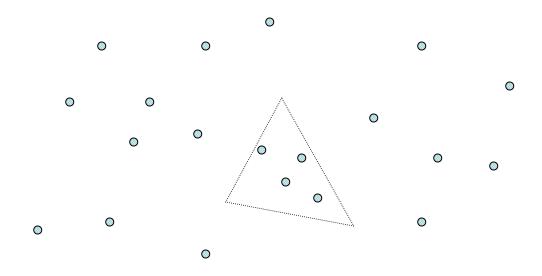
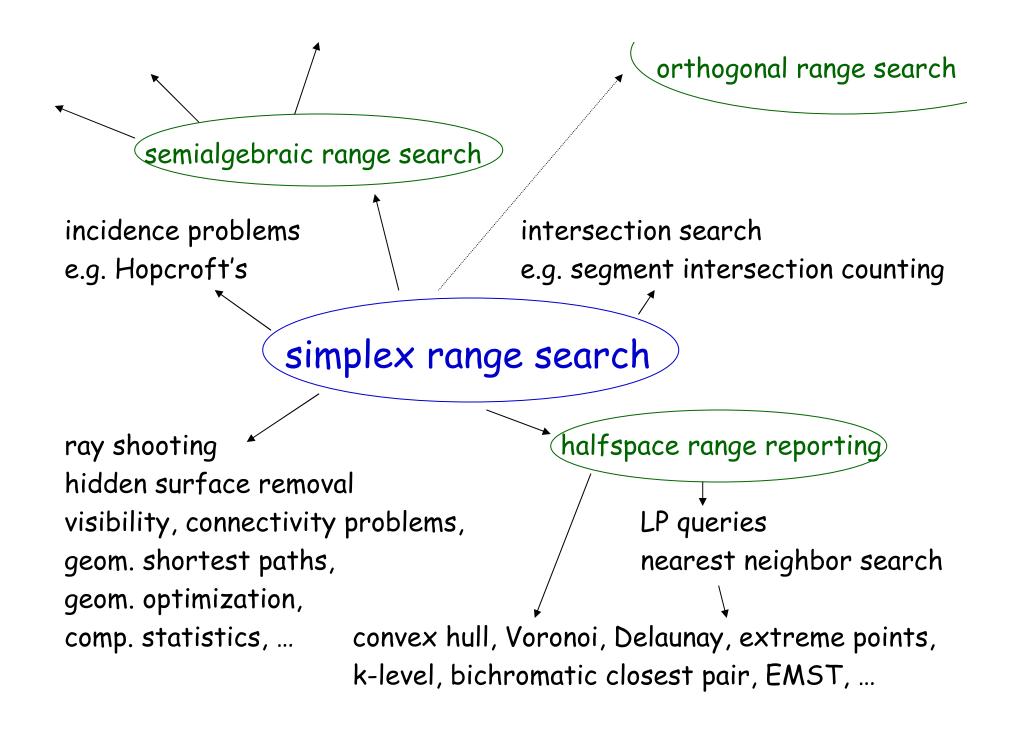
# Optimal Partition Trees

Timothy Chan School of CS U. Waterloo

### Range Searching



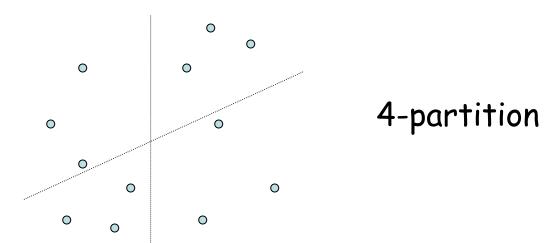
at the "core" of computational geometry...



# History of Simplex Range Search

(O(n)-space data structures)

• 2-d: Willard'82  $O(n^{\log_4 3}) \approx O(n^{0.792})$ 



Willard'82 
$$O(n^{\log_6 4}) \approx O(n^{0.774})$$
  
Edelsbrunner, Welzl'86  $O(n^{\log_2 \phi}) \approx O(n^{0.695})$ 

### History (Cont'd)

```
• 3-d: F. Yao'83 O(n^{\log_8 7}) \approx O(n^{0.936})
Dobkin, Edelsbrunner'84 O(n^{0.916})
Edelsbrunner, Huber'84 O(n^{0.909})
Yao, Dobkin, Edelsbrunner, Paterson'89 O(n^{0.899})
```

