $f(x) = a_1x_1 \oplus \dots \oplus a_nx_n$,
where $(a_1, \dots, a_n) \in \{0,1\}^n$ is unknown

Goal: determine (a_1, \dots, a_n)

Classically: n queries needed, even to succeed with probability $> \frac{1}{2}$ (why?)

Quantumly: 1 query suffices

Quantum algorithm for BV

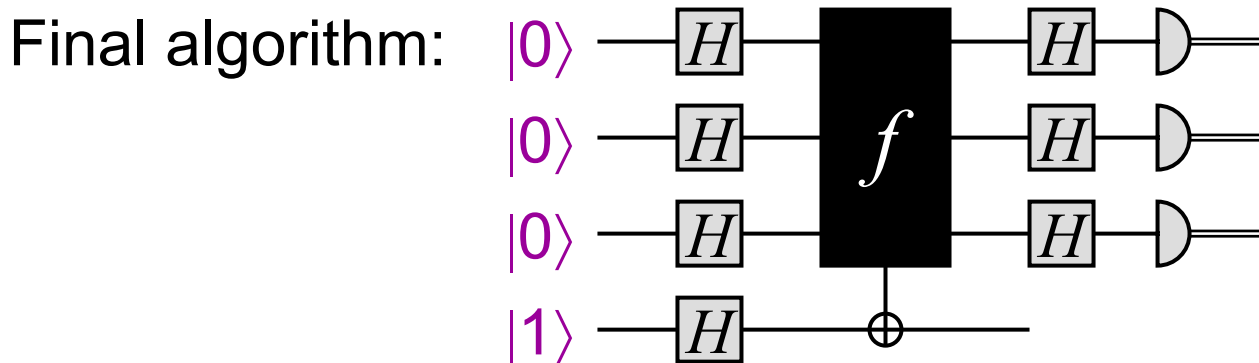


where $|\psi\rangle = \frac{1}{2^{n/2}} \sum_{x \in \{0,1\}^n} (-1)^{a \cdot x} |x\rangle$

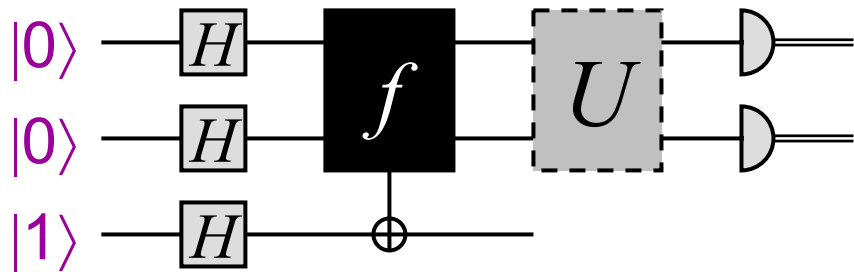
Question: what is $|\psi\rangle$?

Answer: $|\psi\rangle = H^{\otimes n} |a_1, \dots, a_n\rangle$

Therefore, $H^{\otimes n} |\psi\rangle = |a_1, \dots, a_n\rangle$



Quantum algorithm



where $U = \sum_{ab \in \{0,1\}^2} |ab\rangle\langle \psi_{ab}|$

Output state of the first two qubits in the four cases:

$$|\psi_{00}\rangle = -|00\rangle + |01\rangle + |10\rangle + |11\rangle$$

$$|\psi_{01}\rangle = +|00\rangle - |01\rangle + |10\rangle + |11\rangle$$

$$|\psi_{10}\rangle = +|00\rangle + |01\rangle - |10\rangle + |11\rangle$$

$$|\psi_{11}\rangle = +|00\rangle + |01\rangle + |10\rangle - |11\rangle$$

Note that these states are **orthogonal!**

Challenge Exercise: simulate the above U in terms of H , Toffoli, and NOT gates

Simple quantum algorithms in the query scenario

Query scenario

Input: a function f , given as a black box (a.k.a. oracle)



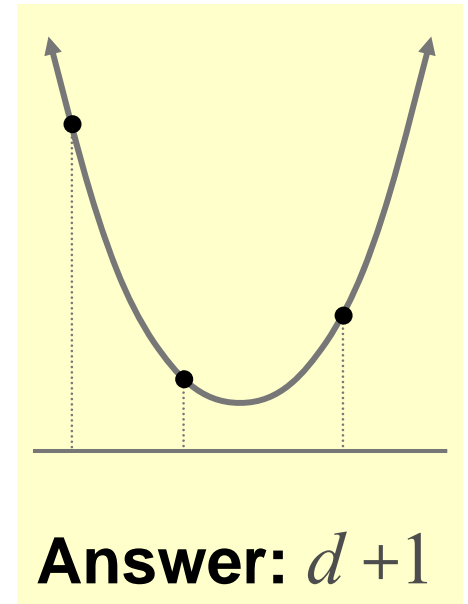
Goal: determine some information about f making as few queries to f (and other operations) as possible

Example: polynomial interpolation

Let: $f(x) = c_0 + c_1x + c_2x^2 + \dots + c_dx^d$

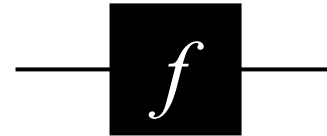
Goal: determine $c_0, c_1, c_2, \dots, c_d$

Question: How many f -queries does one require for this?



Deutsch's problem

Let $f: \{0,1\} \rightarrow \{0,1\}$



There are **four** possibilities:

x	$f_1(x)$
0	0
1	0

x	$f_2(x)$
0	1
1	1

x	$f_3(x)$
0	0
1	1

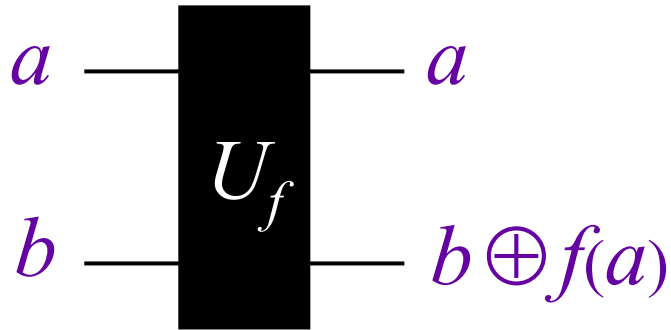
x	$f_4(x)$
0	1
1	0

Goal: determine whether or not $f(0) = f(1)$ (i.e. $f(0) \oplus f(1)$)

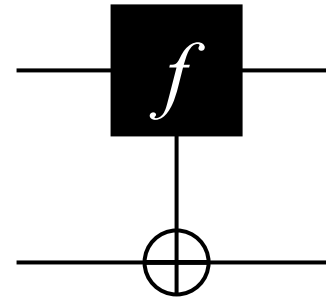
Any classical method requires **two** queries

What about a quantum method?

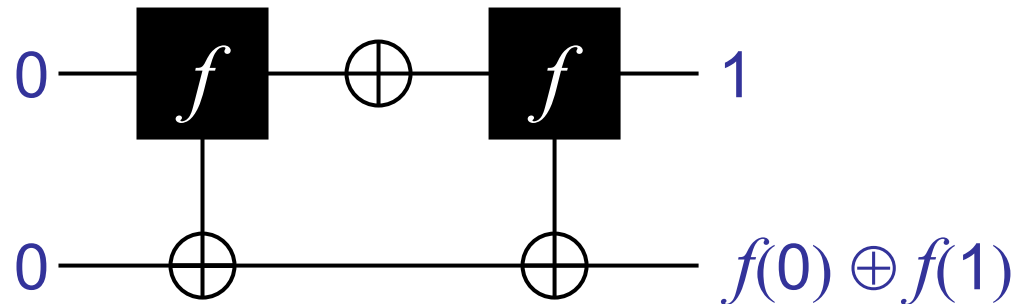
Reversible black box for f



alternate
notation:

