Introduction to Quantum Information Processing CS 467 / CS 667 Phys 467 / Phys 767 C&O 481 / C&O 681

Lecture 19 (2005)

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Course web site at:

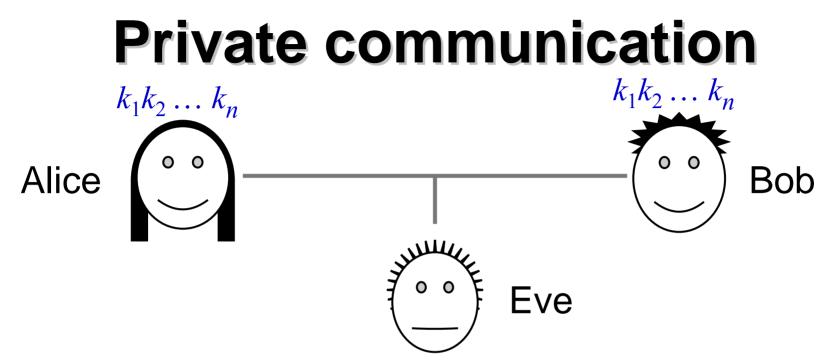
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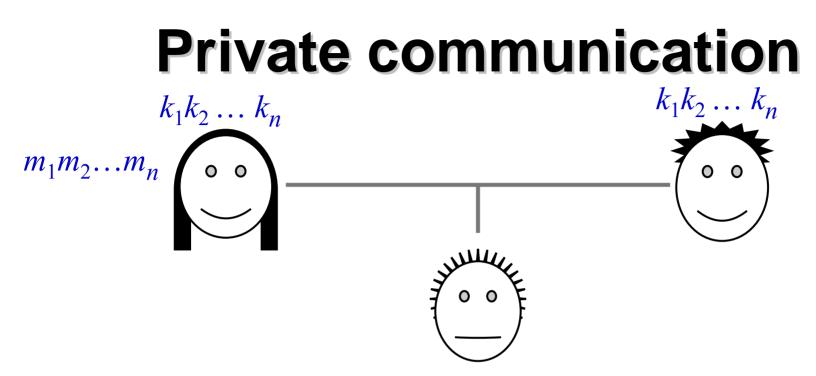
• Quantum key distribution

Schmidt decomposition

Quantum key distribution Schmidt decomposition



- Suppose Alice and Bob would like to communicate privately in the presence of an eavesdropper Eve
- A provably secure (classical) scheme exists for this, called the *one-time pad*
- The one-time pad requires Alice & Bob to share a secret key: k ∈ {0,1}ⁿ, uniformly distributed (secret from Eve)



One-time pad protocol:

- Alice sends $c = m \oplus k$ to Bob
- Bob receives computes $c \oplus k$, which is $(m \oplus k) \oplus k = m$

This is secure because, what Eve sees is c, and c is uniformly distributed, regardless of what m is

Key distribution scenario

- For security, Alice and Bob must never reuse the key bits
 - E.g., if Alice encrypts both m and m' using the same key k then Eve can deduce $m \oplus m' = c \oplus c'$
- Problem: how do they distribute the secret key bits in the first place?
 - Presumably, there is some trusted preprocessing stage where this is set up (say, where Alice and Bob get together, or where they use a trusted third party)
- Key distribution problem: set up a large number of secret key bits

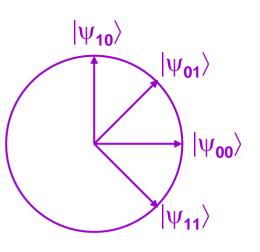
Key distribution based on computational hardness

- The **RSA** protocol can be used for key distribution:
 - Alice chooses a random key, encrypts it using Bob's *public key*, and sends it to Bob
 - Bob decrypts Alice's message using his secret (private) key
- The security of **RSA** is based on the presumed computational difficulty of factoring integers
- More abstractly, a key distribution protocol can be based on any *trapdoor one-way function*
- Most such schemes are breakable by quantum computers

Quantum key distribution (QKD)

- A protocol that enables Alice and Bob to set up a secure* secret key, provided that they have:
 - A *quantum channel*, where Eve can read and modify messages
 - An *authenticated classical channel*, where Eve can read messages, but cannot tamper with them (the authenticated classical channel can be simulated by Alice and Bob having a *very short* classical secret key)
- There are several protocols for QKD, and the first one proposed is called "BB84" [Bennett & Brassard, 1984]:
 - BB84 is "easy to implement" physically, but "difficult" to prove secure
 - [Mayers, 1996]: first true security proof (quite complicated)
 - [Shor & Preskill, 2000]: "simple" proof of security
- * Information-theoretic security

