

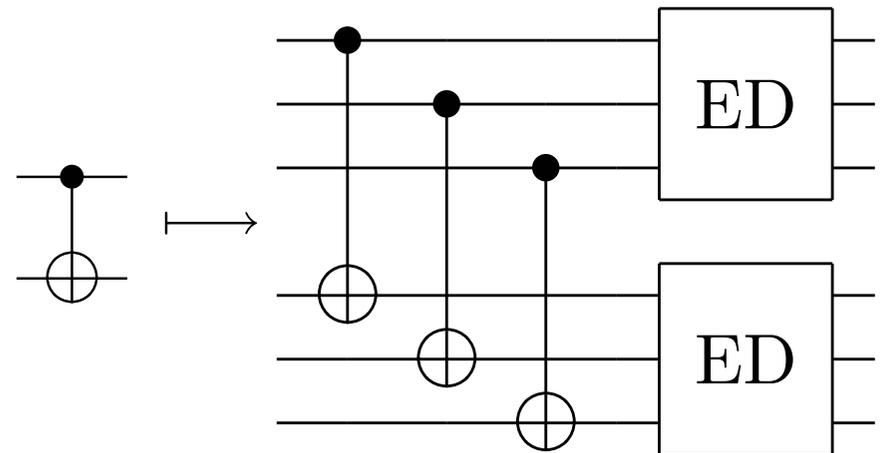
# Postselection threshold against biased noise

(A probabilistic mixing lemma and quantum fault tolerance)

Ben W. Reichardt  
Caltech

# Results

- *Existence* of tolerable noise rates for many fault-tolerance schemes, including:
  - Schemes based on *error-detecting* codes, not just ECCs (Knill-type)
- Tolerable threshold *lower bounds*\*
  - 0.1% simultaneous depolarization noise†
  - 1.1%, if error model known *exactly*



\* Subject to minor numerical caveats

† Versus .02% best lower bound for error-correction-based FT scheme [Aliferis, Cross 2006]

# Fault-tolerance motivation: Fragility of entangled quantum systems

Schrödinger's cat:

$$\frac{1}{\sqrt{2}} (|\text{live cat}\rangle + |\text{dead cat}\rangle)$$

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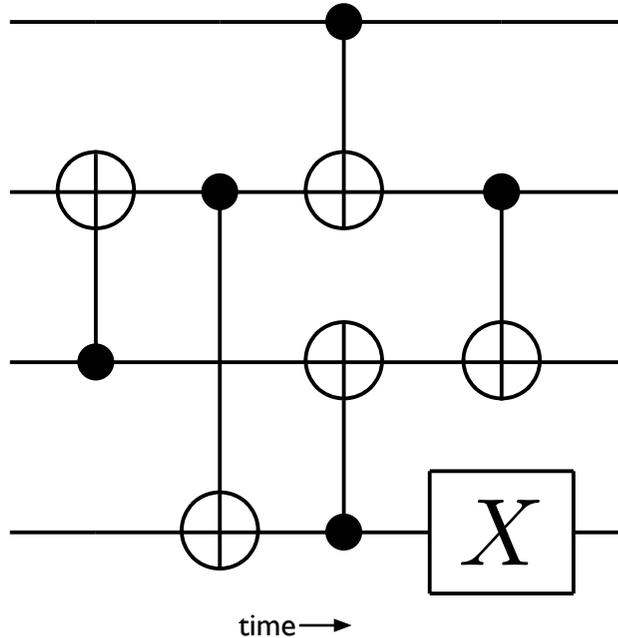
Analogous state in a quantum computer:

$$\frac{1}{\sqrt{2}} (|00 \cdots 0\rangle + |11 \cdots 1\rangle)$$

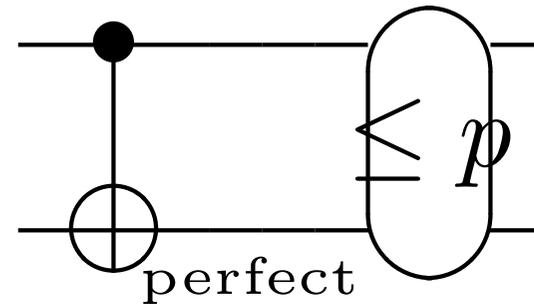
Moral: A single stray photon can collapse whole computer

# Fault-tolerance problem

Factor a 1024-bit number using  $10^{11}$  gates



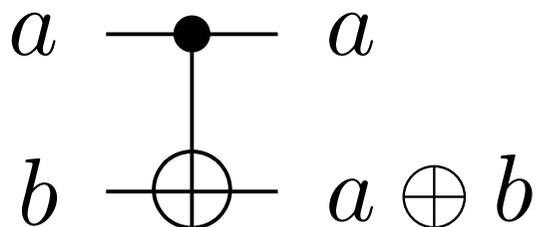
## Noise model



For today:

Model a noisy gate as a perfect gate followed by independent *bit-flip* errors — with total error rate at most  $p$

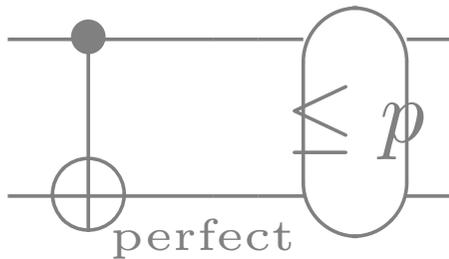
## Controlled-NOT gate



flips target if control bit is set

# Fault-tolerance intuition

Noise model

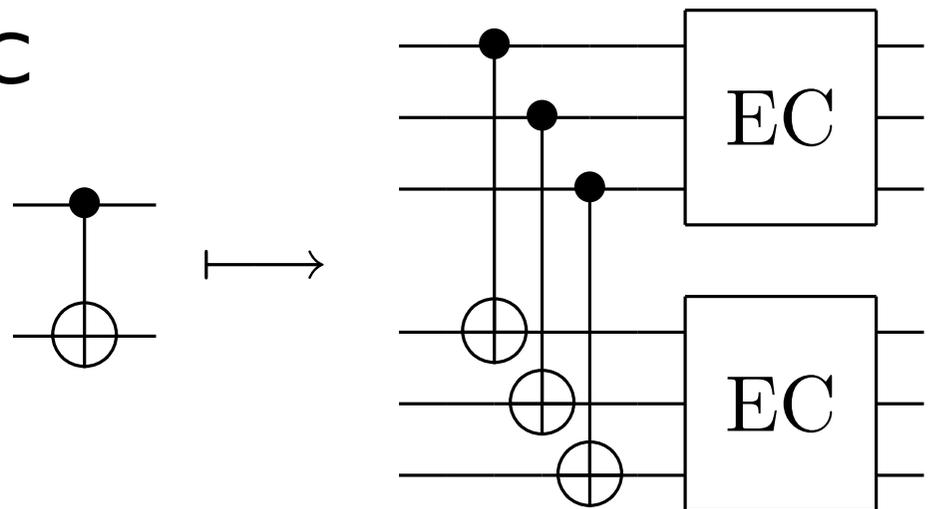


$\therefore$  Encode into an error-correcting code

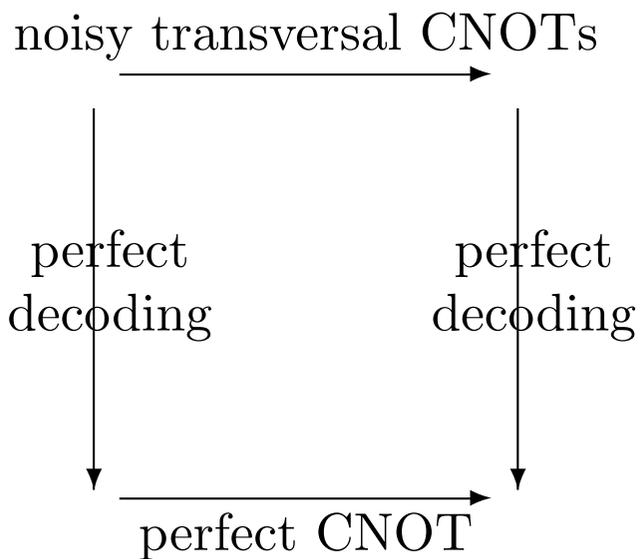
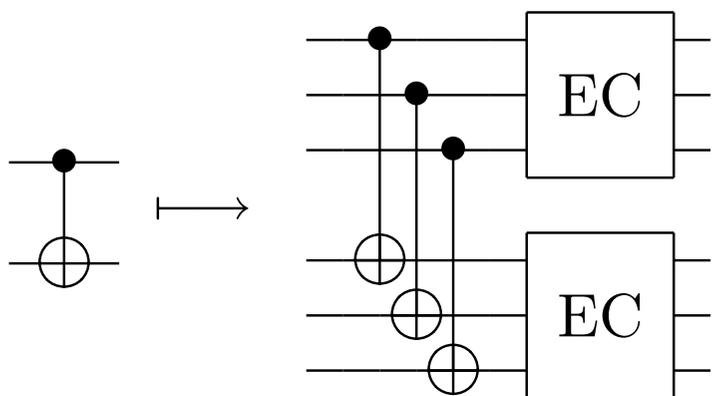
0  $\mapsto$  000