• Higher-d: Yao, Yao'85  $O(n^{\log_2(2^d-1)/d})$ 

# History (Cont'd)

· Haussler, Welzl [SoCG'86]

$$O(n^{1-1/[d(d-1)+1]+\epsilon})$$

e.g. 2-d: 
$$O(n^{2/3+\epsilon})$$
, 3-d:  $O(n^{0.858})$ 

key idea: random sampling ( $\epsilon$ -nets)

### History: Turning Point

· Welzl [SoCG'88], Chazelle-Welzl'89

2-d:  $O(n^{1/2} \log n)$  query, O(n) space

3-d:  $O(n^{2/3} \log^2 n)$  query,  $O(n \log n)$  space

 $O(n^{1-1/d} \log n)$  query, O(n) space in semigroup model (but not "algorithmic")

spanning trees with low crossing number

key idea: iterative reweighting

# History: Last Stretch

• Chazelle, Sharir, Welzl [SoCG'90]  $O(n^{1-1/d+\epsilon}) \text{ query, } O(n^{1+\epsilon}) \text{ space}$  idea: multiple cuttings

Matoušek [SoCG'91]
 O(n<sup>1-1/d</sup> log<sup>O(1)</sup> n) query, O(n) space
 "partition thm"
 idea: iterative reweighting + cuttings

Matoušek [SoCG'92]
 O(n<sup>1-1/d</sup>) query, O(n) space
 "final method"
 idea: iterative reweighting + Chazelle's hierarchical cuttings

#### Loose Ends

Matoušek's final method is great, but...

- has large preprocessing time:  $O(n^{1+\epsilon})$  (in algorithmic applications, we usually switch back to partition-thm method, which has  $O(n \log n)$  preproc.)
- is not good for multilevel data structures (Matoušek switched to multiple-cuttings method: cost 1 log in query, 2 log's in space,  $n^{\epsilon}$  in preproc. per level, or  $\log^{O(1)}$  n in query/space/preproc. per level)
- is complicated!!

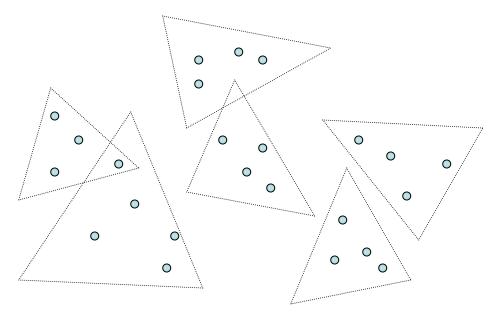
#### New Result

 $O(n^{1-1/d})$  query, O(n) space

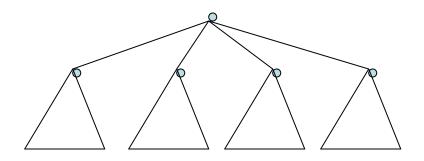
- with O(n log n) preprocessing time (rand. w.h.p.)
- good for multilevel data structures
   (cost only 1 log in query/space/preproc. per level)
- simpler!!

### Recap: Matoušek's Partition Thm

Let P be n-point set in  $R^d$ ,  $t \le n$ . Can partition P into t subsets of O(n/t) points & enclose each subset in a (simplicial) cell s.t. max # cells crossed by any hyperplane is  $O(t^{1-1/d})$ 



#### Matoušek's Partition Tree



recurse

$$Q(n) = O(t^{1-1/d}) Q(n/t) + O(t)$$

set t = large const 
$$\Rightarrow$$
 Q(n) =  $O(n^{1-1/d+\epsilon})$   
set t =  $n^{\epsilon}$   $\Rightarrow$  Q(n) =  $O(n^{1-1/d} \log^{O(1)} n)$ 

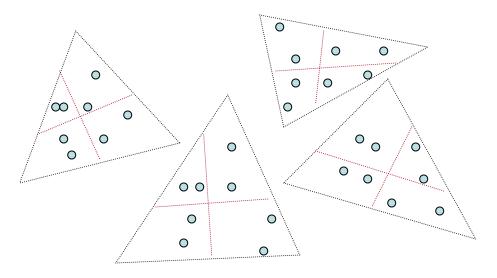
### A New "Partition Refinement Thm"

Let P be n-point set in  $R^d$ , bt  $\leq n$ .