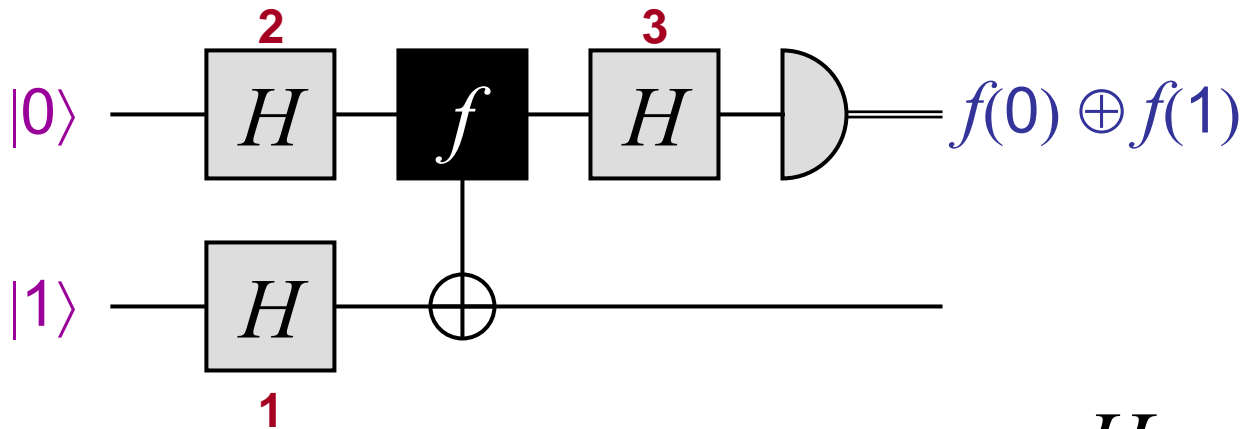


A classical algorithm:
(still requires 2 queries)



2 queries + 1 auxiliary operation

Quantum algorithm for Deutsch



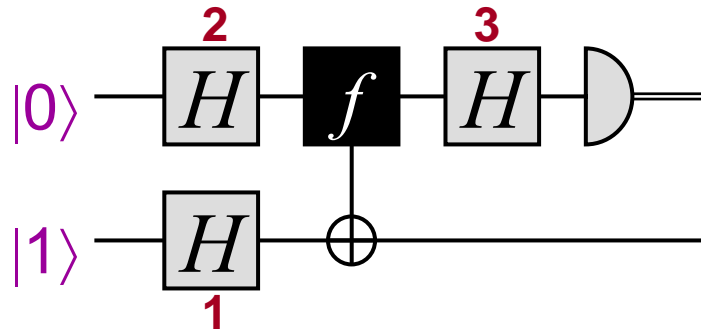
1 query + 4 auxiliary operations

$$H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

How does this algorithm work?

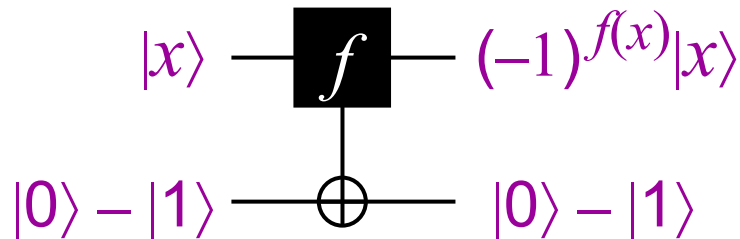
Each of the three H operations can be seen as playing a different role ...

Quantum algorithm (1)



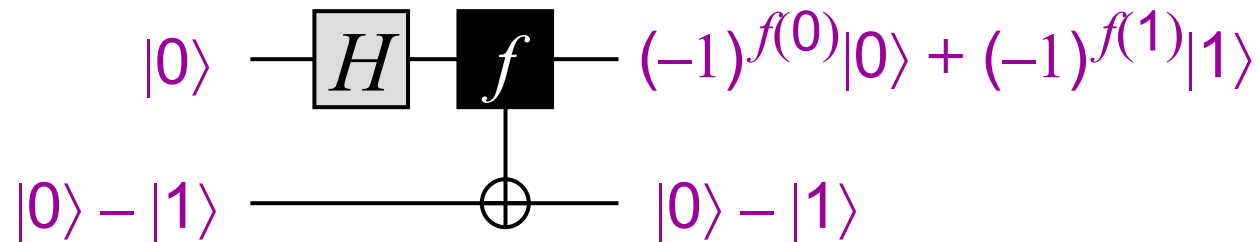
1. Creates the state $|0\rangle - |1\rangle$, which is an eigenvector of
- $$\begin{cases} \text{NOT} & \text{with eigenvalue } -1 \\ I & \text{with eigenvalue } +1 \end{cases}$$

This causes f to induce a **phase shift** of $(-1)^{f(x)}$ to $|x\rangle$



Quantum algorithm (2)

2. Causes f to be queried *in superposition* (at $|0\rangle + |1\rangle$)



x	$f_1(x)$	x	$f_2(x)$
0	0	0	1
1	0	1	1

x	$f_3(x)$	x	$f_4(x)$
0	0	0	1
1	1	1	0

$$\pm(|0\rangle + |1\rangle)$$

$$\pm(|0\rangle - |1\rangle)$$

Quantum algorithm (3)

3. Distinguishes between $\pm(|0\rangle + |1\rangle)$ and $\pm(|0\rangle - |1\rangle)$

$$\pm(|0\rangle + |1\rangle) \xleftrightarrow{H} \pm|0\rangle$$

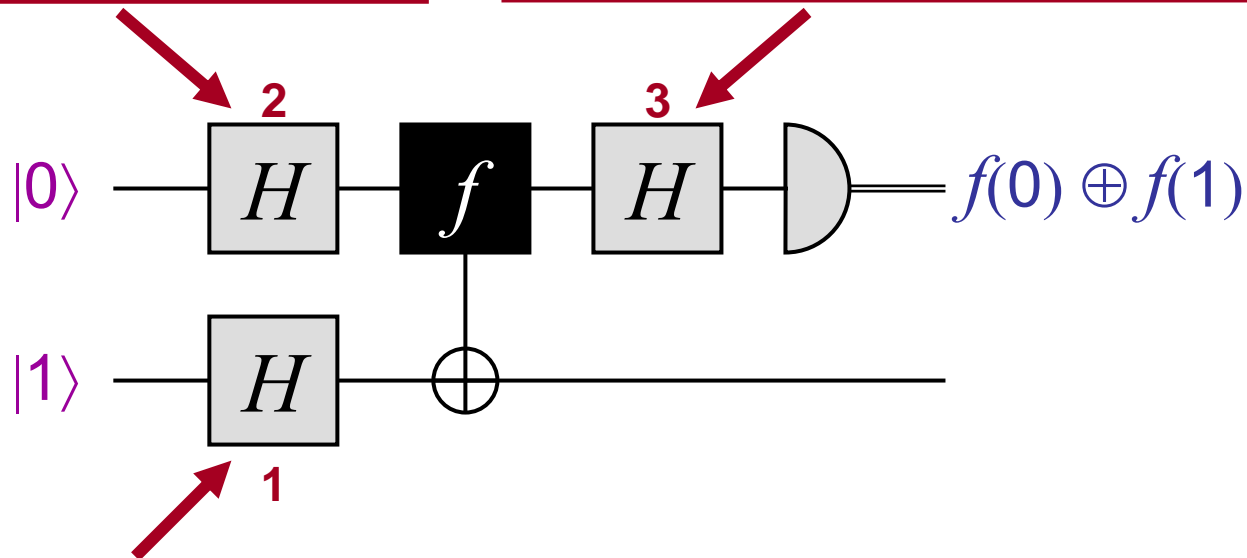
$$\pm(|0\rangle - |1\rangle) \xleftrightarrow{H} \pm|1\rangle$$

Summary of Deutsch's algorithm

Makes only one query, whereas two are needed classically

produces superpositions of inputs to f : $|0\rangle + |1\rangle$

extracts phase differences from $(-1)^{f(0)}|0\rangle + (-1)^{f(1)}|1\rangle$



constructs eigenvector so f -queries induce phases: $|x\rangle \rightarrow (-1)^{f(x)}|x\rangle$

universality of two-qubit gates

A universal set of gates

Theorem: any unitary operation U acting on k qubits can be decomposed into $O(4^k)$ CNOT and one-qubit gates

(This was stated in Lecture 5 without a proof)

