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On the Sequence A079500 and Its Combinatorial Interpretations

A. Frosini and S. Rinaldi
Università di Siena
Dipartimento di Scienze Matematiche e Informatiche
Pian dei Mantellini, 44
53100, Siena
Italy
frosini@unisi.it
rinaldi@unisi.it

Abstract

In this paper we present some combinatorial structures enumerated by the sequence A079500 in Sloane's *Encyclopedia of Integer Sequences*, and determine simple bijections among these structures. Then we investigate the nature of the generating function of the sequence, and prove that it is not differentiably finite.

1 The sequence A079500

The purpose of the paper is to collect and exploit several combinatorial properties of the sequence

$$1, 1, 2, 3, 5, 8, 14, 24, 43, 77, 140, 256, 472, 874, 1628, 3045, 5719, 10780, 20388, \ldots$$

The sequence, which we will refer to as $(f_n)_{n\geq 0}$ (sequence A079500 in [11]), is defined by the generating function

$$f(x) = \sum_{n\geq 0} f_n\, x^n = (1-x) \sum_{i\geq 0} \frac{x^i}{1-2x+x^{i+1}}. \tag{1}$$

R. Kemp proved [6] that f_n is the number of *balanced ordered trees* having $n+1$ nodes. Later, A. Knopfmacher and N. Robbins [7] proved that f_n is the number of *compositions* of the integer n for which *the largest summand occurs in the first position*, and that, as $n \to \infty$

$$f_n \sim \frac{2^n}{n \log 2}(1 + \delta(\log_2 n)),$$

where $\delta(x)$ is a continuous periodic function of period 1, mean zero, and small amplitude of which the authors determined the Fourier expansion; in the same paper they proved the nice property that the coefficient f_n is odd if and only if $n = m^2 - 1$, or $n = m^2$, with $m \geq 1$.

In 2001 Marc Le Brun defined the "numbral arithmetic" for binary sequences by replacing addition with binary bitwise inclusive-OR, and multiplication by shift-&-OR (to the authors' knowledge the only references on numbral arithmetic can be found on Sloane's database [11]. For the basic definitions, see sequence A048888; for further properties see also sequences A057892, A067139, A067150, A067399, and A067398. He conjectured that $(f_n)_{n\geq 1}$ counts the number of divisors of the binary expansion of $2^n - 1$. The conjecture was confirmed by Richard Schroeppel in the same year.

Later, the sequence $(f_n)_{n\geq 1}$ appears in the context of the enumeration of *exact polyominoes*, i.e., polyominoes that tile the plane by translation [1]. In particular, Brlek, Frosini, Rinaldi, and Vuillon [4] proved that f_n is the number of *pseudo-square parallelogram polyominoes with flat bottom* having semi-perimeter equal to $n + 1$.

Our aim in this paper is to give combinatorial evidence for these facts by showing bijections between the four classes enumerated by the sequence A079500.

In the last section we study the sequence from an analytical point of view, and investigate the nature of its generating function $f(x)$, proving that it is not *differentiably finite* (or *D-finite*) [12].

The generating functions of the most common solved models in mathematical physics are differentiably finite, and such functions have a rather simple behavior (for instance, the coefficients can be computed quickly in a simple way, they have a nice asymptotic expansion, they can be handled using computer algebra). On the contrary, models leading to non D-finite functions are usually considered "unsolvable" (see [9, 10]).

Recently many authors have applied different techniques to prove the non D-finiteness of models arising from physics or statistics [2, 3, 10]. Guttmann [9] developed a numerical method for testing the "solvability" of lattice models based on the study of the singularities of their *anisotropic generating functions*. In many cases the tests allow to state that the examined model has not a D-finite generating function [10].

2 Four classes enumerated by A079500

In this section we define the four classes of combinatorial objects that we consider in this paper, and then we prove, using bijective arguments, that they are enumerated by the sequence A079500.