1  $\mapsto$  111

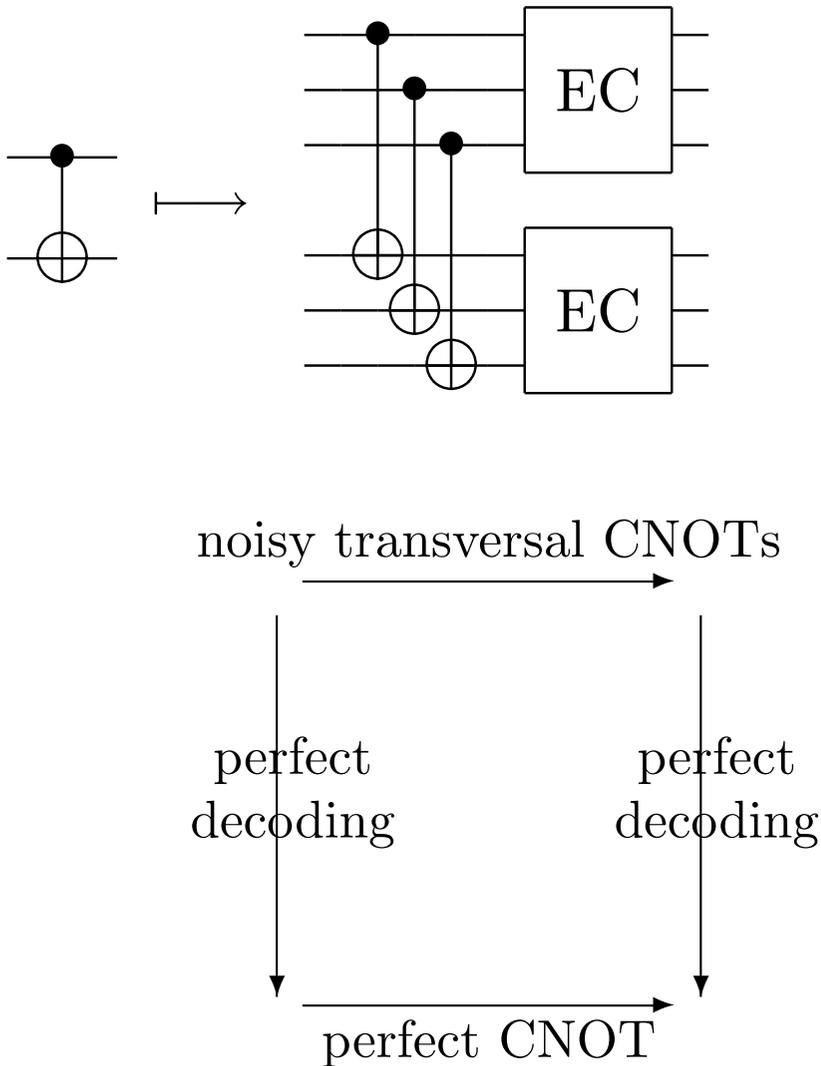
Compute on top of the ECC



# Fault-tolerance intuition

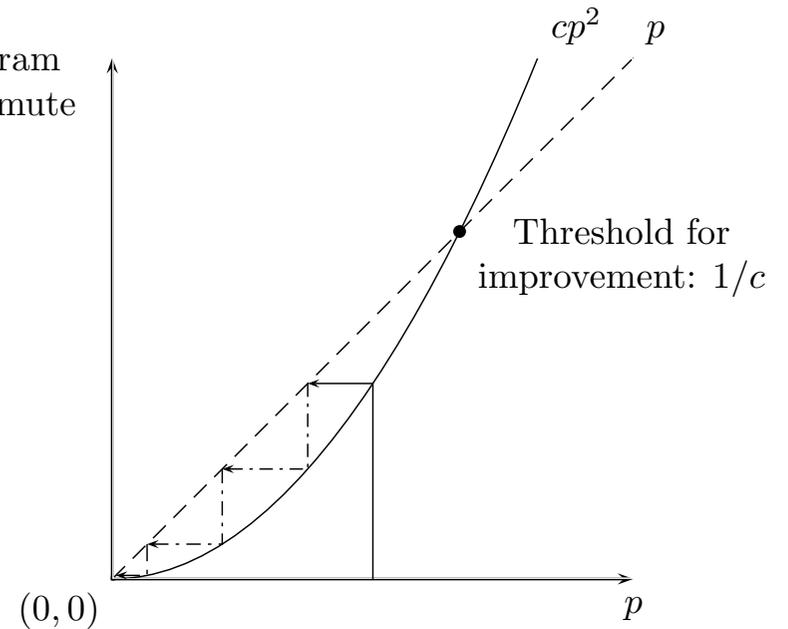


# Fault-tolerance intuition



Improved reliability beneath a constant threshold

Prob. diagram fails to commute



# Tolerable noise threshold results

Zalka

Preskill

Svore/Cross/  
Chuang/Aho  
Szkopek et al.  
Svore/Terhal/  
DiVincenzo

Shor

## Proofs

**Aharonov & Kitaev**  
**Ben-Or**



prove positive tolerable  
noise rate (1997)  
for codes of distance  $d \geq 5$

**Aliferis/Gottesman/  
Preskill, Reichardt** (2005)

first numerical threshold  
lower bounds, threshold  
for distance-3 codes

Knill/Laflamme/  
Zurek  
Gottesman  
Terhal/Burkard

## Estimates & Simulations

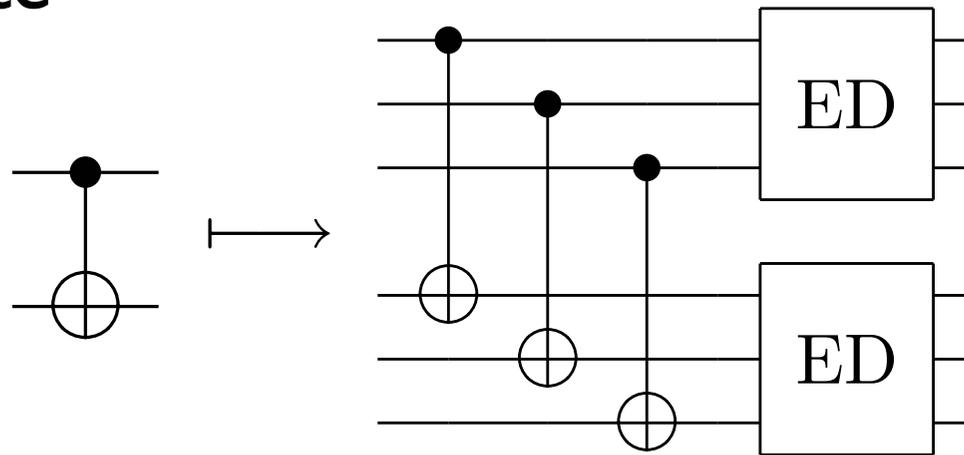
**Steane** ('02-'04)  
develops efficient FT scheme,  
runs extensive simulations  
estimates  $10^{-3}$  threshold noise  
rate with reasonable overhead

**Knill** ('04-'05)   
developed FT scheme based on  
postselection — error detection,  
not error correction ( $d=2$  codes)  
estimates 3-6% threshold with  
high overhead

**Today:** Positive threshold for  
postselection-based FT scheme

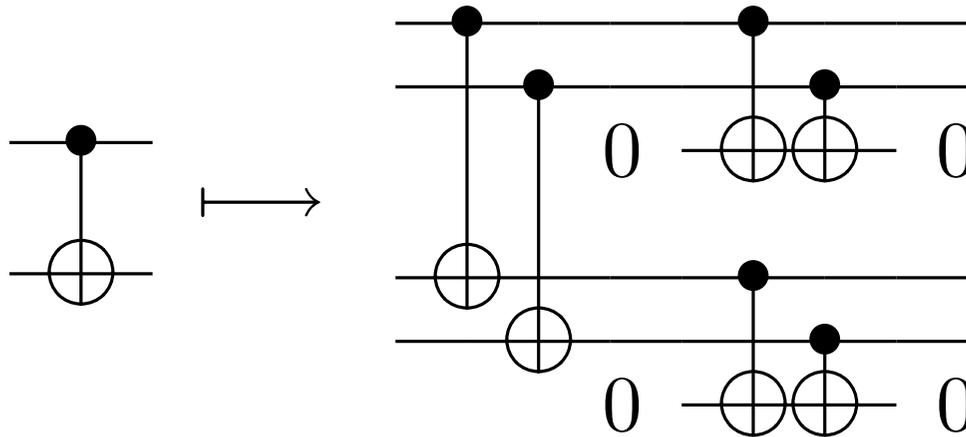
# Fault tolerance based on error *detection*

CNOT gate



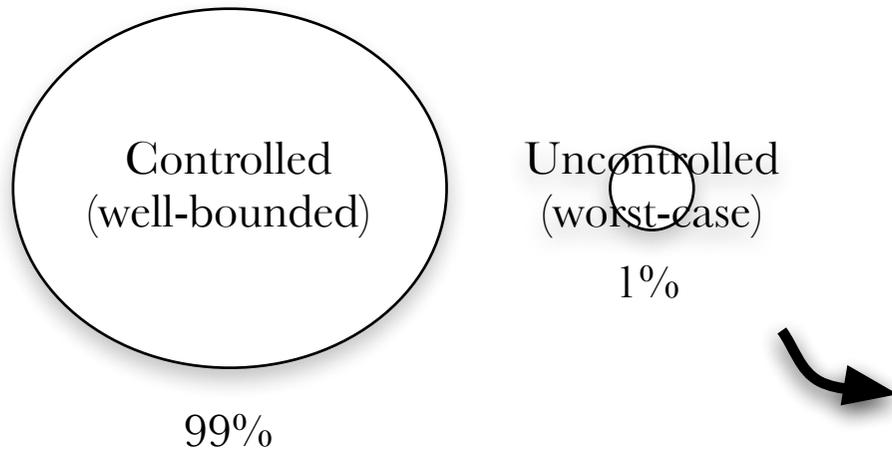
# Fault tolerance based on error *detection*

## CNOT gate



- In simulations, tolerates 10x higher noise rates than error-correction-based FT schemes
- But previously, no proven positive threshold at all!
- Note: Overhead is substantial, but theoretically efficient

# Renormalization frustrates previous proofs

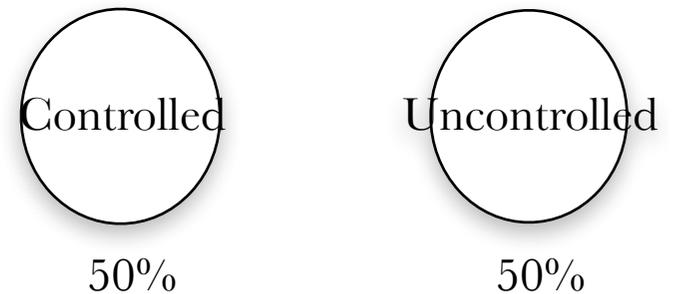


Most of the time, errors are detected — but (counterintuitively) survival probability for uncontrolled portion could be much *higher*



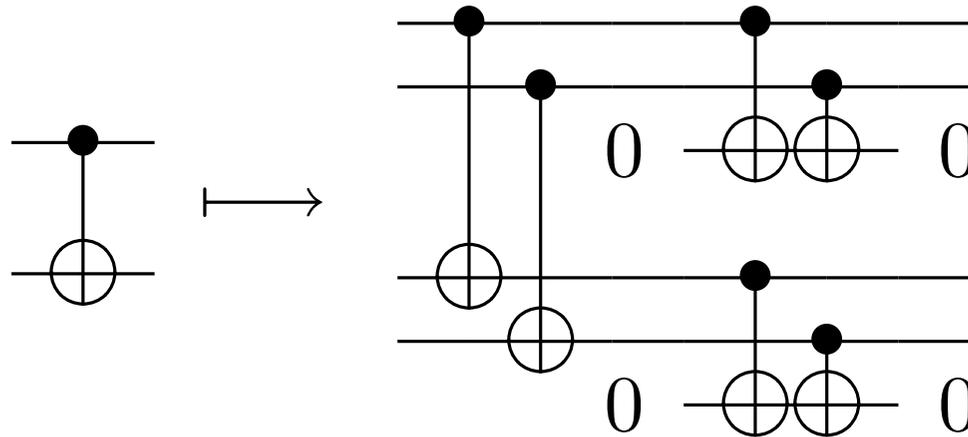
Proofs based on controlling events most of the time, with occasional failures

Uncontrolled fraction of probability mass increases exponentially after renormalization!