Proof sketch (for a slightly worse bound of $O(k^2 4^k)$):

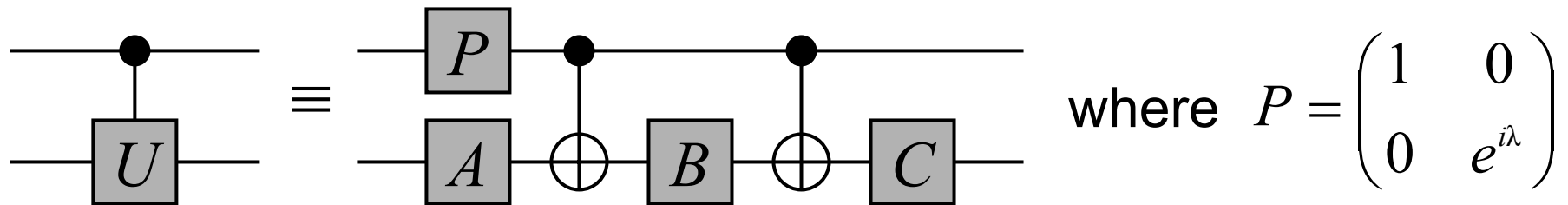
We first show how to simulate a controlled- U , for any one-qubit unitary U

Fact: for any one-qubit unitary U , there exist A, B, C , and λ , such that:

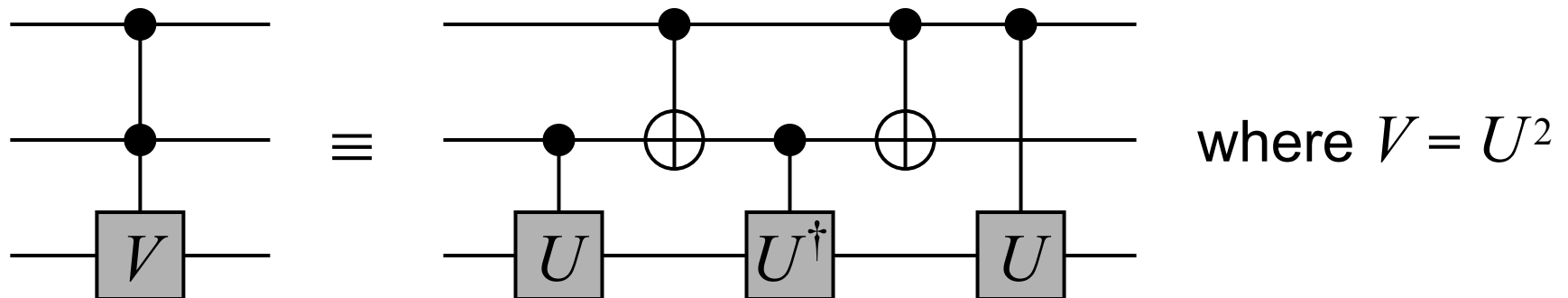
- $A B C = I$
- $e^{i\lambda} A X B X C = U$, where $X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

A universal set of gates

The aforementioned fact implies



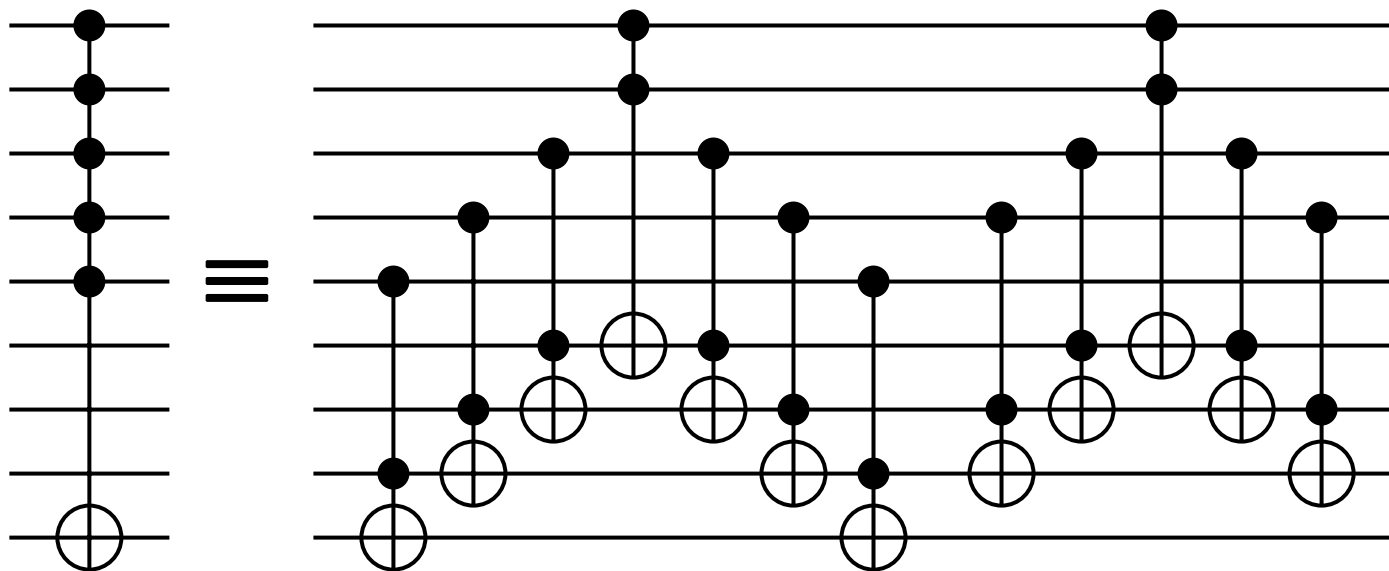
Using such controlled- U gates, one can simulate controlled-controlled- V gates, for any unitary V , as follows:



A universal set of gates

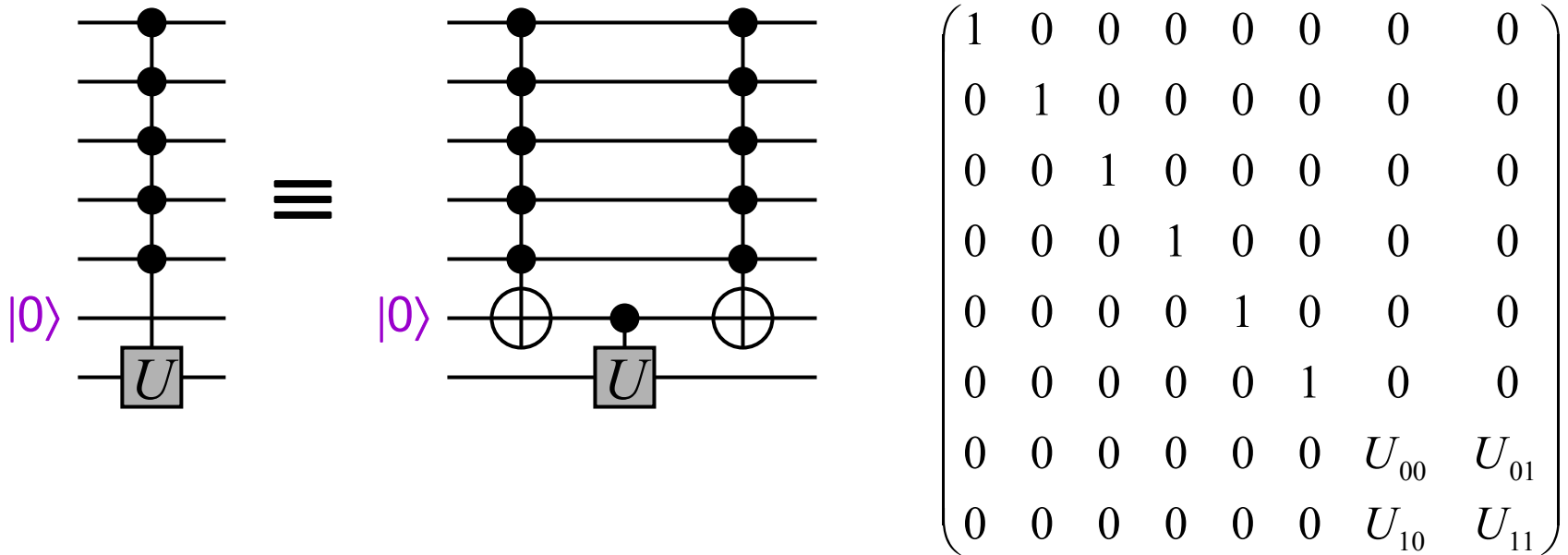
When $U = X$, this construction yields the 3-qubit *Toffoli gate*

From this gate, *generalized* Toffoli gates can be constructed:



A universal set of gates

From generalized Toffoli gates, **generalized controlled- U** gates (controlled-controlled- ... - U) can be constructed:



A universal set of gates

The approach essentially enables any k -qubit operation of the simple form

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & U_{00} & 0 & 0 & U_{01} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & U_{10} & 0 & 0 & U_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

to be computed with $O(k^2)$ CNOT and one-qubit gates

Any $2^k \times 2^k$ unitary matrix can be decomposed into a product of $O(4^k)$ such simple matrices

A universal set of gates

This completes the proof sketch

Thus, the set of ***all*** one-qubit gates and the CNOT gate are ***universal*** in that they can simulate any other gate set

Question: is there a ***finite*** set of gates that is universal?