2.1 Pseudo-square parallelogram polyominoes

In the plane $\mathbb{Z} \times \mathbb{Z}$ a *cell* is a unit square, and a *polyomino* is a finite connected union of cells having no cut point. Polyominoes are defined up to translations. A *column* (*row*) of a polyomino is the intersection between the polyomino and an infinite strip of cells whose

centers lie on a vertical (horizontal) line. In a polyomino the *perimeter* is the length of its boundary and the *area* is the number of its cells. For the main definitions and results concerning polyominoes we refer to [12].

A particular subclass of the class of convex polyominoes consists of the *parallelogram* polyominoes, defined by two lattice paths that use north (vertical) and east (horizontal) unitary steps, and intersect only at their origin and extremity. These paths are commonly called the *upper* and the *lower path*. Without loss of generality we assume that the upper and lower path of the polyomino start in $(0,0)$. Figure 1 depicts a parallelogram polyomino having area 14 and semi-perimeter 10. Note that for parallelogram polyominoes the semi-perimeter is equal to the sum of the numbers of its rows and columns.

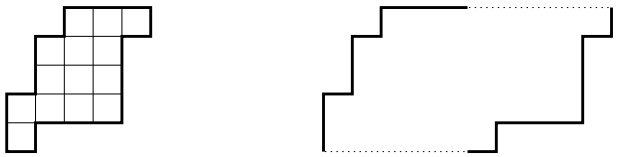

Figure 1: A parallelogram polyomino, its upper and lower paths.

The boundary of a parallelogram polyomino is conveniently represented by a *boundary word* defined on the alphabet $\{0,1\}$, where 0 and 1 stand for the horizontal and vertical step, respectively. The coding follows the boundary of the polyomino starting from $(0,0)$ in a clockwise orientation. For instance, the polyomino in Figure 1 is represented by the word 11011010001011100010.

If $X = u_1 \dots u_k$ is a binary word, we indicate by $\overline{X}$ the mirror image of X, i.e., the word $u_k \dots u_1$, and the length of X is $|X| = k$. Moreover $|Y|_0$, (resp., $|Y|_1$) indicates the number of occurrences of 0s (resp. 1s) in Y.

Beauquier and Nivat [1] introduced the class of *pseudo-square* polyominoes, and proved that each polyomino of this class may be used to tile the plane by translation. Indeed, let A and B be two discrete points on the boundary of a polyomino P. Then $[A,B]$ and $\overline{[A,B]}$ denote respectively the paths from A to B on the boundary of P traversed in a clockwise and counterclockwise way. The point A' is the opposite of A on the boundary of P and satisfies $|[A,A']| = |[A',A]|$. A polyomino P is said to be *pseudo-square* if there are four points A,B,A',B' on its boundary such that $B \in [A,A']$, $[A,B] = \overline{[B',A']}$, and $[B,A'] = \overline{[A,B']}$ (see Figure 2).

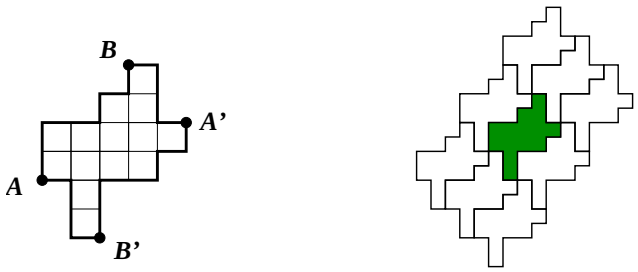

Figure 2: A pseudo-square polyomino, its decomposition and a tiling of the plane determined by the polyomino.

Here we consider the class $\mathcal{PSP}$ of parallelogram polyominoes which are also pseudo-squares (briefly, *psp*-polyominoes) [4].

Proposition 2.1. *If $XY\overline{X}\,\overline{Y}$ is a decomposition of the boundary word of a psp-polyomino then:*

i) XY encodes its upper path, and YX its lower path;

ii) $X = 1X'1$, $Y = 0Y'0$, for some $X', Y' \in \{0,1\}$;

iii) the decomposition $XY\overline{X}\,\overline{Y}$ is unique.