• First, define: $|\psi_{00}\rangle = |0\rangle$ $|\psi_{10}\rangle = |1\rangle$ $|\psi_{11}\rangle = |-\rangle = |0\rangle - |1\rangle$ $|\psi_{01}\rangle = |+\rangle = |0\rangle + |1\rangle$



- Alice begins with two random *n*-bit strings $a, b \in \{0,1\}^n$
- Alice sends the state $|\psi\rangle = |\psi_{a_1b_1}\rangle |\psi_{a_2b_2}\rangle \dots |\psi_{a_nb_n}\rangle$ to Bob
- **Note:** Eve may see these qubits (and tamper wth them)
- After receiving |ψ⟩, Bob randomly chooses b' ∈ {0,1}ⁿ and measures each qubit as follows:

- If $b'_i = 0$ then measure qubit in basis $\{|0\rangle, |1\rangle\}$, yielding outcome a'_i

- If $b'_i = 1$ then measure qubit in basis $\{|+\rangle, |-\rangle\}$, yielding outcome a'_i

• Note:

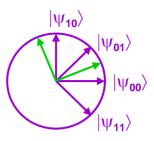
- If $b'_i = b_i$ then $a'_i = a_i$
- If $b'_i \neq b_i$ then $\Pr[a'_i = a_i] = \frac{1}{2}$
- Bob informs Alice when he has performed his measurements (using the public channel)
- Next, Alice reveals \boldsymbol{b} and Bob reveals \boldsymbol{b}' over the public channel
- They discard the cases where $b'_i \neq b_i$ and they will use the **remaining bits** of a and a' to produce the key
- Note:
 - If Eve did not disturb the qubits then the key can be just a (= a')
 - The *interesting* case is where Eve may tamper with $|\psi\rangle$ while it is sent from Alice to Bob

 $|\psi_{10}\rangle$

 $|\psi_{01}\rangle$

 $\psi_{11}\rangle$

 $|\psi_{00}\rangle$



• Intuition:

- Eve cannot acquire information about $|\psi\rangle$ without disturbing it, which will cause **some** of the bits of *a* and *a'* to disagree
- It can be proven* that: the more information Eve acquires about *a*,
 the more bit positions of *a* and *a*' will be different
- From Alice and Bob's remaining bits, a and a' (where the positions where $b'_i \neq b_i$ have already been discarded):
 - They take a random subset and reveal them in order to estimate the fraction of bits where a and a' disagree
 - If this fraction is not too high then they proceed to distill a key from the bits of a and a' that are left over (around n/4 bits)
- * To prove this rigorously is nontrivial

- If the error rate between a and a' is below some threshold (around 11%) then Alice and Bob can produce a good key using techniques from classical cryptography:
 - Information reconciliation ("distributed error correction"): to produce shorter a and a' such that (i) a = a', and (ii) Eve doesn't acquire much information about a and a' in the process
 - **Privacy amplification:** to produce shorter a and a' such that Eve's information about a and a' is very small
- There are already commercially available implementations of BB84, though assessing their true security is a subtle matter (since their physical mechanisms are not ideal)

Quantum key distribution

Schmidt decomposition

This will have some cryptographic applications for analyzing "bit-commitment" schemes

Schmidt decomposition

Let $|\psi\rangle$ be **any** bipartite quantum state:

 $|\Psi\rangle = \sum_{a=1}^{n} \sum_{b=1}^{m} \alpha_{a,b} |a\rangle \otimes |b\rangle$ (where we can assume $n \leq m$)

Then there exist orthonormal states $|\mu_1\rangle, |\mu_2\rangle, ..., |\mu_n\rangle$ and $|\phi_1\rangle, |\phi_2\rangle, ..., |\phi_n\rangle$ such that $|\psi\rangle = \sum_{c=1}^n \sqrt{p_c} |\mu_c\rangle \otimes |\phi_c\rangle$

Eigenvectors of $Tr_1 |\psi\rangle\langle\psi|$

Schmidt decomposition: proof (I)

The density matrix for state $|\psi\rangle$ is given by $|\psi\rangle\langle\psi|$

Tracing out the first system, we obtain the density matrix of the second system, $\rho = Tr_1 |\psi\rangle\langle\psi|$

Since ρ is a density matrix, we can express $\rho = \sum_{c=1}^{\infty} p_c |\varphi_c\rangle \langle \varphi_c |$,

where $|\phi_1\rangle$, $|\phi_2\rangle$, ..., $|\phi_m\rangle$ are orthonormal eigenvectors of ρ