Answer 1: strictly speaking, ***no***, because this results in only countably many quantum circuits, whereas there are uncountably many unitary operations on k qubits (for any k)

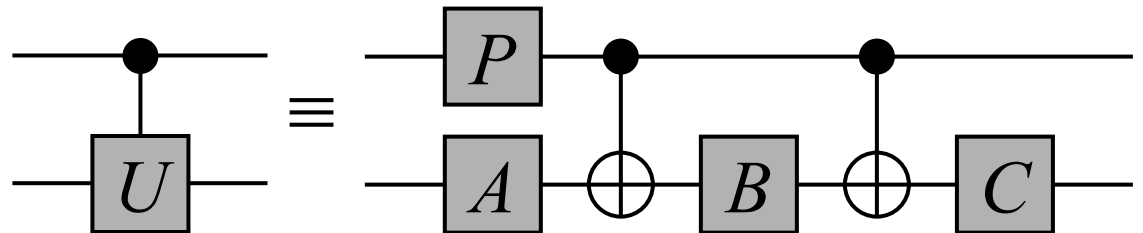
Universal sets of gates

Universal gate set

Theorem 1: The CNOT gate, along with all one-qubit unitaries is a universal set in that any k -qubit unitary operation can be decomposed into $O(4^k)$ such gates

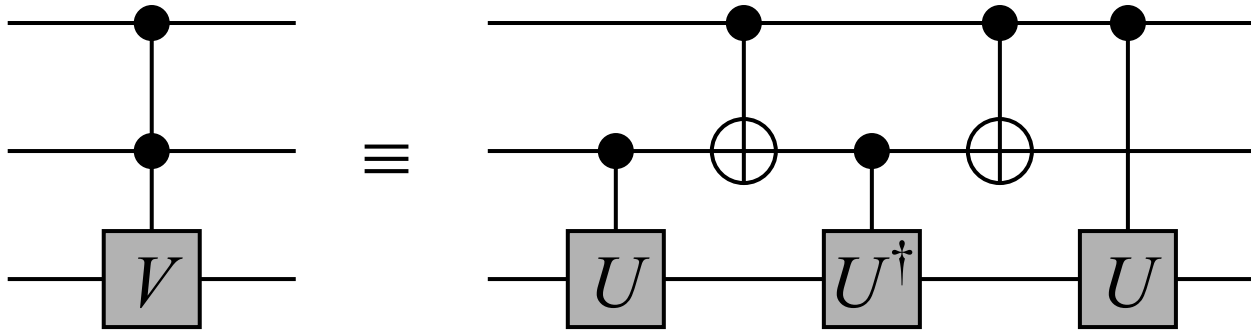
Some key steps of the proof:

For any unitary operation U , there exist one-qubit unitaries P, A, B, C such that:



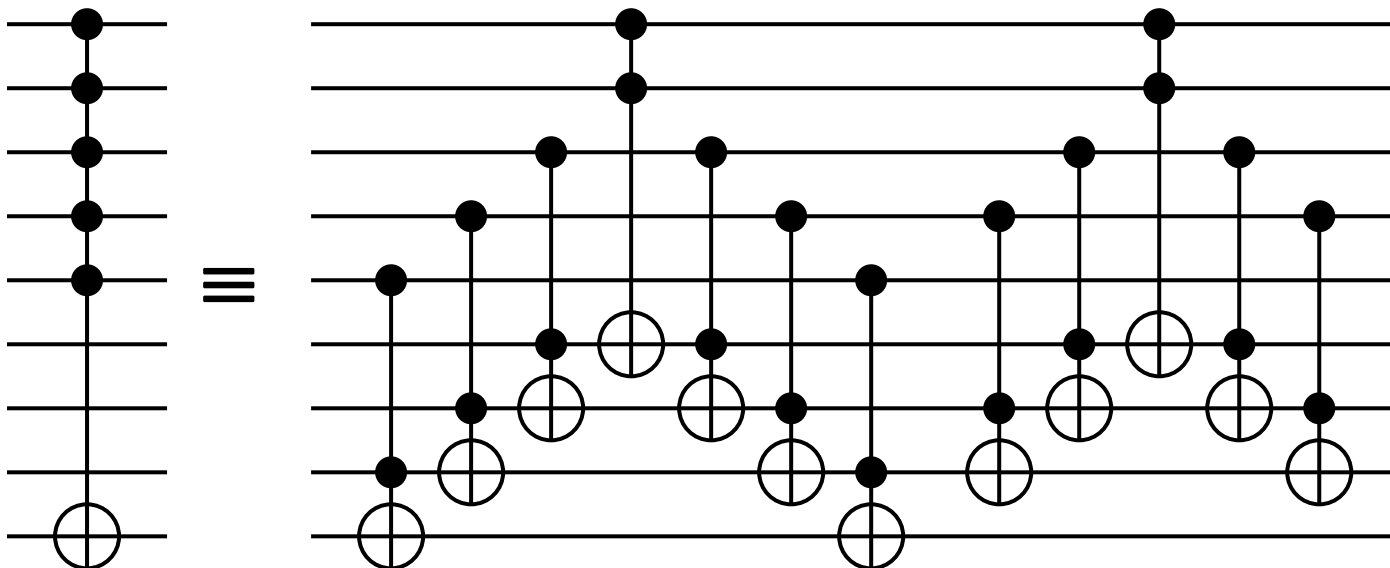
Universal gate set (II)

controlled-controlled- V



where $V = U^2$

From this gate, **generalized** Toffoli gates can be constructed:



Universal gate set (III)

The approach leads to the k -qubit operations of the form

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & U_{00} & 0 & 0 & U_{01} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & U_{10} & 0 & 0 & U_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

with $O(k^2)$ CNOT and one-qubit gates

Any $2^k \times 2^k$ unitary matrix can be decomposed into a product of $O(4^k)$ such simple matrices

Approximately universal gate set

Theorem 2: the gates **CNOT**, H , and $S = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix}$ are *approximately universal*, in that any unitary operation on k qubits can be simulated within precision ε by applying $O(4^k \log^c(1/\varepsilon))$ of them (c is a constant)

Density operators

Density matrices

Until now, we've represented quantum states as state vectors (e.g. $|\psi\rangle$), and such states are called ***pure states***)

An alternative way of representing quantum states is in terms of ***density matrices*** (aka. ***density operators***)

The density matrix of a pure state $|\psi\rangle$ is the matrix $|\psi\rangle\langle\psi|$

Example: the density matrix of $\alpha|0\rangle + \beta|1\rangle$ is

$$\begin{bmatrix} \alpha^* \\ \beta^* \end{bmatrix} \begin{bmatrix} \alpha & \beta \end{bmatrix} = \begin{bmatrix} |\alpha|^2 & \alpha^* \beta \\ \alpha \beta^* & |\beta|^2 \end{bmatrix}$$

Density matrices (II)

A probability distribution on pure states is called a ***mixed state***:

$$((p_1, |\psi_1\rangle), (p_2, |\psi_2\rangle), \dots, (p_n, |\psi_n\rangle))$$

The ***density matrix*** associated with such a mixture is:

$$\rho = \sum_{k=1}^n p_k |\psi_k\rangle\langle\psi_k|$$

Example: the density matrix for $((\frac{1}{2}, |0\rangle), (\frac{1}{2}, |1\rangle))$ is:

$$\begin{bmatrix} \frac{1}{2} & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & \frac{1}{2} \end{bmatrix} = \begin{bmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{bmatrix}$$

$((\frac{1}{2}, |0\rangle + |1\rangle), (\frac{1}{2}, |0\rangle - |1\rangle))$ has the same density matrix!