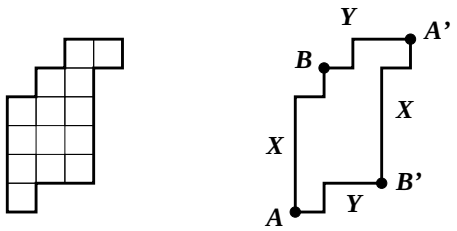

Figure 3: A *psp*-polyomino, and its unique decomposition.

For instance, the polyomino in Figure 3 can be decomposed as $111101\cdot0100\cdot101111\cdot0010$, where $X = 111101$, $Y = 0100$.

We call *psp*-polyominoes with *flat bottom* those *psp*-polyominoes such that the word Y (called the *bottom*) is made only of 0's (see Figure 4). We denote by $\mathcal{PSP}^{-}$ the class of such polyominoes, and by $\mathcal{PSP}^{-}_{n,k}$ those having bottom of length $k \geq 1$, and semiperimeter $n+1$. The enumeration problem for the class $\mathcal{PSP}^{-}$ is solved in [4], and here we recall the useful characterization which leads to such a result:

Proposition 2.2. *The word $U = 1\,X'\,1\,0^k$, with $k \geq 1$, and $|U| = n+1$, represents the upper path of a polyomino in $\mathcal{PSP}^{-}_{n,k}$ if and only if X' does not contain any factor 0^j, with $j \geq k$.*

Example 2.1. The word 110010001110100110001 represents the upper path of a polyomino in $\mathcal{PSP}^{-}_{24,4}$, as shown in Figure 4 (a), while the word 101100000101 does not encode a polyomino in $\mathcal{PSP}^{-}_{15,4}$ since it contains the factor 00000 (as shown in Figure 4 (b)).

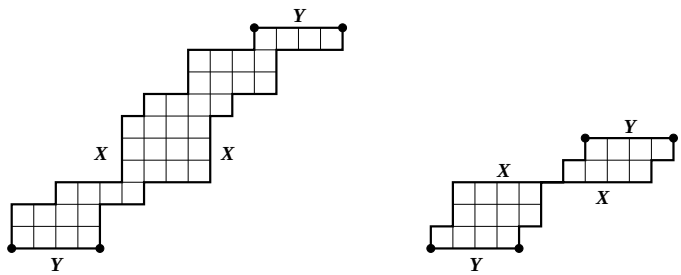

Figure 4: Examples of a polyomino in $\mathcal{PSP}^{-}_{24,4}$ (a), and a polygon which is not a polyomino, (b)

2.2 Balanced ordered trees

For the basic definitions of the ordered trees we refer to [12]. An ordered tree is said to be *balanced* if all its leaves are at the same level. Let $\mathcal{B}_n$ be the class of *balanced ordered trees* having $n+1$ nodes. In [6] R. Kemp proves that $|\mathcal{B}_n| = f_n$, $n \geq 0$.

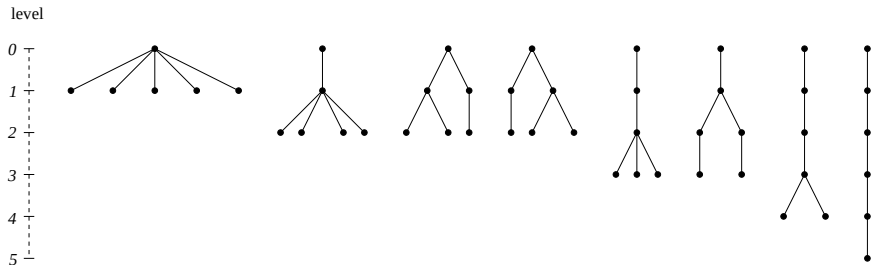

Figure 5: The eight balanced ordered trees having 6 nodes.