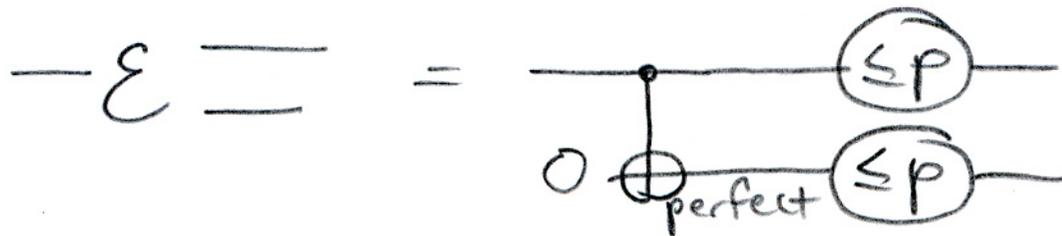


# Proof intuition

CNOT gate

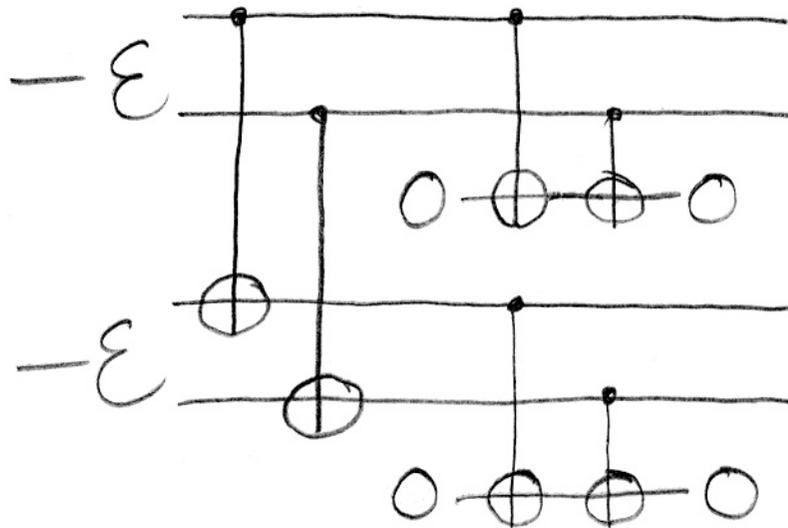
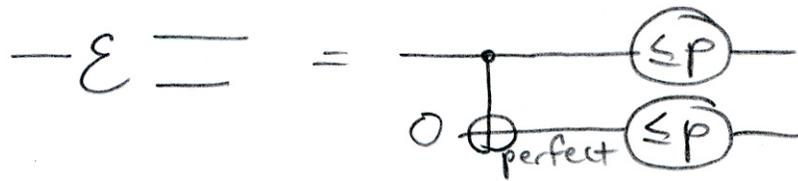


Notation: Noisy encoder

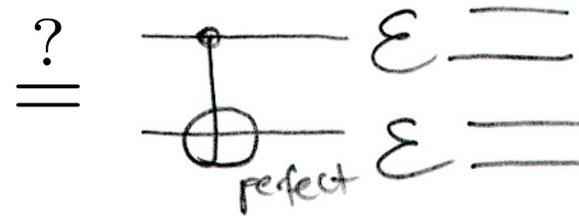


# Proof intuition

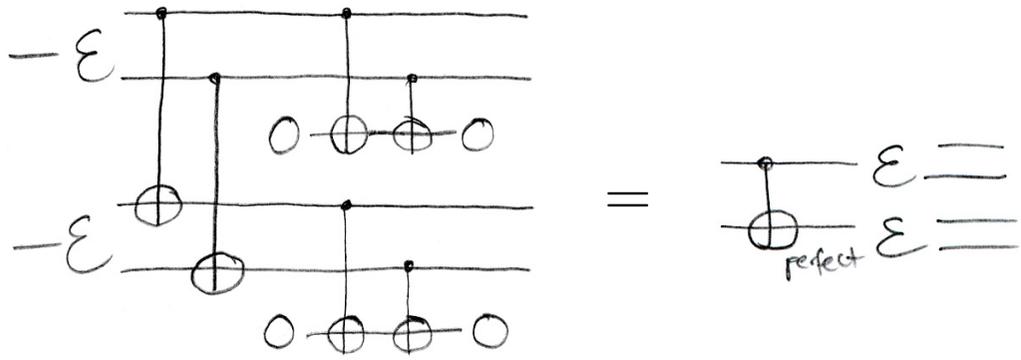
Notation



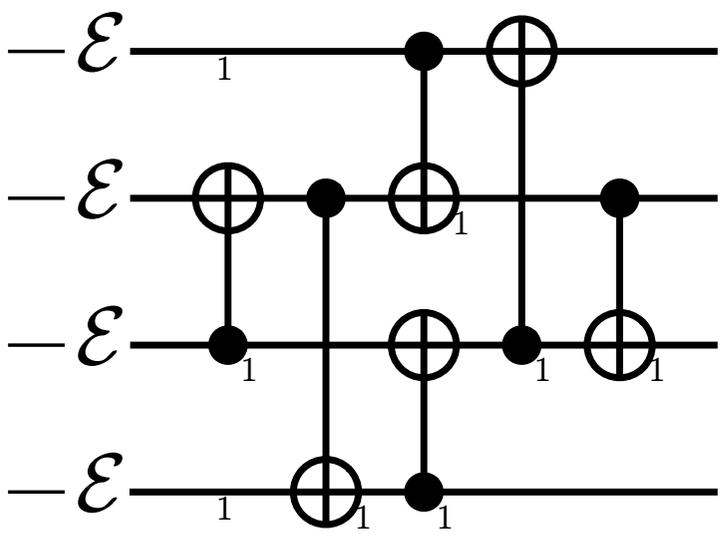
bitwise-independent errors  
preceding encoded CNOT gate



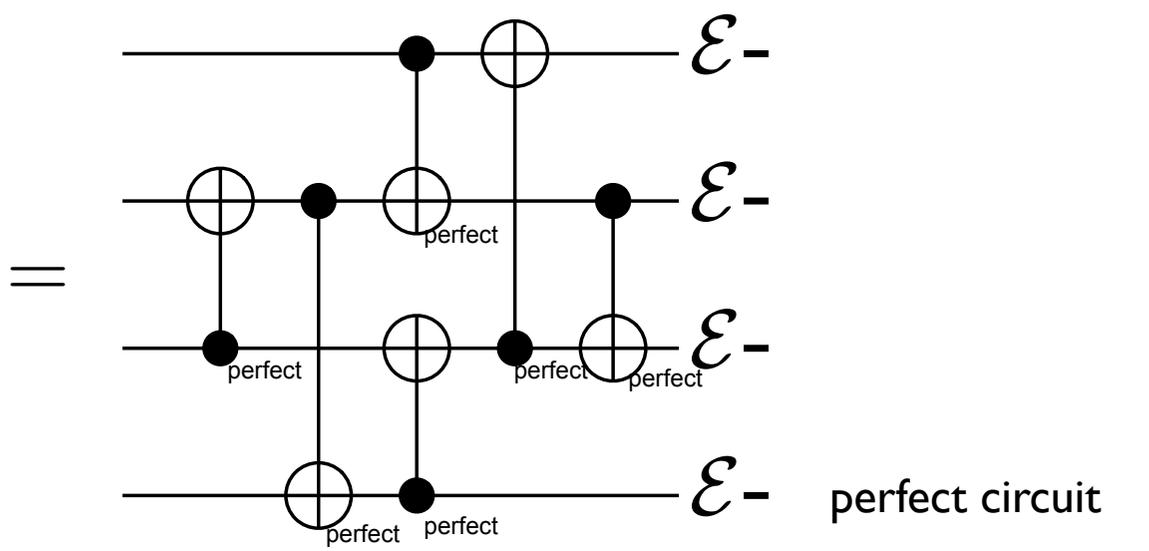
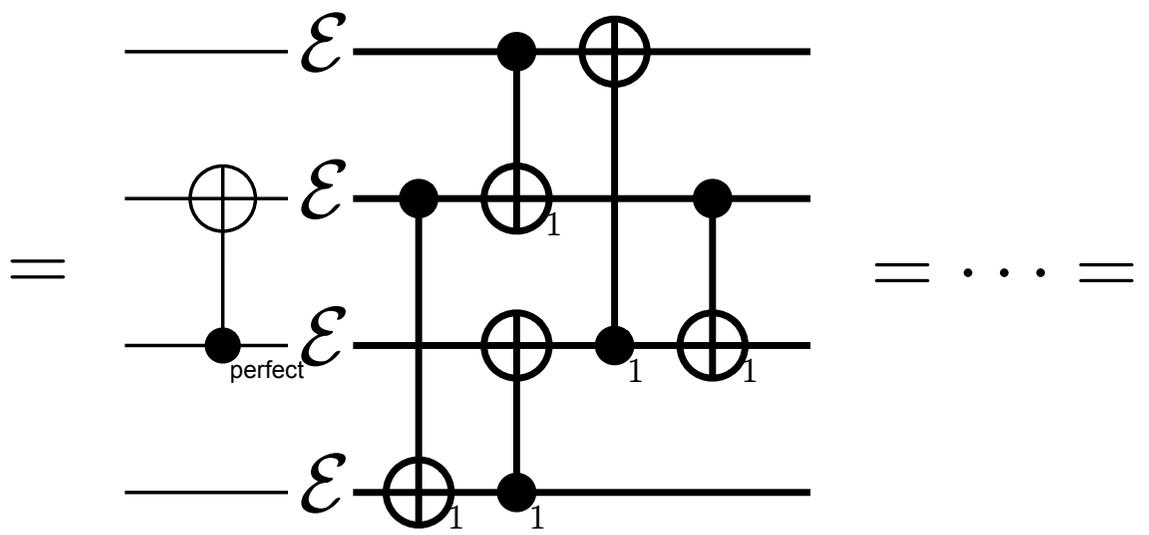
bitwise-independent errors  
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would be nice, since