General quantum operations

General quantum operations

Characterizing properties of ρ :

- ρ positive semi-definite
- $\text{Tr}\rho = 1$

$$\rho = \sum_{k=1}^n p_k |\psi_k\rangle\langle\psi_k|$$

General quantum operations (aka. **completely positive trace preserving operations, admissible operations**):

Let A_1, A_2, \dots, A_m be matrices satisfying $\sum_{j=1}^m A_j^\dagger A_j = I$

Then the mapping $\rho \mapsto \sum_{j=1}^m A_j \rho A_j^\dagger$ is a general quantum op

Example 1 (unitary op): applying U to ρ yields $U\rho U^\dagger$

General quantum operations (II)

Example 2: let $A_0 = |0\rangle\langle 0|$ and $A_1 = |1\rangle\langle 1|$

This quantum op maps ρ to $|0\rangle\langle 0|\rho|0\rangle\langle 0| + |1\rangle\langle 1|\rho|1\rangle\langle 1|$

$$\text{For } |\psi\rangle = \alpha|0\rangle + \beta|1\rangle, \quad \begin{bmatrix} |\alpha|^2 & \alpha^*\beta \\ \alpha\beta^* & |\beta|^2 \end{bmatrix} \mapsto \begin{bmatrix} |\alpha|^2 & 0 \\ 0 & |\beta|^2 \end{bmatrix}$$

Corresponds to measuring ρ “without looking at the outcome”

After looking at the outcome, ρ becomes

$$\begin{cases} |0\rangle\langle 0| & \text{with prob. } |\alpha|^2 \\ |1\rangle\langle 1| & \text{with prob. } |\beta|^2 \end{cases}$$

General quantum operations (III)

Example 3 (discarding second of two qubits):

$$\text{Let } A_0 = I \otimes \langle 0 | = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \text{ and } A_1 = I \otimes \langle 1 | = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

State $\rho \otimes \sigma$ becomes ρ

$$\text{State } \left(\frac{1}{\sqrt{2}} |00\rangle + \frac{1}{\sqrt{2}} |11\rangle \right) \otimes \left(\frac{1}{\sqrt{2}} \langle 00| + \frac{1}{\sqrt{2}} \langle 11| \right) \text{ becomes } \begin{bmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{bmatrix}$$

Note 1: it's the same density matrix as for $\left(\left(\frac{1}{2}, |0\rangle \right), \left(\frac{1}{2}, |1\rangle \right) \right)$

Note 2: the operation is the *partial trace* $\text{Tr}_2 \rho$

Separable states

Separable states

A bipartite (ie. two register) state ρ is a:

- **product state** if $\rho = \sigma \otimes \xi$

- **separable state** if $\rho = \sum_{j=1}^m p_j \sigma_j \otimes \xi_j$ ($p_1, \dots, p_m \geq 0$)

(ie. a mixture of product states)

Example: the state

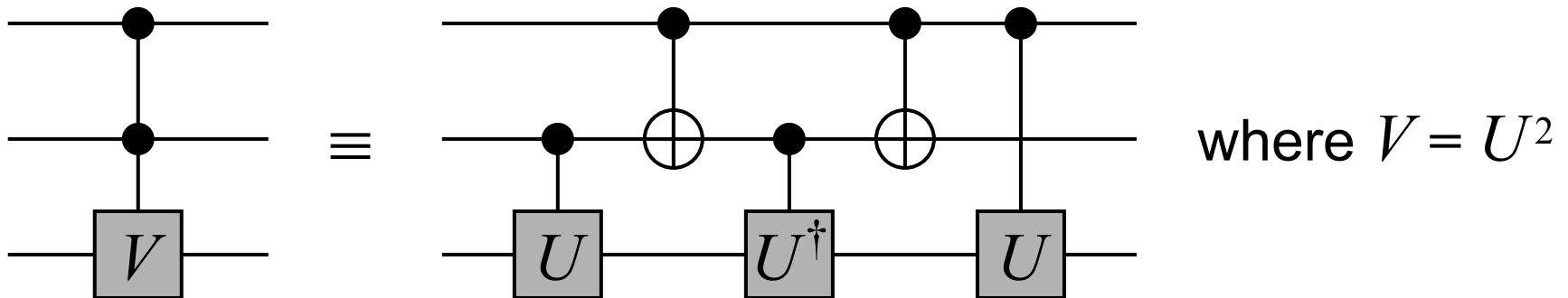
$$\rho = \frac{1}{2}(|00\rangle + |11\rangle)(\langle 00| + \langle 11|) + \frac{1}{2}(|00\rangle - |11\rangle)(\langle 00| - \langle 11|)$$

is separable, since $\rho = \frac{1}{2}|0\rangle\langle 0| \otimes |0\rangle\langle 0| + \frac{1}{2}|1\rangle\langle 1| \otimes |1\rangle\langle 1|$

Universal gate set

Theorem 1: The CNOT gate, along with all one-qubit unitaries is a universal set in that any k -qubit unitary operation can be decomposed into $O(4^k)$ such gates

Some components of the proof:



where $V = U^2$

↑
controlled-controlled- V

How teleportation works



Initial state: $(\alpha|0\rangle + \beta|1\rangle)(|00\rangle + |11\rangle)$ (omitting the $1/\sqrt{2}$ factor)

$$= \alpha|000\rangle + \alpha|011\rangle + \beta|100\rangle + \beta|111\rangle$$

$$= \frac{1}{2}(|00\rangle + |11\rangle)(\alpha|0\rangle + \beta|1\rangle)$$

$$+ \frac{1}{2}(|00\rangle - |11\rangle)(\alpha|1\rangle + \beta|0\rangle)$$

$$+ \frac{1}{2}(|01\rangle + |10\rangle)(\alpha|0\rangle - \beta|1\rangle)$$

$$+ \frac{1}{2}(|01\rangle - |10\rangle)(\alpha|1\rangle - \beta|0\rangle)$$

Protocol: Alice measures her two qubits *in the Bell basis* and sends the result to Bob (who then “corrects” his state)

Review of partial measurements

Suppose one measures just the *first* qubit of the state

$$\frac{1}{2}|00\rangle + \frac{i}{\sqrt{3}}|01\rangle + \sqrt{\frac{5}{12}}|11\rangle = \sqrt{\frac{7}{12}}|0\rangle\left(\sqrt{\frac{3}{7}}|0\rangle + i\sqrt{\frac{4}{7}}|1\rangle\right) + \sqrt{\frac{5}{12}}|1\rangle|1\rangle$$

What is the result?

$$\left\{ \begin{array}{ll} 0, & \sqrt{\frac{3}{7}}|0\rangle + i\sqrt{\frac{4}{7}}|1\rangle \quad \text{with prob. } 7/12 \\ 1, & |1\rangle \quad \text{with prob. } 5/12 \end{array} \right.$$

A universal set of gates

Theorem: any unitary operation U acting on k qubits can be decomposed into $O(4^k)$ CNOT and one-qubit gates

(This was stated in Lecture 5 without a proof)

Proof sketch (for a slightly worse bound of $O(k^2 4^k)$):

We first show how to simulate a controlled- U , for any one-qubit unitary U

Fact: for any one-qubit unitary U , there exist A, B, C , and λ , such that:

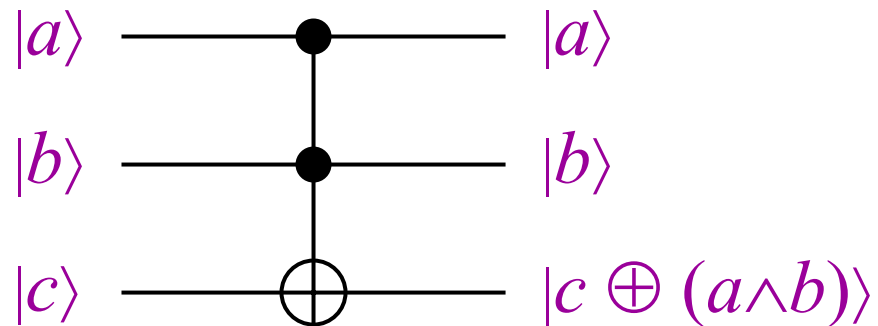
- $A B C = I$
- $e^{i\lambda} A X B X C = U$, where $X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

Universal sets of gates

Theorem: any unitary operation U acting on k qubits can be decomposed into $O(4^k)$ CNOT and one-qubit gates

Therefore, CNOT and all one-qubit gates are **universal** (classical analogue: AND and NOT gates)

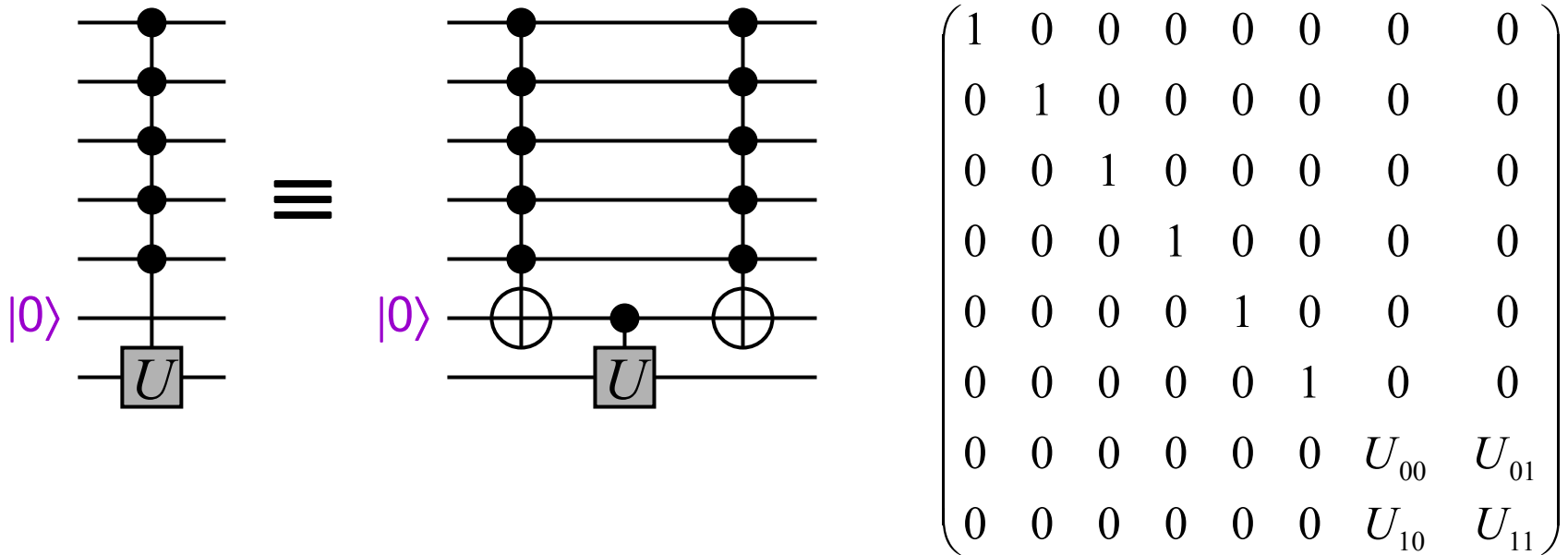
Example: Toffoli gate
“controlled-controlled-NOT”



Can be simulated by CNOT, H , and $W = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{bmatrix}$

A universal set of gates

From generalized Toffoli gates, **generalized controlled- U** gates (controlled-controlled- ... - U) can be constructed:



A universal set of gates

This completes the proof sketch*

Thus, the set of ***all*** one-qubit gates and the CNOT gate are ***universal*** in that they can simulate any other gate set

Question: is there a ***finite*** set of gates that is universal?

Answer 1: strictly speaking, ***no***, because this results in only countably many quantum circuits, whereas there are uncountably many unitary operations on k qubits (for any k)

* Actually proved a slightly worse bound of $O(k^2 4^k)$

Approximately universal gate sets

Answer 2: yes, for universality in an *approximate* sense

As an illustrative example, any rotation can be approximated within any precision by repeatedly applying

$$R = \begin{pmatrix} \cos(\sqrt{2}\pi) & -\sin(\sqrt{2}\pi) \\ \sin(\sqrt{2}\pi) & \cos(\sqrt{2}\pi) \end{pmatrix}$$

some number of times

In this sense, R is **approximately universal** for the set of all one-qubit rotations: any rotation S can be approximated within precision ε by applying R a suitable number of times

It turns out that $O((1/\varepsilon)^c)$ times suffices (for a constant c)

Approximately universal gate sets

Theorem: the gates **CNOT**, H , and $S = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix}$

are ***approximately universal***, in the sense that any unitary operation on k qubits can be simulated within precision ε by applying $O(4^k \log^c(1/\varepsilon))$ of them (c is a constant)

Say something about basic idea ...?

Density matrices II

A probability distribution on pure states is a ***mixed state***:

$$((p_1, |\psi_1\rangle), (p_2, |\psi_2\rangle), \dots, (p_n, |\psi_n\rangle))$$

The ***density matrix*** associated with such a mixture is:

$$\rho = \sum_{k=1}^n p_k |\psi_k\rangle\langle\psi_k|$$

Example: the density matrix for $((\frac{1}{2}, |0\rangle), (\frac{1}{2}, |1\rangle))$ is:

$$\begin{bmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} \end{bmatrix} + \begin{bmatrix} \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} \end{bmatrix} = \begin{bmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{bmatrix}$$

Same for $((\frac{1}{2}, |0\rangle + |1\rangle), (\frac{1}{2}, |1\rangle - |0\rangle))$

A universal set of gates

Theorem: any unitary operation U acting on k qubits can be decomposed into $O(4^k)$ CNOT and one-qubit gates

(This was stated in Lecture 5 without a proof)

Proof sketch (for a slightly worse bound of $O(k^2 4^k)$):

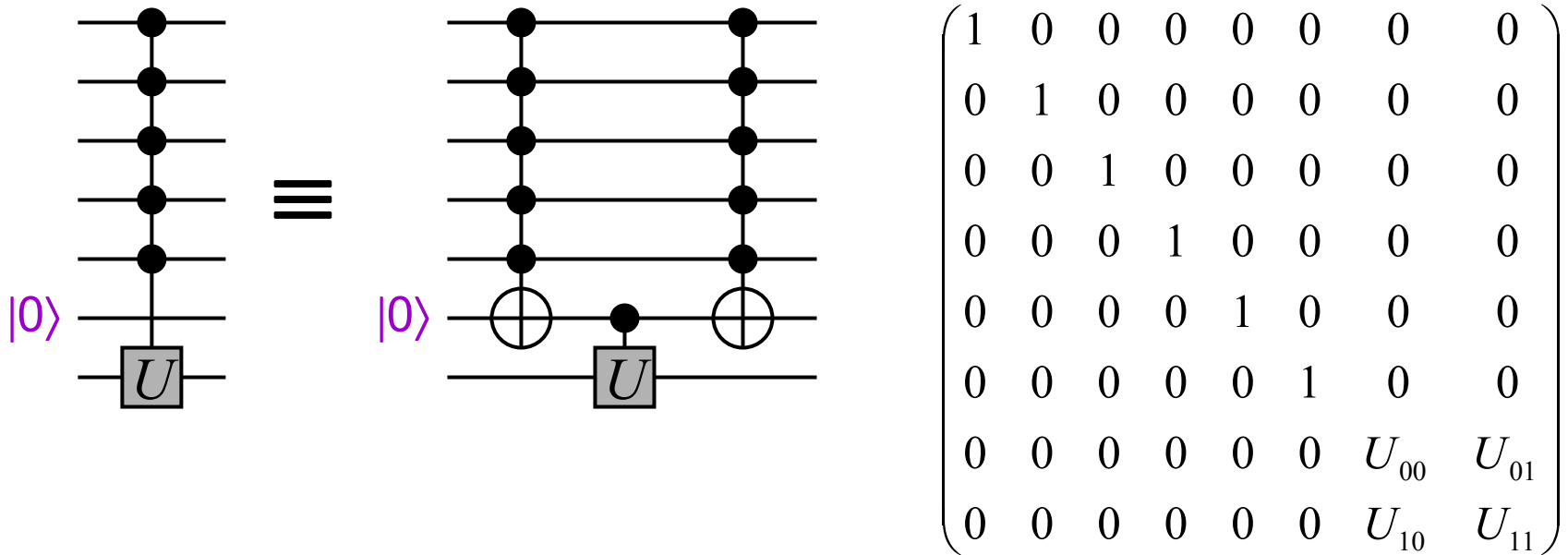
We first show how to simulate a controlled- U , for any one-qubit unitary U