2.3 Compositions with the largest part in the first position

For any $n \geq 1$, $k \geq 1$ let $\mathcal{C}_{n,k}$ be the set of compositions of n having the largest part k in the first position, i.e.,

$$k + a_1 + \ldots + a_h = n,$$

with $k \geq a_1, \ldots, a_h \geq 1$, and $h \geq 0$. For instance,

$$\mathcal{C}_{5,2} = \{\, 2+1+1+1,\ 2+2+1,\ 2+1+2 \,\}.$$

Moreover let $\mathcal{C}_n = \bigcup_{k=1}^{n} \mathcal{C}_{n,k}$ be the set of compositions of n having the largest part in the first position. For instance,

$$\mathcal{C}_5 = \{\, 1+1+1+1+1, 2+1+1+1, 2+2+1, 2+1+2, 3+2, 3+1+1, 4+1, 5 \,\}.$$

By convention we set $|\mathcal{C}_0| = |\mathcal{C}_1| = 1$. In [7] A. Knopfmacher and N. Robbins prove that for any $n \geq 0$, $|\mathcal{C}_n| = f_n$.

2.4 Divisors of $2^n - 1$ in numbral arithmetic

Let n be an integer number, and let $[n]$ be its binary representation. The *numbral arithmetic* relies on the replacing of the standard addition for binary sequences with binary bitwise inclusive-OR. As a consequence, the multiplication uses the shift-&-OR instead of the standard shift-&-add. As an example, it holds

$$\begin{aligned}
[3] + [9] &= 11 + 1001 = 1011 = [11] \\
[3] * [9] &= 11 * 1001 = 11 * 1 + 110 * 0 + 1100 * 0 + 11000 * 1 = \\
&= 11 + 000 + 0000 + 11000 = 11011 = [27].
\end{aligned}$$

Clearly, the defined addition and multiplication are still commutative.

We say that $[d]$ *divides* $[n]$ if there exists $[e]$ such that $[d] * [e] = [n]$. One can apply the given definitions to compute the six divisors of [14], i.e., [1], [2], [3], [6], [7], and [14], in order to immediately relying that the element $[e]$ whose product with $[d]$ is $[n]$ is, in general, not unique.

Let us define $\mathcal{D}_n$ to be the set of the divisors of $[2^n - 1]$ (i.e., the binary sequence 1^n), and $\mathcal{D}_{n,k}$ the divisors of $[2^n - 1]$ having length k. We will show that, for each $n > 0$, the cardinality of the set $\mathcal{D}_n$ is f_n, by bijectively prove that $|\mathcal{D}_{n,k}| = |\mathcal{PSP}^-_{n,n-k+1}|$. In the following table the divisors of $[2^n - 1]$, for $n = 1, \ldots, 4$, are computed.

n	$[2^n - 1]$	divisors of $[2^n - 1]$	$[\frac{4^n-1}{3}]$	divisors of $[\frac{4^n-1}{3}]$
1	1	{1}	1	{1}
2	1 1	{1, 11}	1 0 1	{1, 101}
3	1 1 1	{ 1 , 11 , 111 }	10 10 1	{ 1 , 101 , 1 0 101 }
4	1 1 1 1	{ 1, 11, 111 / 101, 1111 }	10 10 1 01	{ 1, 101, 10101 / 10001, 10100101 }

For the same values of n, the numbers $[\frac{4^n-1}{3}]$ (sequence A002450 in [11]) and their corresponding divisors are also shown. This sequence has two interesting combinatorial interpretations: for $n > 0$, it counts both the degree $(n-1)$ numbral power of 5, and the partial sums of the first $(n-1)$ powers of 4, and it is also strictly connected with the sequence A079500, as stated in the following:

Proposition 2.3. *The binary sequence $x_1\,x_2\,\ldots\,x_k$ is a divisor of $[2^n-1]$ if and only if the binary sequence $x_1\,0\,x_2\,0\,\ldots\,0\,x_k$ is a divisor of $[\frac{4^n-1}{3}]$.*