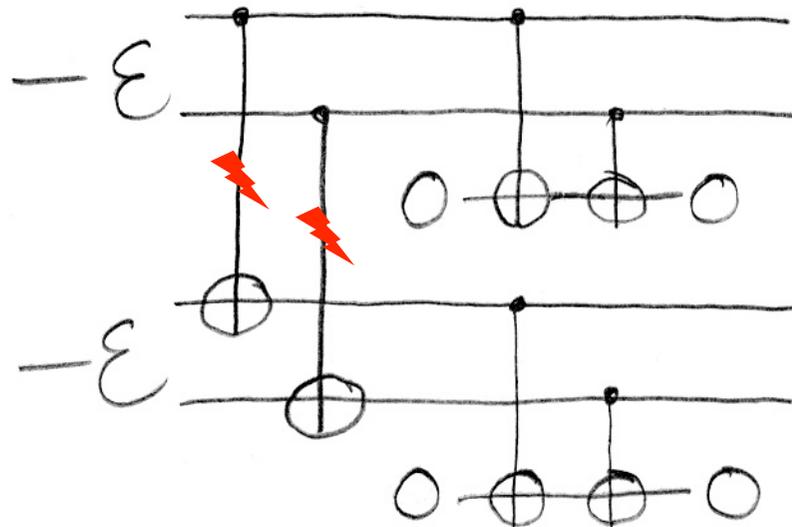
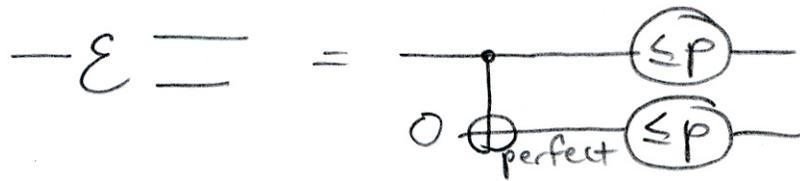
encoded FT circuit



perfect circuit

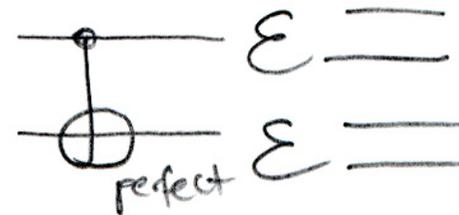
# Proof intuition

Notation



$$P[\text{XXXX}] \sim p^2$$

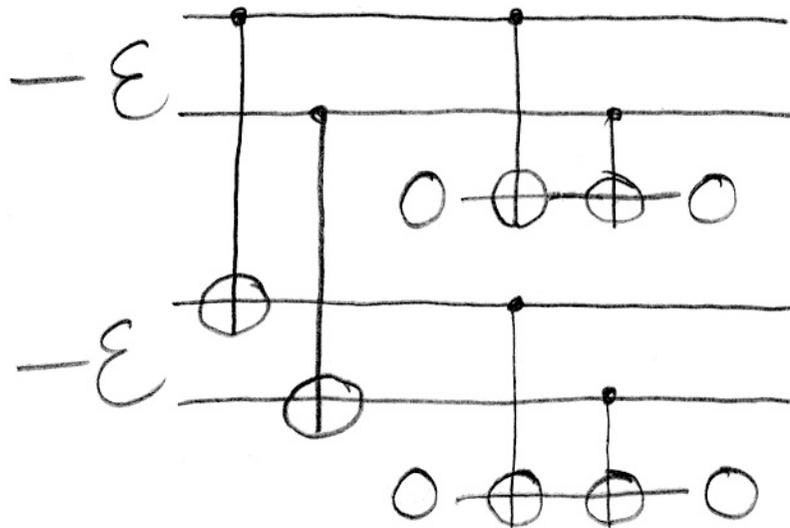
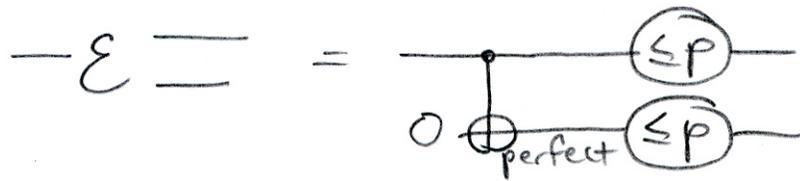
$\neq$