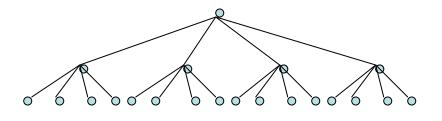
Given a partition with t disjoint cells each with O(n/t) points s.t. max # cells crossed by any hyperplane is  $\ell$ .

Can subdivide each cell into O(b) disjoint subcells each with O(n/bt) points s.t.

max total # subcells crossed by any hyperplane is  $O((bt)^{1-1/d} + b^{1-1/(d-1)} \ell + b \log^{O(1)} n)$ 



#### The New Partition Tree



build level by level

...

$$\ell(bt) \approx O((bt)^{1-1/d} + b^{1-1/(d-1)} \ell(t))$$

set b = large const 
$$\Rightarrow \ell(n) = O(n^{1-1/d})$$

### Proof Sketch of Partition Refinement Thm

#### Preliminaries

- Suffices to work with a finite set of  $n^{O(1)}$  "test hyperplanes"
- Cutting Lemma: [Clarkson, Shor/Chazelle, Friedman] Given m hyerplanes in  $R^d$  & cell  $\Delta$  containing X vertices, can divide  $\Delta$  into  $O(X(r/m)^d + r^{d-1})$  disjoint subcells s.t. each subcell is crossed by O(m/r) hyperplanes

# The New Algorithm

Idea: iterative reweighting

Initialize multiplicity ("weight") of each hyperplane to 1

For i = t to 1 do:

- O. Among the iremaining cells, pick a good cell  $\Delta$
- 1. Apply cutting lemma to subdivide  $\Delta$  into O(b) subcells
- 2. Further subdivide  $\Delta$  s.t. each subcell has O(n/bt) points
- 3. For each hyperplane h, multiply multiplicity of h by  $(1+1/b)^{\lambda(h)}$  where  $\lambda(h)$  = # subcells of  $\Delta$  crossed by h

# Analysis

Let M = total multiplicity of all hyperplanes

- 0. Among the i remaining cells, pick a good cell  $\Delta$  with  $X \leq O(M^d/i)$  vertices, crossed by  $m \leq O(M\ell/i)$  hyperplanes
- 1. Apply cutting lemma with  $r = \min \{ m(b/X)^{1/d}, b^{1/(d-1)} \}$  to subdivide  $\Delta$  into  $O(X(r/m)^d + r^{d-1}) = O(b)$  subcells
  - ⇒ # hyperplanes crossing each subcell  $\leq O(m/r)$   $\leq O((X/b)^{1/d} + m/b^{1/(d-1)})$  $\leq M \cdot O(1/(bi)^{1/d} + \ell/(b^{1/(d-1)}i))$

### Analysis (Cont'd)

- 2. Further subdivide  $\Delta$  s.t. each subcell has O(n/bt) points
- 3. For each hyerplane h, multiply multiplicity of h by  $(1+1/b)^{\lambda(h)}$  where  $\lambda(h)$  = # subcells of  $\Delta$  crossed by h

```
 \sum_{h} \lambda(h) \leq O(bm/r) 
 \Rightarrow \text{ increase in } M \leq \sum_{h} \left[ (1+1/b)^{\lambda(h)} - 1 \right] 
 \leq O(\sum_{h} \lambda(h)/b) 
 \leq O(m/r) 
 \leq M \cdot O(1/(bi)^{1/d} + \ell/(b^{1/(d-1)}i))
```

### Analysis (Cont'd)

Final value of M

$$\leq n^{O(1)} \prod_{i=1,...,1} \left[ 1 + O(1/(bi)^{1/d} + \ell/(b^{1/(d-1)}i)) \right]$$

$$\leq n^{O(1)} \exp(O(t^{1-1/d}/b^{1/d} + \ell \ln t / b^{1/(d-1)}))$$

- Final multiplicity of h = (1+1/b)<sup>crossing-number(h)</sup>
   ≤ final value of M
  - $\Rightarrow \text{ crossing-number(h)} \leq \text{b log(final value of M)}$   $\leq O((bt)^{1-1/d} + b^{1-1/(d-1)} \ell \ln t + b \log n)$

# Concluding Remarks

- Simple tree structure, with const degree, disjoint cells (in 2-d, can make it a BSP tree)
- · Optimal crossing number at essentially all levels of tree
- An open problem: halfspace range reporting for odd d with  $O(n^{1-1/\lfloor d/2 \rfloor} + k)$  query, O(n) space?