3 Bijective results

First, we easily prove that the number of *psp*-polyominoes having semiperimeter $n+1$ and flat bottom, say $\mathcal{PSP}_n^-$, is f_n. By Proposition 2.2 it follows that, for any fixed $k \geq 1$, the generating function of the *psp*-polyominoes having flat bottom of length k is

$$f_k(x) = \frac{x^k}{1-x-x^2-x^3-\ldots-x^k}, \tag{2}$$

hence the generating function of $\mathcal{PSP}^-$ is given by the sum

$$1 + \frac{1}{x^2}\sum_{k\geq 1} f_k(x) = (1-x)\sum_{i\geq 0}\frac{x^i}{1-2x+x^{i+1}}, \tag{3}$$

i.e., the generating function of A079500.

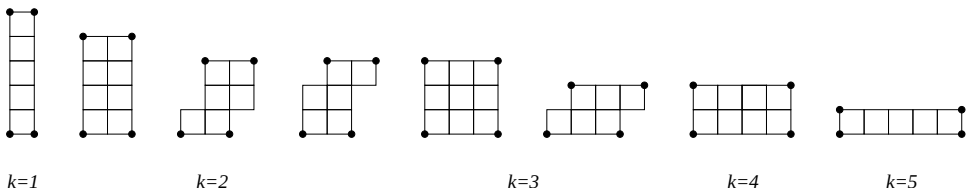

Figure 6: The eight *psp*-polyominoes with flat bottom having semi-perimeter equal to 6.

3.1 A bijection between $\mathcal{B}_n$ and $\mathcal{PSP}_n^-$

We prove bijectively that $|\mathcal{B}_n| = \left|\mathcal{PSP}_n^-\right|$. We do this by establishing a bijection

$$\Theta : \mathcal{B}_{n,k} \rightarrowtail \mathcal{PSP}_{n,k}^-.$$

where $\mathcal{B}_{n,k}$ denotes the set of trees in $\mathcal{B}_n$ having height equal to k, thus proving that this class is counted by $f_k(x)$, i.e., the generating function in (2), for any $k \geq 1$.

Let us start by observing that, for any $k \geq 1$, each polyomino in $\mathcal{PSP}_{n,k}^-$ has all the rows of length k. Let $T \in \mathcal{B}_{n,k}$, and let $e_1, \ldots, e_h$, $h \geq 1$ be the leaves of T, from left to right, and for any $i = 1, \ldots, h-1$ let n_i be the level of node in T which is father of the leaves e_i and e_{i+1}, and has minimal level (clearly, $0 \leq n_i \leq k-1$). Now $\Theta(T)$ is defined as a polyomino with h rows, each one with exactly k cells, and such that for any $i = 1, \ldots, h-1$ the $i+1$ row is placed just above the ith row, and moved on the right by $k - n_i - 1$ cells (see Figure 7).

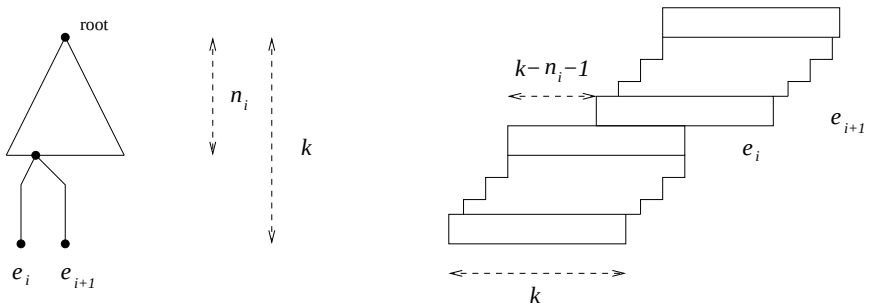

Figure 7: The bijection between trees in $\mathcal{B}_{n,k}$, (a), and polyominoes in $\mathcal{PSP}^-_{n,k}$, (b).

The reader can easily check that $\Theta(T) \in \mathcal{PSP}^-_{n,k}$, and that Θ is a bijective function; moreover from the definition of Θ it follows that the number of rows of $\Theta(T)$ is equal to the number of leaves of T (see Figure 8).