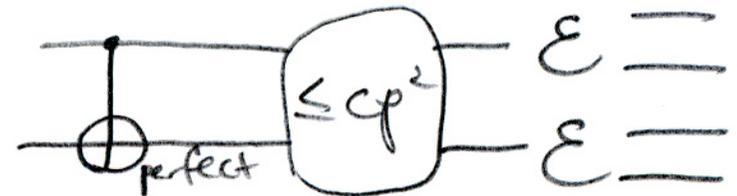
$$P[\text{XXXX}] = p^4$$

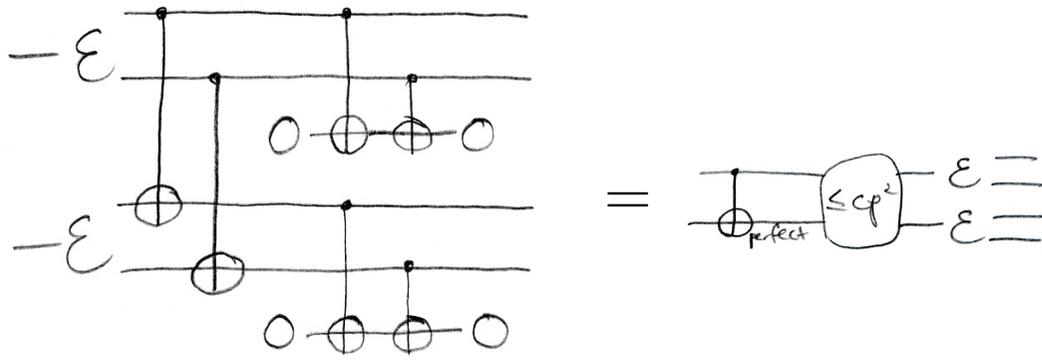
# Proof intuition

Notation

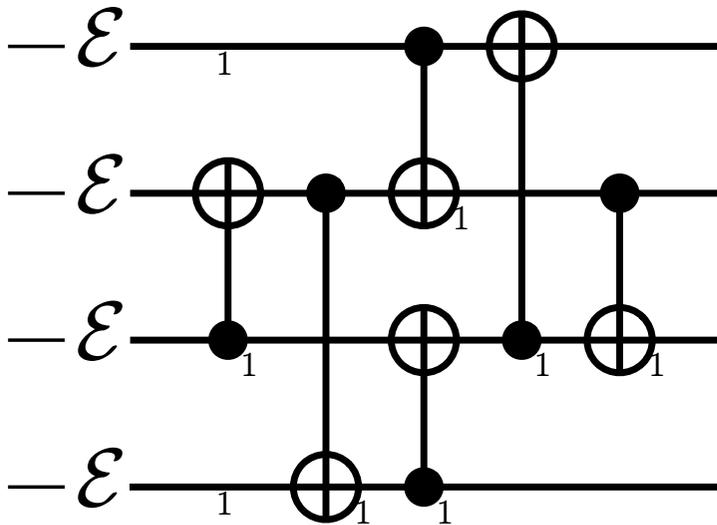


$\stackrel{?}{=}$



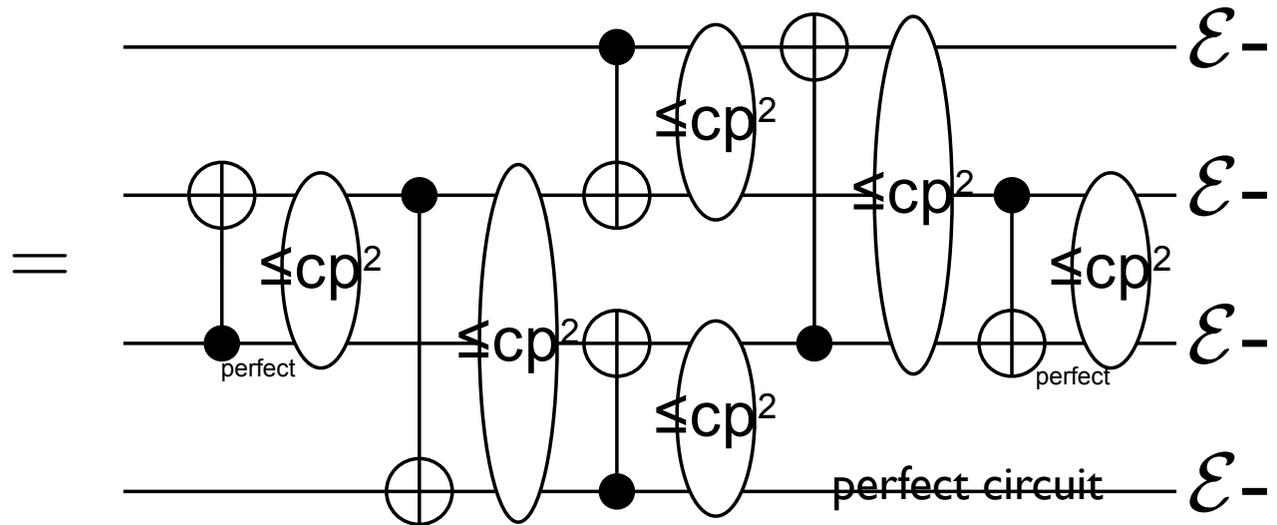


would be nice, since

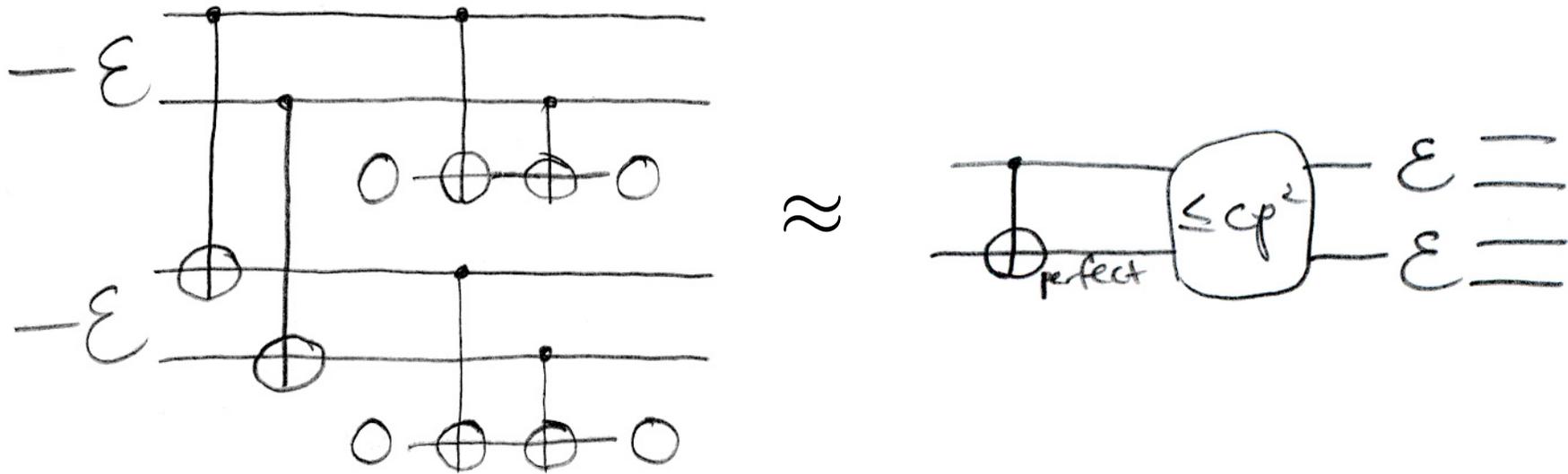


encoded FT circuit

$= \dots =$

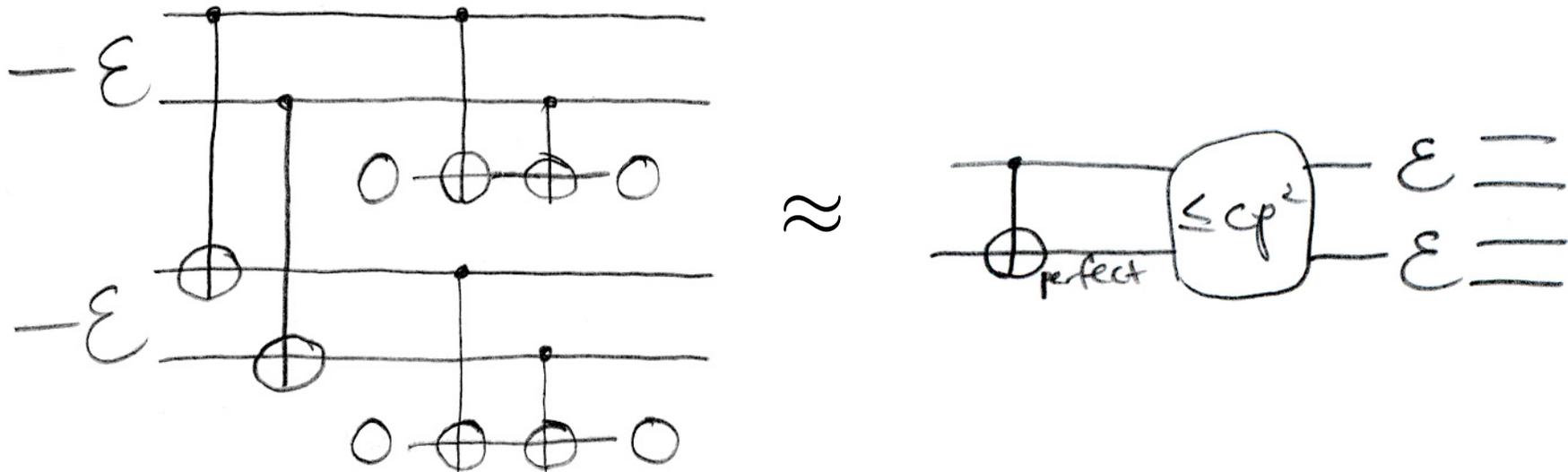


# Proof intuition



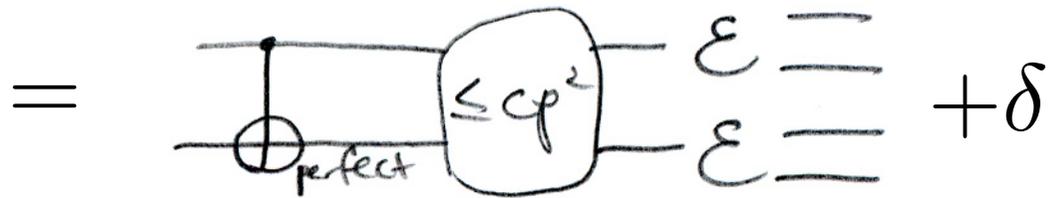
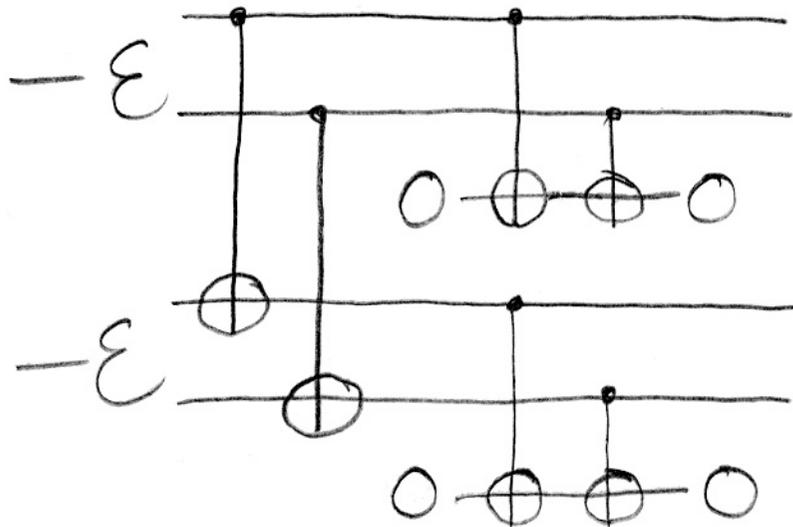
● nice dist.  
● true dist.

# Error orders are correct



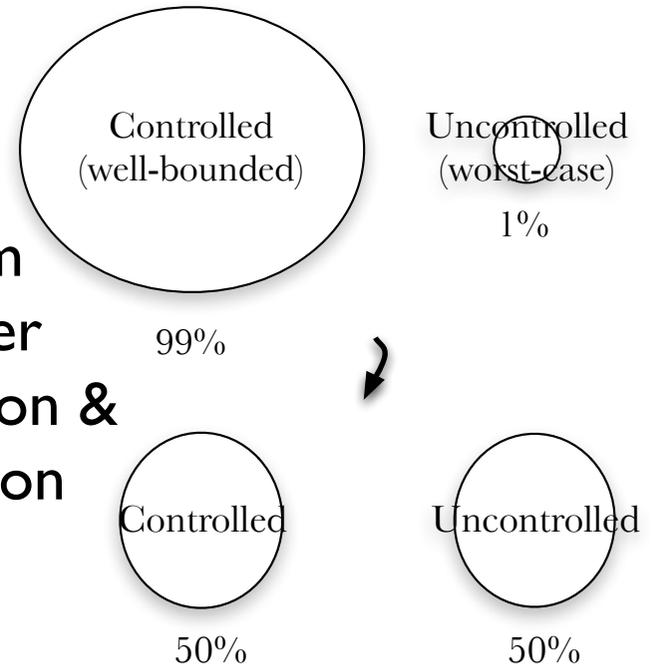
Error	Probability
IIII	$\Theta(1)$
IIIX, IIXI, IXII, XIII	$O(p)$
XXII, IIXX, XXXX, XIXI, XIIX, IXXI, IXIX	$O(p^2)$
IXXX, XIXX, XXIX, XXXI	$O(p^3)$

# Proof intuition

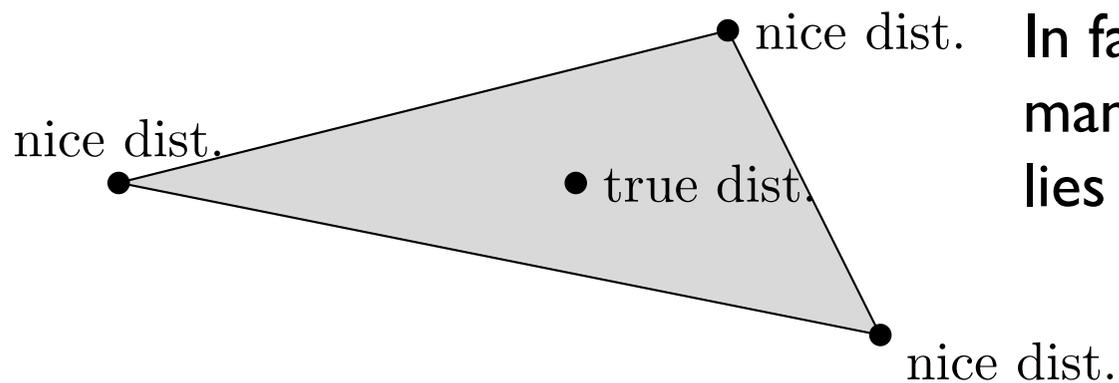
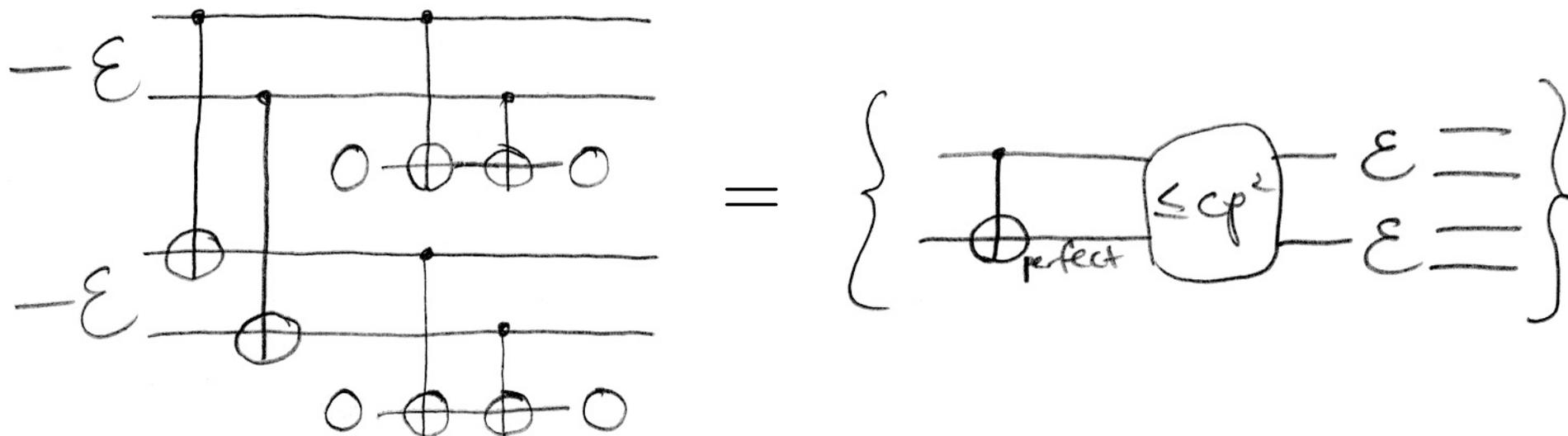


● nice dist.  
 ● true dist.