Now, returning to $|\psi\rangle$, we can express $|\psi\rangle = \sum_{c=1}^{n} |v_c\rangle \otimes |\varphi_c\rangle$, where $|v_1\rangle$, $|v_2\rangle$, ..., $|v_m\rangle$ are **just some arbitrary vectors** (not necessarily valid quantum states; for example, they might not have unit length, and we cannot presume they're orthogonal)

We will next show that $\langle \mathbf{v}_c | \mathbf{v}_{c'} \rangle = \begin{cases} p_c & \text{if } c = c' \\ 0 & \text{if } c \neq c' \end{cases}$

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Schmidt decomposition: proof (II)

To show that $\langle v_c | v_{c'} \rangle = \begin{cases} p_c & \text{if } c = c' \\ 0 & \text{if } c \neq c' \end{cases}$ (where $p_c = 0$ for c > n)

we compute the partial trace Tr_1 of $|\psi\rangle\langle\psi|$ in terms of

 $|\psi\rangle\langle\psi| = \left(\sum_{c=1}^{m} |v_c\rangle \otimes |\varphi_c\rangle\right) \left(\sum_{c'=1}^{m} \langle v_{c'}| \otimes \langle\varphi_{c'}|\right) = \sum_{c=1}^{m} \sum_{c'=1}^{m} |v_c\rangle\langle v_{c'}| \otimes |\varphi_c\rangle\langle\varphi_{c'}|$

A careful calculation (shown later) of this partial trace yields $\sum_{c=1}^{m} \sum_{c'=1}^{m} \langle v_{c'} | v_{c} \rangle \otimes | \varphi_{c} \rangle \langle \varphi_{c'} | \text{ which must equal } \sum_{c=1}^{m} p_{c} | \varphi_{c} \rangle \langle \varphi_{c} |$

The claimed result about $\langle v_c | v_{c'} \rangle$ now follows

Next, setting $|\mu_c\rangle = \frac{1}{\sqrt{p_c}} |\nu_c\rangle$ completes the construction

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Schmidt decomposition: proof (III)

For completeness, we now give the "careful calculation" of

$$\begin{aligned} \operatorname{Tr}_{I}\left(\sum_{c=1}^{m}\sum_{c'=1}^{m}|v_{c}\rangle\langle v_{c'}|\otimes|\varphi_{c}\rangle\langle\varphi_{c'}|\right) \\ &= \sum_{a=1}^{n}\left(\langle a|\otimes I\right)\left(\sum_{c=1}^{m}\sum_{c'=1}^{m}|v_{c}\rangle\langle v_{c'}|\otimes|\varphi_{c}\rangle\langle\varphi_{c'}|\right)\left(|a\rangle\otimes I\right) \quad \text{(by definition of } \operatorname{Tr}_{1}\right) \\ &= \sum_{c=1}^{m}\sum_{c'=1}^{m}\left(\sum_{a=1}^{n}\langle a|v_{c}\rangle\langle v_{c'}|a\rangle\right)\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \quad \text{(linearity, and properties of }\otimes) \\ &= \sum_{c=1}^{m}\sum_{c'=1}^{m}\left(\sum_{a=1}^{n}\langle v_{c'}|a\rangle\langle a|v_{c}\rangle\right)\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \quad (\langle v|w\rangle = \operatorname{Tr}(\langle v|w\rangle) = \operatorname{Tr}(|w\rangle\langle v|)) \\ &= \sum_{c=1}^{m}\sum_{c'=1}^{m}\left(\langle v_{c'}|\left(\sum_{a=1}^{n}|a\rangle\langle a|\right)v_{c}\rangle\right)\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \quad (linearity) \\ &= \sum_{c=1}^{m}\sum_{c'=1}^{m}\langle v_{c'}|v_{c}\rangle\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \quad \left(\sum_{a=1}^{n}|a\rangle\langle a|=I\right) \\ &= \sum_{c=1}^{m}\sum_{c'=1}^{m}\langle v_{c'}|v_{c}\rangle\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \\ &= \sum_{c'=1}^{n}\sum_{c'=1}^{m}\langle v_{c'}|v_{c}\rangle\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \\ &= \sum_{c'=1}^{n}\sum_{c'=1}^{m}\sum_{c'=1}^{m}\langle v_{c'}|v_{c}\rangle\otimes|\varphi_{c}\rangle\langle\varphi_{c'}| \\ &= \sum_{c'=1}^{n}\sum_{c'=1}^{m}\sum_{$$