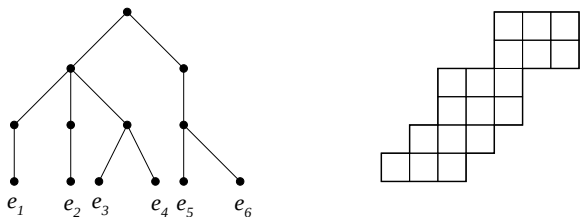

Figure 8: A balanced tree of height 3 and the corresponding polyomino with bottom of length 3.

3.2 A bijection between $\mathcal{PSP}^-_n$ and $\mathcal{C}_n$

In this paragraph we will describe a bijection between $\mathcal{PSP}^-_{n,k}$ and $\mathcal{C}_{n,k}$, for any $k \geq 1$, as follows:

$$\Delta : \mathcal{PSP}^-_{n,k} \rightarrowtail \mathcal{C}_{n,k}.$$

Let us consider a polyomino P in $\mathcal{PSP}^-_{n,k}$, and let the word encoding its upper path be

$$1\,0^{e_1}\;1\,0^{e_2}\;\ldots\;1\,0^{e_h}\;1\,0^{k},$$

with $e_1 + \ldots + e_h + (h+1) + k = n+1$ and, by Proposition 2.2, $e_i < k$, for $i = 1, \ldots, h$. Let us define

$$\Delta(P) = k + (e_1 + 1) + \ldots + (e_h + 1).$$

Clearly $\Delta(P)$ is a composition of $k + e_1 + \ldots + e_h + h = n$ having k as leading summand, thus $\Delta(P) \in \mathcal{C}_{n,k}$. Moreover, if the polyomino P has h rows, $h \geq 1$, then the corresponding composition $\Delta(P)$ has h parts.

For instance, the polyomino in Figure 8 (with semi-perimeter 13, bottom of length 3, and 6 rows) is represented by the word 1010110011000, i.e., $10^1 10^1 10^0 10^2 10^0 10^3$, and is mapped through Δ in the composition $3+2+2+1+3+1$ of 12, with greatest summand 3 and having 6 parts. It is easy to check that Δ is a bijection.

The table below shows the correspondences between several parameters in polyominoes of $\mathcal{PSP}^-$, balanced ordered trees, and compositions with the largest summand in the first position.

$\mathcal{PSP}^-$	semi-perimeter + 1	length of the bottom	number of rows
$\mathcal{B}$	number of nodes +1	height of the tree	number of leaves
$\mathcal{C}$	sum of the terms	leading summand	number of summands

3.3 A bijection between $\mathcal{D}_n$ and $\mathcal{PSP}_n^-$

We achieve $|\mathcal{D}_n| = |\mathcal{PSP}_n^-|$ by defining a bijection Ω between $\mathcal{D}_{n,k}$ and $\mathcal{PSP}_{n,n-k+1}^-$. The following characterization of the elements of $\mathcal{D}_n$ is needed.

Lemma 3.1. *For each $n, d > 0$, it holds that $[d]$ is a divisor of $[2^n - 1]$ if and only if it ends with the digit 1, and it does not contain any subsequence of 0s having length greater than $n-k$, with k being the length of $[d]$.*

Proof. ($\Rightarrow$) Let $[d] = d_1\, d_2\, \ldots\, d_k$, $[e] = e_1\, e_2\, \ldots\, e_{n-k+1}$, and $[d] * [e] = [2^n - 1] = 1^n$ (where the power notation stands for repetition). By definition, it holds that

$$[d] * [e] = d_1\, \ldots\, d_{k-1}\, 0 * e_{n-k+1} + \ldots + d_1\, \ldots\, d_{k-1}\, 0\, 0^{n-k} * e_1 = p_1\, \ldots\, p_n.$$

We proceed by contradiction and we assume that $d_k = 0$ or that there exists in $[d]$ a sequence of 0s having length $n-k+1$.