But this gives same problem as before, after error detection & renormalization

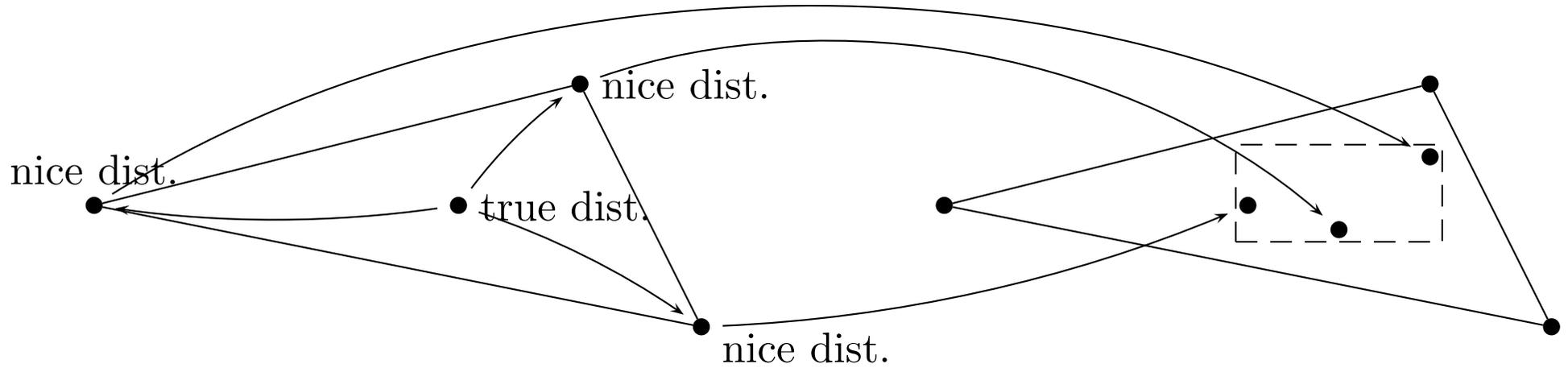


# Proof intuition

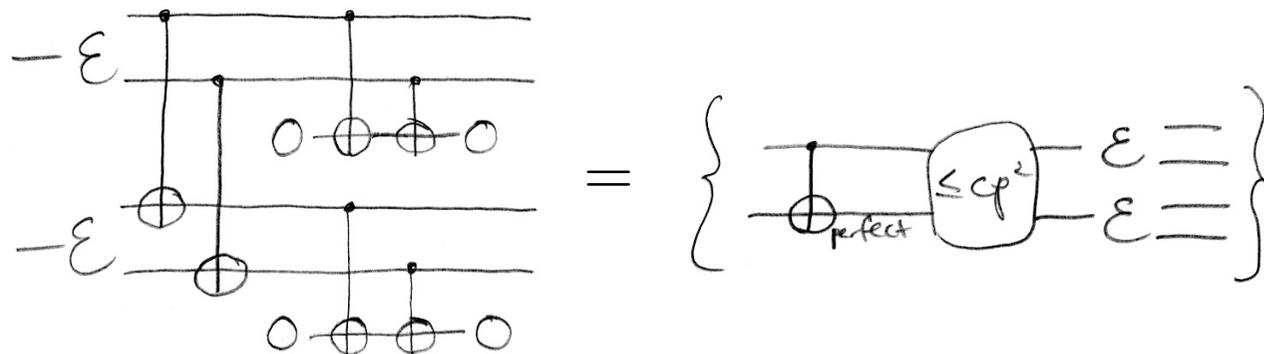


In fact, true distribution is close to many nice (RHS) distributions, and lies in their *convex hull*

# Induction step



Analysis of the next encoded CNOT gate proceeds by *picking* one of the vertices — a nice distribution — then applying the CNOT mixing lemma:

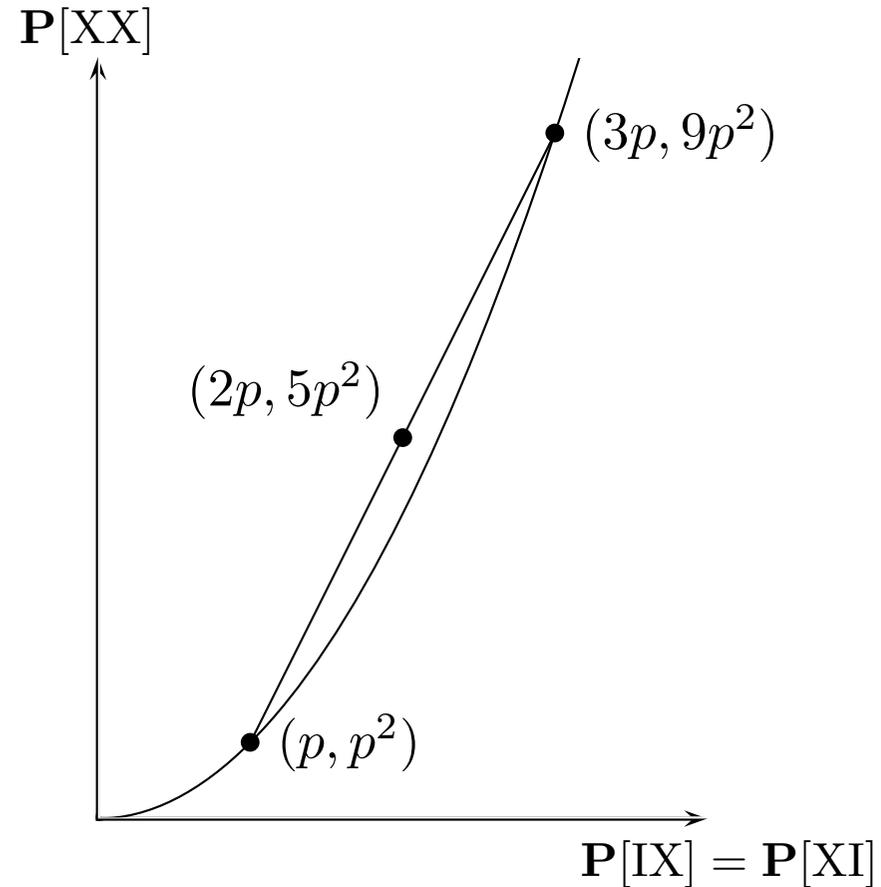


Each output distribution can again be rewritten as mixture of nice distributions, etc.

# Two-bit example of distribution mixing

$$\begin{aligned} \mathbf{P}[\text{II}] &= 1 - 4p - 5p^2 \\ \mathbf{P}[\text{IX}] = \mathbf{P}[\text{XI}] &= 2p \\ \mathbf{P}[\text{XX}] &= 5p^2 \end{aligned}$$