Fact: for any one-qubit unitary U , there exist A, B, C , and λ , such that:

- $A B C = I$
- $e^{i\lambda} A X B X C = U$, where $X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

A universal set of gates

From generalized Toffoli gates, **generalized controlled- U** gates (controlled-controlled- ... - U) can be constructed:



A universal set of gates

The approach essentially enables any k -qubit operation of the simple form

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & U_{00} & 0 & 0 & U_{01} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & U_{10} & 0 & 0 & U_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

to be computed with $O(k^2)$ CNOT and one-qubit gates

Any $2^k \times 2^k$ unitary matrix can be decomposed into a product of $O(4^k)$ such simple matrices

A universal set of gates

This completes the proof sketch

Thus, the set of ***all*** one-qubit gates and the CNOT gate are ***universal*** in that they can simulate any other gate set

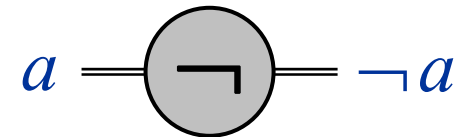
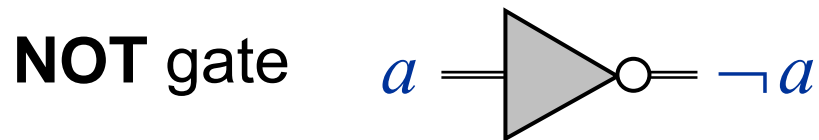
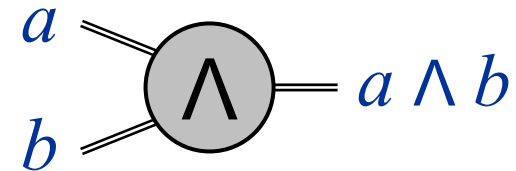
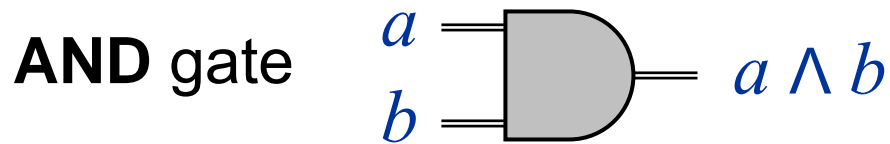
Question: is there a ***finite*** set of gates that is universal?

Answer 1: strictly speaking, ***no***, because this results in only countably many quantum circuits, whereas there are uncountably many unitary operations on k qubits (for any k)

Classical (boolean logic) gates

“old” notation

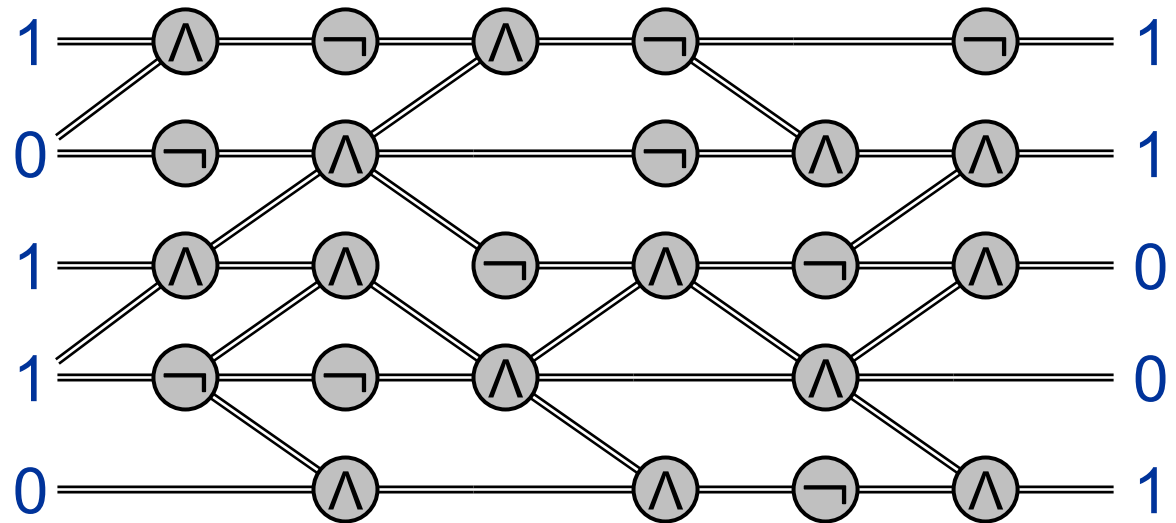
“new” notation



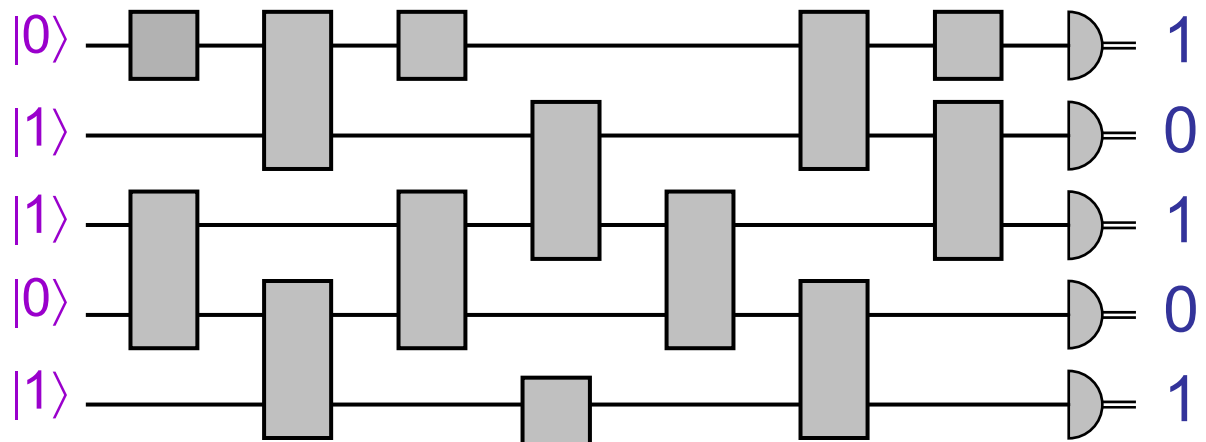
Note: an **OR** gate can be simulated by one **AND** gate and three **NOT** gates

Models of computation

**Classical
circuits:**



**Quantum
circuits:**



Multiplication problem

Input: two n -bit numbers (e.g. 101 and 111)

Output: their product (e.g. 100011)

- “Grade school” algorithm costs $O(n^2)$
- Best currently-known **classical** algorithm costs $O(n \log n \log \log n)$
- Best currently-known **quantum** method: same

Factoring problem

Input: an n -bit number (e.g. 100011)

Output: their product (e.g. 101, 111)