In the first case, it turns out that the digit p_n has value 0 since it is the sum (i.e., the inclusive-OR) of $n-k+1$ digits of value 0, and this is not possible.

In the second case, we assume that $[d] = 1\, d_2\, \ldots\, d_{k-1}\, 1$ contains the sequence of 0s $d_h\, \ldots\, d_{h+n-k}$. By definition, the digit p_{n-h} of the product $[d] * [e]$ has value 0, since it is the sum of $n-k+1$ bits having value 0. Again this is not possible by the hypothesis $[d] * [e] = 1^n$.

($\Leftarrow$) Let us consider the binary sequence $[e] = 1^{n-k+1}$. Since $[d] = 1\, d_2\, \ldots\, d_{k-1}\, 1$ does not contain any sequence of 0s having length greater than $n-k$, then each digit $p_1\, \ldots\, p_n$ of the product $[d] * [e]$ is the sum of $n-k+1$ digits at least one of them having value 1, so it has value 1. The thesis $[d] * [e] = 1^n$ is achieved. □ □

We define the bijection

$$\Omega : \mathcal{D}_{n,k} \rightarrowtail \mathcal{PSP}^{-}_{n,n-k+1}$$

as follows: to each divisor $[d] = 1\, d_2\, \ldots\, d_{k-1}\, 1$ of $[2^n - 1]$ we associate a *psp*-polyomino whose upper path is represented by $1\, d_2\, \ldots\, d_{k-1}\, 1\, 0^{n-k+1}$.

By Lemma 3.1, each divisor of $[2^n - 1]$ has no subsequences of 0s of length greater than $n-k$, so, by Proposition 2.2, it encodes an upper path of a *psp*-polyomino having the bottom of length greater than $n - k + 1$. The minimal possible semi-perimeter for such polyominoes is $n + 1$, as desired. On the contrary, the word coding the upper path of a polyomino in $\mathcal{PSP}^{-}_{n,n-k+1}$ belongs to $\mathcal{D}_{n,k}$, since it is a binary sequence of the type $w_1\, 0^{n-k+1}$, with w_1 starting and ending with the digit 1, and containing no sequences of 0s of length greater than $n - k$.

Figure 9 shows the correspondence between the eight divisors of $[2^5 - 1]$ and the eight *psp*-polyominoes in $\mathcal{PSP}^{-}_{5}$.

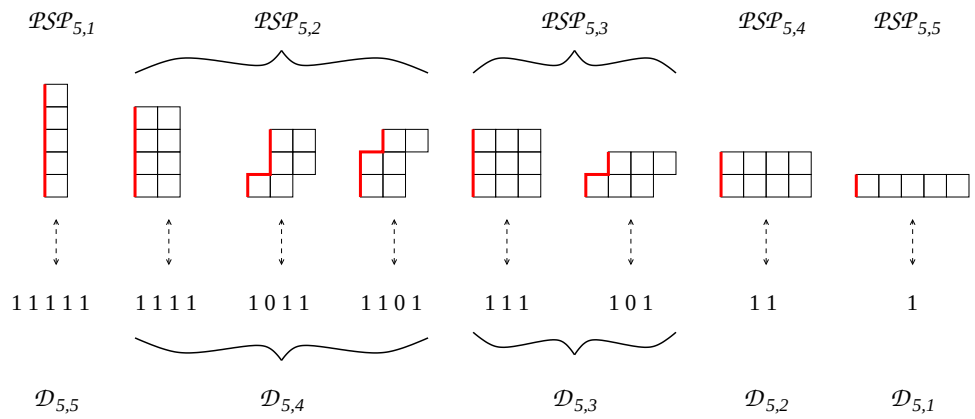

Figure 9: Each upper path of an element in $\mathcal{PSP}^{-}_{5}$ is associated with the correspondent divisor of $[2^n - 1]$ in $\mathcal{D}_5$.

4 Nature of the generating function

Finally, for the sake of completeness, we would like to spend a few words on investigating the nature of the generating function $f(x)$ of the sequence A079500.