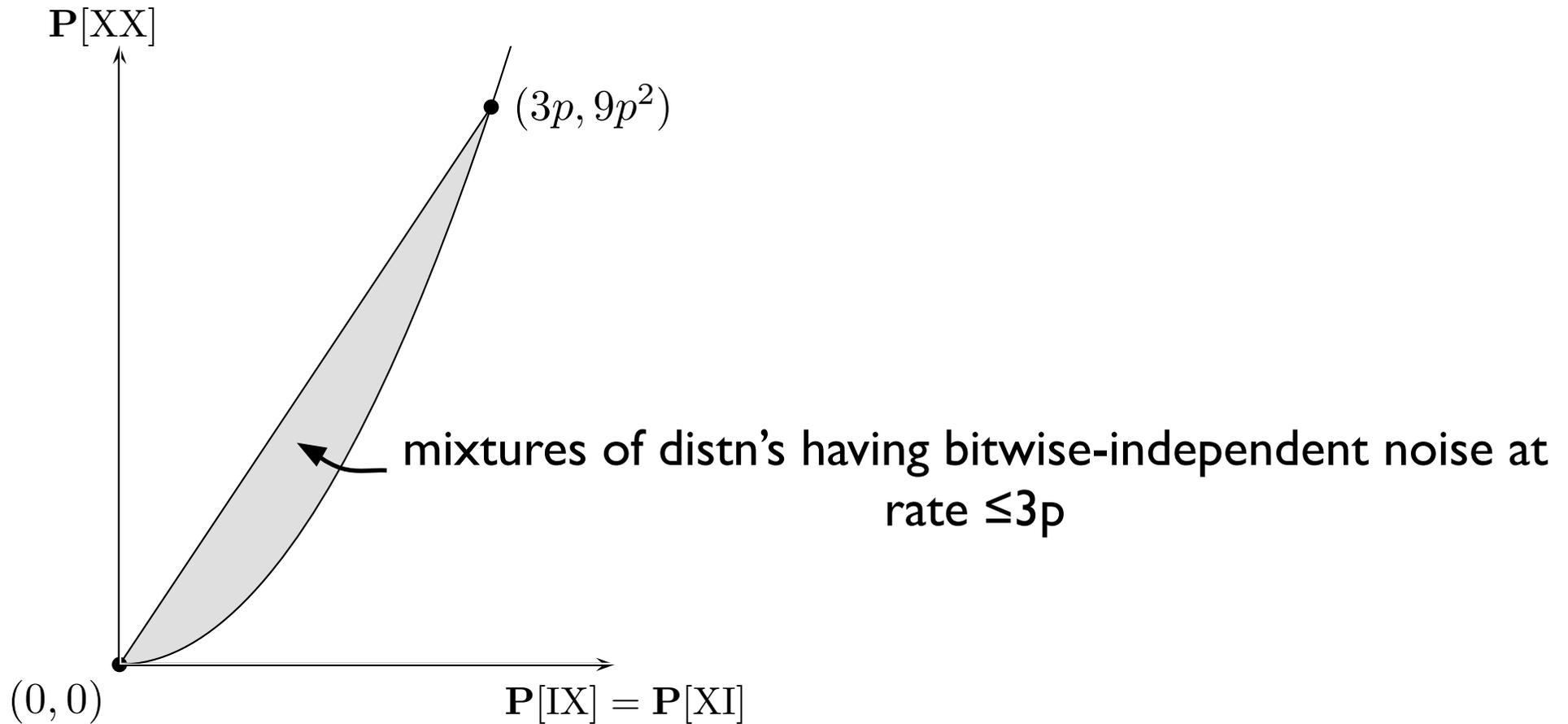
slight positive correlation ↗



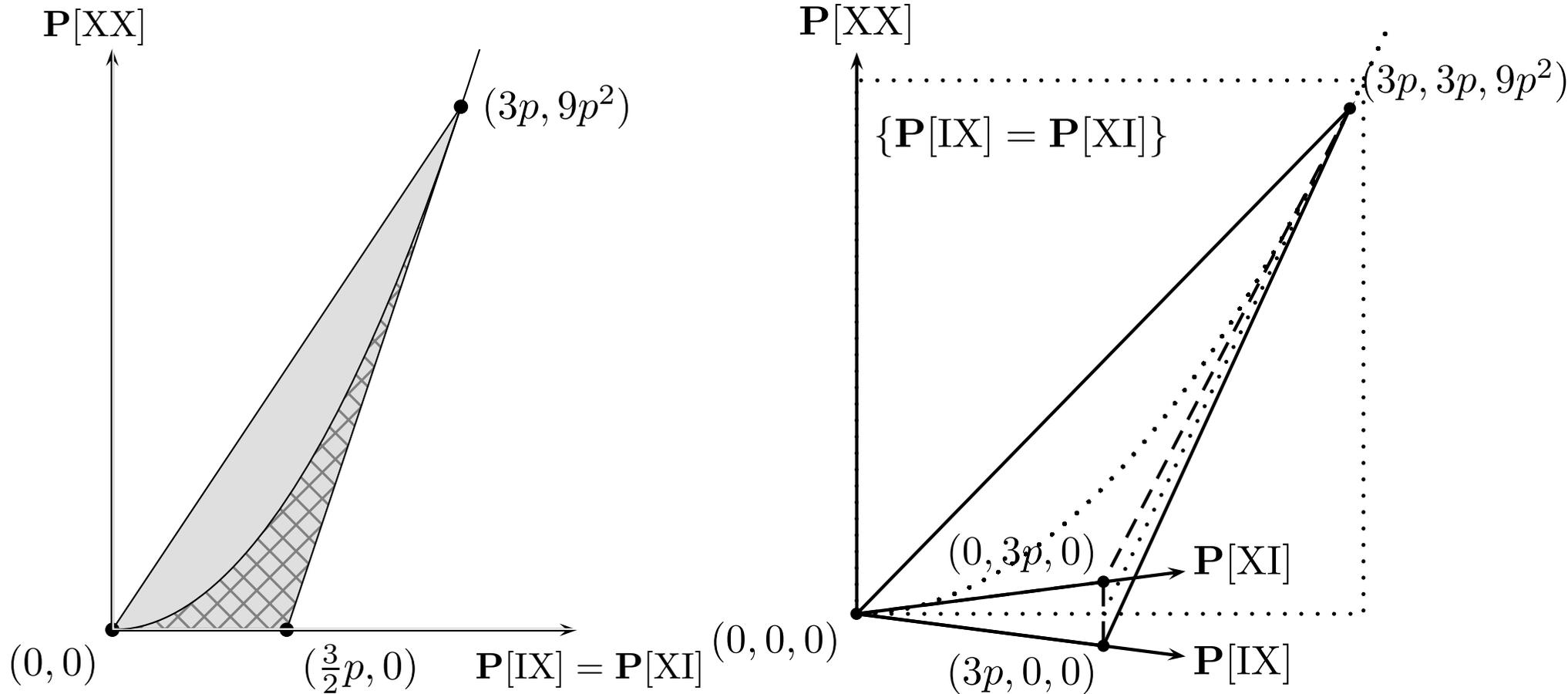
$$\begin{pmatrix} 2p \\ 5p^2 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} p \\ p^2 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 3p \\ 9p^2 \end{pmatrix}$$

↖ ↗  
bitwise-independent errors

# Two-bit example of distribution mixing

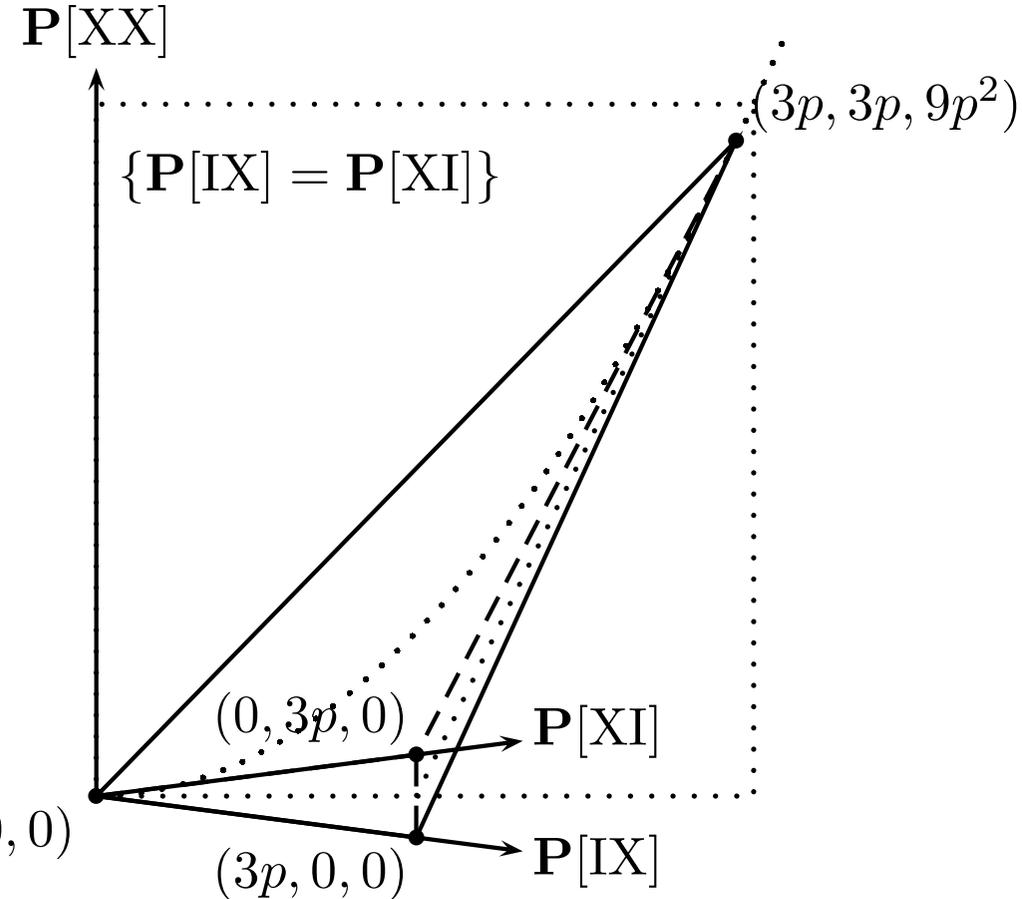
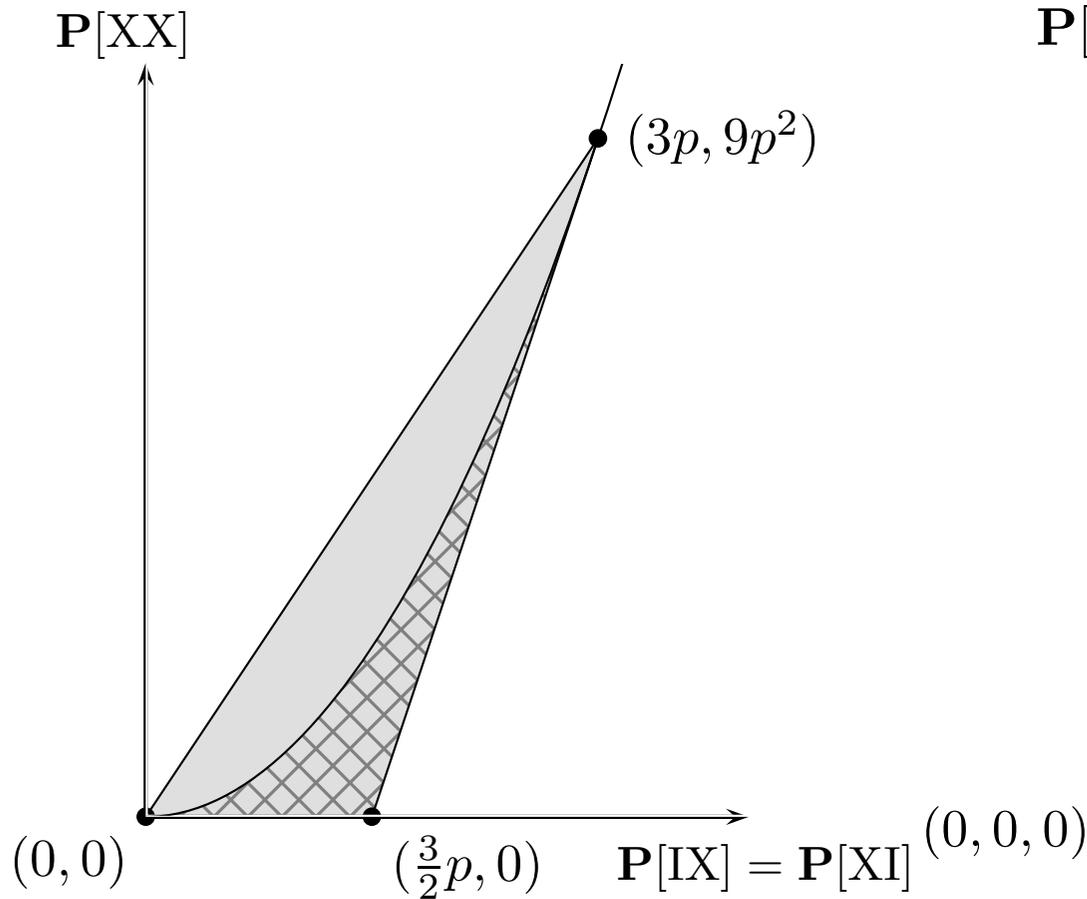


# Two-bit example of distribution mixing



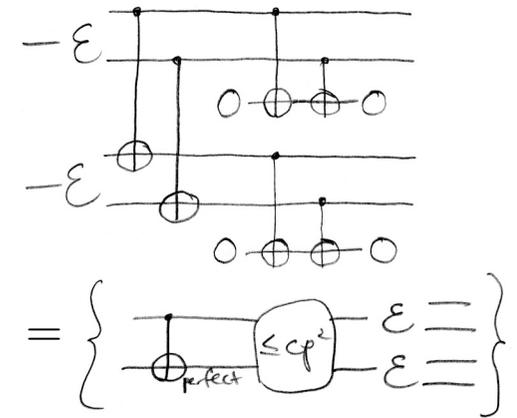
Mixtures (convex combinations) of distributions having bitwise-independent noise at rate  $\leq 3p$