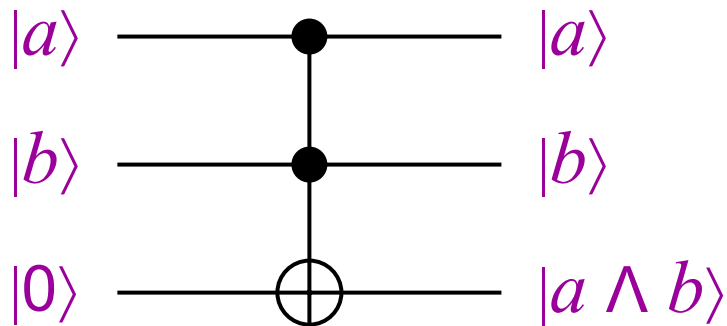
- Trial division costs $\approx 2^{n/2}$
- Best currently-known **classical** algorithm costs $\approx 2^{n^{1/3}}$
- Hardness of factoring is the basis of the security of many cryptosystems (e.g. RSA)
- Shor's **quantum** algorithm costs $\approx n^2$
- Implementation would break RSA and many other cryptosystems

Quantum vs. classical circuits

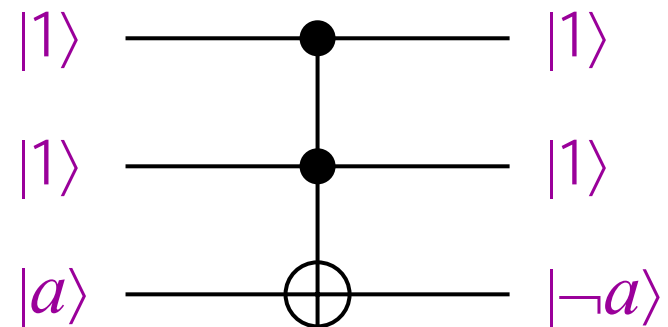
Theorem: a classical circuit of size s can be simulated by a quantum circuit of size $O(s)$

Idea: using Toffoli gates, one can simulate:

AND gates



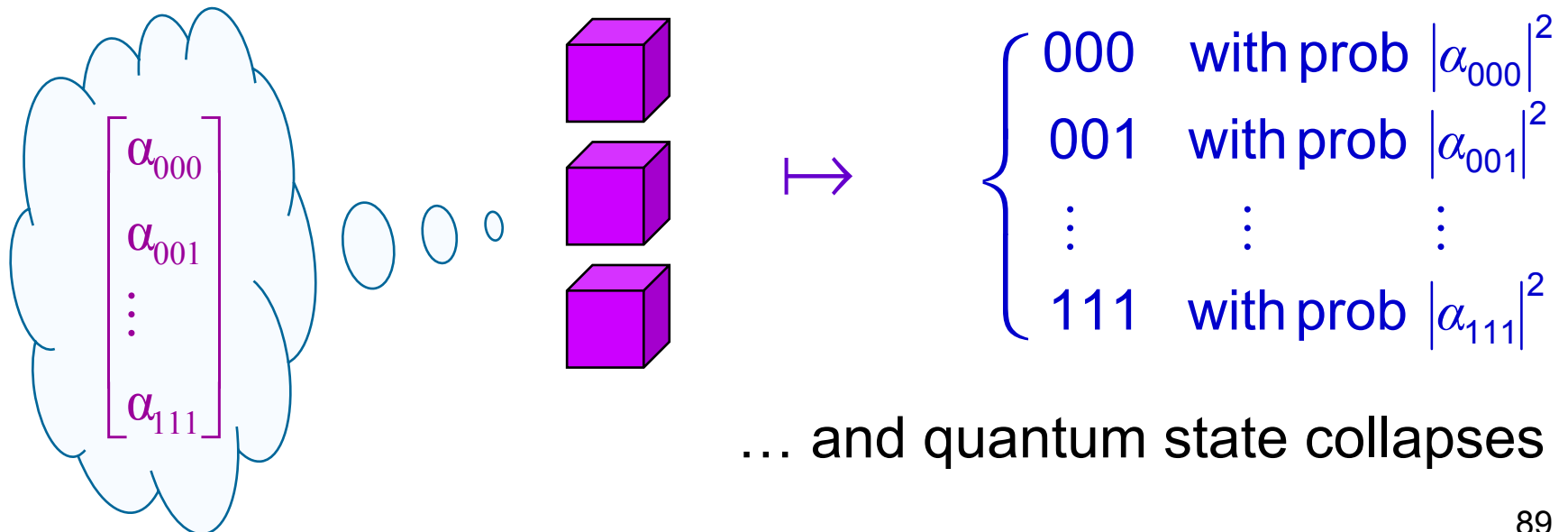
NOT gates



Operations on quantum states

Unitary operations: “rotations” to quantum states

Measurements: produce classical information



Quantum Fourier Transform

The polynomial-time algorithm for factoring is based on the *quantum Fourier transform (QFT)*

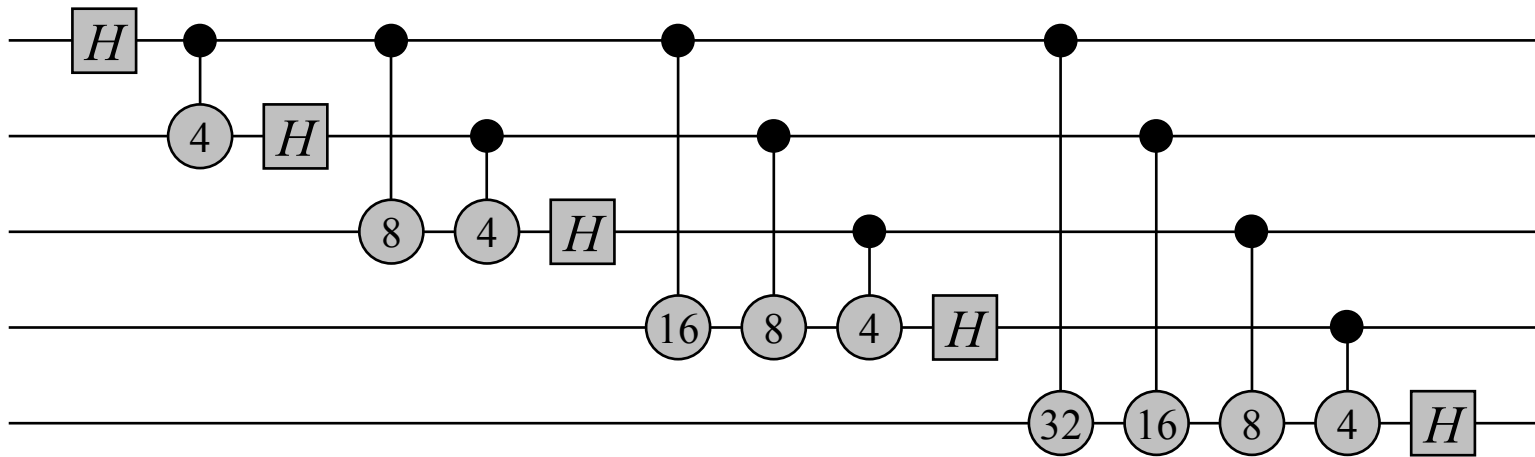
$$F_N = \frac{1}{\sqrt{N}} \begin{bmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \omega^3 & \dots & \omega^{N-1} \\ 1 & \omega^2 & \omega^4 & \omega^6 & \dots & \omega^{2(N-1)} \\ 1 & \omega^3 & \omega^6 & \omega^9 & \dots & \omega^{3(N-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{N-1} & \omega^{2(N-1)} & \omega^{3(N-1)} & \dots & \omega^{(N-1)^2} \end{bmatrix}$$

where $\omega = e^{2\pi i/N}$ (and N is exponentially large)

The QFT “extracts information about periodicity”

Computing the QFT

Quantum circuit for F_{32} :



Gates: $\text{---} \boxed{H} \text{---} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$

$\begin{array}{c} \bullet \\ \text{---} \\ \circ m \end{array} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & e^{2\pi i/m} \end{bmatrix}$

For F_{2^n} costs $O(n^2)$ gates (exact) & $O(n \log n)$ gates (approx)

Outline

- Qubits, unitary ops, and projective measurements
- Superdense coding
- Teleportation
- Universal sets of gates

- No-cloning theorem
- Density operators
- General quantum operations
- Separable states