Let us start by recalling that a formal power series $u(x)$ with coefficients in $\mathbb{C}$ is said to be *differentiably finite* (briefly, *D-finite*) if it satisfies a (non-trivial) polynomial equation

$$q_m(x)u^{(m)} + q_{m-1}(x)u^{(m-1)} + \ldots + q_1(x)u' + q_0(x)u = q(x),$$

with $q_0(x), \ldots, q_m(x) \in \mathbb{C}[x]$, and $q_m(x) \neq 0$ ([12], Ch. 6).

Every algebraic series is D-finite, while the converse does not hold. For example, the generating function $u(x)$ of the sequence $\binom{2n}{n}^2$ is D-finite, since it satisfies the linear differential equation

$$4u(x) + (32x - 1)u'(x) + x(16x - 1)u''(x) = 0,$$

while $u(x)$ is not algebraic, as proved for the first time in [8].

Our aim in this section is to prove that the generating function of the sequence A079500,

$$f(x) = (1-x)\sum_{i\geq 0}\frac{x^i}{1-2x+x^{i+1}}$$

is not differentiably finite.

In order to do this we can use the very simple argument, arising from the classical theory of linear differential equation, that a D-finite power series of a single variable has only a finite number of singularities. Thus we can reach our goal by proving that $f(x)$ has infinitely many poles. For instance, the function $1/\cos(x)$ is not D-finite, since it has an infinite number of singularities.

We point out that this "criterion" was applied by Flajolet in [8] to prove that the language

$$\{\, a^n b v_1 a^n v_2 \,:\, n \geq 1, v_1, v_2 \in \{a,b\}^* \,\}$$

is a context-free inherently ambiguous language.

We reach our goal by adapting Flajolet's proof to our case. For each $i \geq 1$, let $P_i = 1-2x+x^{i+1}$. For $|x| < 1$, it is easy to check that each P_i has a real zero ρ_i such that $\frac{1}{2} < \rho_i < 1$. By the Principle of the Argument and by the related Rouché's Theorem we are able to state that for a sufficiently small x, say $|x| < \frac{3}{4}$, ρ_i is the unique zero of P_i. Thus we have

1. if $i > j$ then $\rho_i < \rho_j$;
2. $\lim_{i\to\infty} \rho_i = \frac{1}{2}$.

Hence, for any $x \neq \rho_i, \frac{1}{2}$, and $|x| < \frac{3}{4}$ the series $\sum_{i\geq 0}\frac{1}{P_i}$ is convergent. As a conclusion, $f(x)$ is analytic in $|x| < \frac{3}{4}$ except for finitely many poles ρ_i which accumulate in $\frac{1}{2}$, thus it is not D-finite.

It is interesting to observe that, while parallelogram polyominoes are one of easiest (and most frequently treated in literature) classes of polyominoes, and have an algebraic generating function according to the semi-perimeter, *psp*-polyominoes with a flat bottom (which are a rather simple type of parallelogram polyominoes) are not *D-solvable*.

On the nature of a class of languages. The result in the present section suggests some further considerations regarding the class $\mathcal{PSP}^-$ of *psp*-polyominoes with flat bottom.

By Proposition 2.2 we have that the class of the polyominoes having bottom of length $k \geq 1$ can be suitably encoded by means of the regular language ℓ_k defined by the unambiguous regular expression

$$1\left(1 \cup 01 \cup 001 \cup \ldots \cup 0^{k-1}1\right)^* 0^k,$$

and that consequently the class $\mathcal{PSP}^-$ can be encoded by means of the language $\ell = \bigcup_{k\geq 1}\ell_k$.

A classical result by Chomsky and Schützenberger [5] states that the generating function of an unambiguous context-free language is algebraic. But, as we have proved in the present section, the generating function of ℓ (i.e., $x^2 f(x)$) is not algebraic (actually, not even *D*-finite), thus ℓ is an inherently ambiguous language.

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