# Two-bit example of distribution mixing

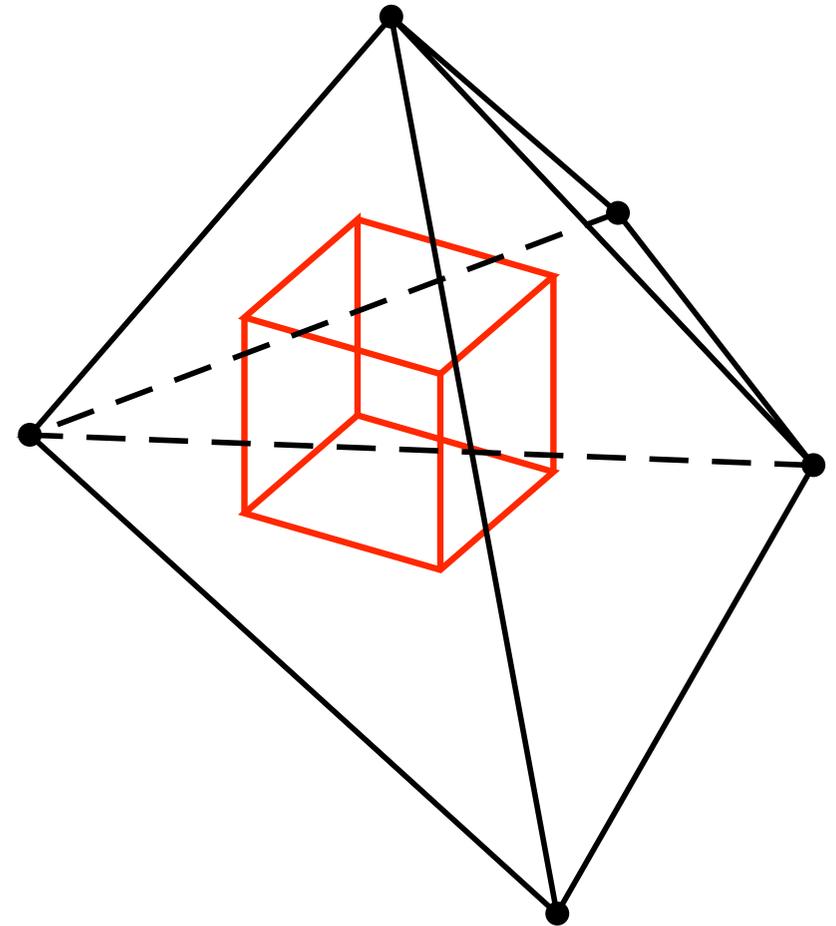


But convex hull of “nice” distributions is much more complicated, in many more dimensions; can’t characterize it exactly (even numerically — number of faces is exponential in dimension)

# Two methods for showing mixing

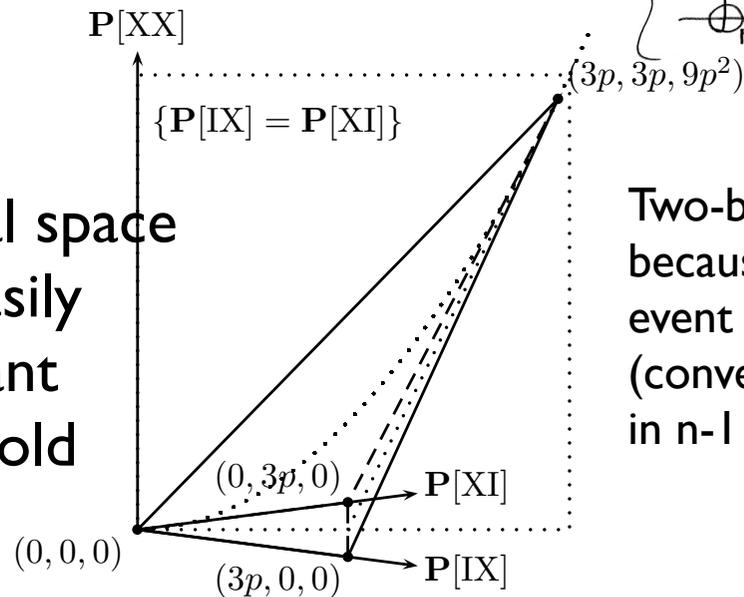


I. We are given upper and lower bounds for each coordinate of the distribution... So use a linear program to check that each vertex of the hypercube lies in the convex hull of extremal “nice” distributions. (Computationally expensive in high dimensions.)

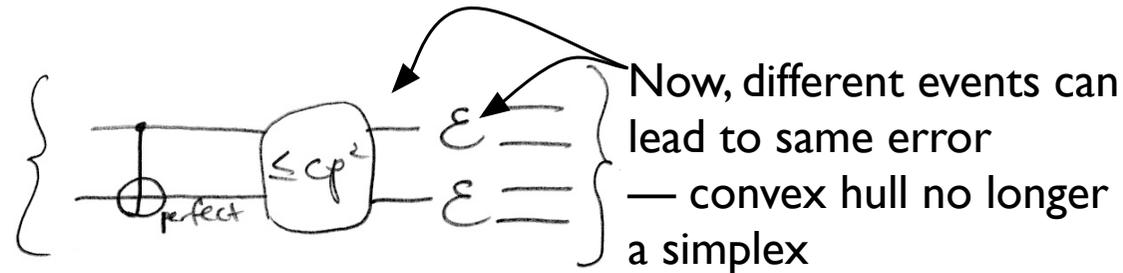
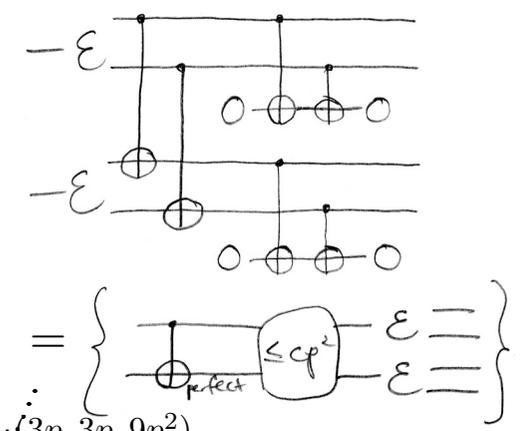


# Two methods for showing mixing

2. Map to a higher-dimensional space in which convex hull can be easily characterized. (Loses a constant factor, but sufficient for threshold existence proof.)

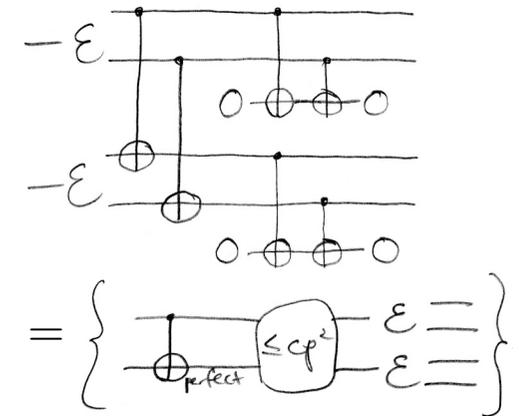


Two-bit case is simple because every error event has distinct effect (convex hull of  $n$  points in  $n-1$  dimensions)



Now, different events can lead to same error — convex hull no longer a simplex

# Two methods for showing mixing



A point  $(q_1, \dots, q_n) \in [0, 1]^n$  corresponds to a bitwise-independent distribution over  $\{0, 1\}^n$ , in which the probability of  $x$  is  $\prod_{i=1}^n q_i^{x_i} (1 - q_i)^{1-x_i}$ . Define the lattice ordering  $y \preceq x$  for  $x, y \in \{0, 1\}^n$  if considered as indicators for subsets of  $[n]$ ,  $x \subseteq y$ .

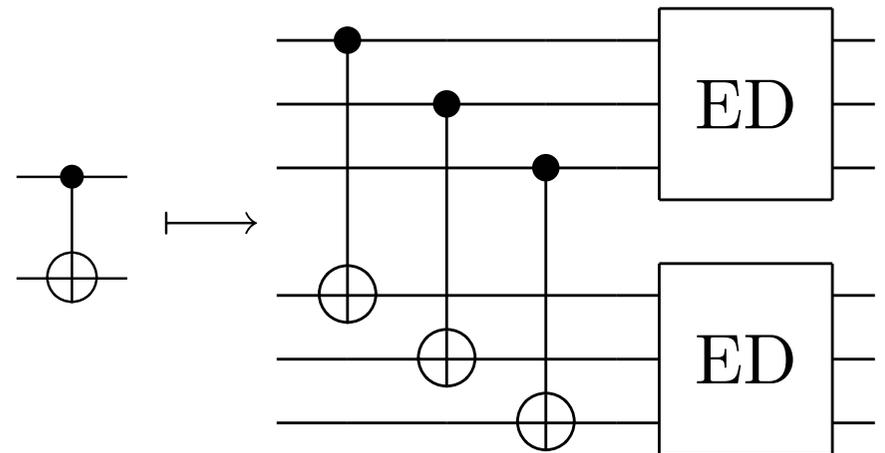
**Mixing Lemma.** *The convex hull, in the space of distributions over  $n$ -bit strings, of the  $2^n$  bitwise-independent distributions  $\{0, p_1\} \times \{0, p_2\} \times \dots \times \{0, p_n\}$  is given exactly by those  $\mathbf{P}[\cdot]$  satisfying the inequalities, for each  $x \in \{0, 1\}^n$ :*

$$\sum_{y \preceq x} (-1)^{|x \oplus y|} \frac{\mathbf{P}[\{z \preceq y\}]}{p(\{z \preceq y\})} \geq 0,$$

where  $p(\{z \preceq y\}) = \prod_{i=1}^n \delta_{y_i, 1} p_i$ , i.e., the probability of  $\{z : z \preceq y\}$  in the distribution  $(p_1, \dots, p_n)$ .

# Results

- *Existence* of tolerable noise rates for many fault-tolerance schemes, including:
  - Schemes based on *error-detecting* codes, not just ECCs (Knill-type)
- *Numerical* threshold lower bounds\*
  - 0.1% simultaneous depolarization noise†
  - 1.1%, if error model known *exactly*



\* Subject to minor numerical caveats

† Versus .02% best lower bound for error-correction-based FT scheme [Aliferis, Cross 2006]